

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lauck, M H.	2. Court or Organization United States District for the Eastern District of Virginia	3. Date of Report 6/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court, EDVA 701 East Broad Street, Suite 6112 Richmond, VA 23219-3528		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Wells Fargo Custodial Account
2.	Board Member	Federal Bar Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	3/2-3/3/17	Tucson, AZ	Insurance conference panel speaker	Hotel and flight
2. Virginia Bar Association	9/14-9/15/17	Norfolk, VA	Labor relations and employment law conference	Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Dana DiCarlo	Week at beach house for family with lodging, entertainment, and local travel	\$6,000.00
2.	Dana DiCarlo	Transportation for family trip: air fare, tour van, train	\$1,800.00
3.	Dana DiCarlo	One night hotel stay for judge, meals, private flight one-way, car service	\$1,300.00
4.	Dana DiCarlo	Family Thanksgiving trip, lodging and entertainment, private and commercial flights	\$3,000.00
5.	Dana DiCarlo	One night hotel stay for judge, meals, Broadway ticket, roundtrip train	\$1,800.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit card	K
2.	Bank of America	Credit card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo bank accounts	A	Interest	J	T					
2. Wells Fargo Custodial bank account	A	Interest	J	T					
3. Brokerage account #1 (H)									
4. - Celgene Corp		None	J	T					
5. - ConocoPhillips	A	Dividend			Sold	05/26/17	J	A	
6. - Dun & Bradstreet Corp Del New		Dividend			Sold	01/26/17	J	C	
7. - Dunkin Brands Group Inc	A	Dividend	J	T					
8. - Eaton Corp	A	Distribution	J	T	Sold (part)	05/26/17	J	C	
9. - Exxon Mobil Corp	A	Dividend	J	T	Sold (part)	05/26/17	J	D	
10. - General Electric Company	A	Dividend			Sold	09/08/17	J		
11. - International Business Machines	A	Dividend			Sold (part)	05/26/17	K	D	
12. -					Sold	09/08/17	J		
13. - Lowes Companies	A	Dividend	J	T					
14. - Nuance Communications Inc		None			Sold (part)	05/26/17	J	A	
15. -					Sold	09/08/17	J		
16. - Omnicor	A	Dividend			Sold	05/26/17	J	C	
17. - Paypal Holdings		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Pfizer	A	Dividend	J	T					
19. - Under Armor, Inc. CL A		None	J	T					
20. - Under Armor, Inc. CL C		None	J	T					
21. - US Bankcorp Del Com New	A	Dividend	J	T	Sold (part)	05/26/17	J	B	
22. - Berkshire Bank, Pittsfield MA CD	A	Interest			Redeemed	03/23/17	K		
23. - Citizens Bank & Trust AL CD	A	Interest			Buy	06/28/17	K		
24. -					Redeemed	12/29/17	K		
25. - Kohl's Corp Bond	A	Interest	K	T					
26. - Fidelity Government Cash Reserves	A	Dividend	L	T					
27. GE SSP program (retirement) (H)									
28. - GE Stock Fund	A	Dividend	J	T					
29. - GE US Eqty fund	B	Dividend	K	T					
30. - GE Income Fund	A	Dividend	J	T					
31. TIAA CREF (retirement) (H)									
32. - TIAA Traditional		None	L	T					
33. - CREF Stock R2		None	N	T					
34. - CREF Growth R2		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - CREF Equity Index R2		None	L	T					
36. - CREF Social Choice R2		None	L	T					
37. AT&T (was custodial, now joint)	A	Dividend	J	T					
38. BNY Mellon (Custodian)	A	Dividend	J	T					
39. VA529 College Savings Plan, prepaid, age-based, no control		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ M H. Lauck

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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