

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horan, David L.	2. Court or Organization District Court - Northern District of Texas	3. Date of Report 07/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1100 Commerce Street Room 1549 Dallas Texas, 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Federal Bar Association, Dallas Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Horan, David L.

Date of Report

07/02/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Horan, David L.

Date of Report

07/02/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Horan, David L.

Date of Report

07/02/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	J	T					
2. Brokerage Acct # 1 (H)									
3. -Citibank N.A., Morgan Stanley Bank N.A.	A	Interest	J	T					
4. Brokerage Acct # 2 (529 Plan) (H)									
5. -Legg Mason Scholars Choice Age Based Class A3	B	Interest			Sold	11/03/14	K		
6. -Legg Mason Scholars Choice Age Based Class A4	A	Interest	K	T	Buy	11/03/14	K		
7. Capital One 360 Accounts	A	Interest	L	T					
8. Chase Accounts	A	Interest	K	T					
9. IRA #1 (H)	A	Dividend	J	T					
10. -American Funds New Perspective Fund Class A									
11. -American Funds Washington Mutual Investors Fund Class A									
12. IRA #2 (H)	C	Int./Div.	M	T					
13. -American Funds Bond Fund of America Class C									
14. -American Funds Investment Co of America Class C									
15. -American Funds New Perspective Fund Class C									
16. -American Inv Co of Amer A					Buy	03/20/14	K		
17. -American New Perspective A					Buy	03/20/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Horan, David L.

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07/02/2015

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Blackrock GLB Long/Short Eq A					Buy	03/20/14	L		
19. -Blackrock Global Div Port A					Buy	03/20/14	L		
20. -Clearbridge Aggressive Gwth A					Buy	03/20/14	L		
21. Retirement Fund (401K) (H)									
22. -Loomis Sayles Global Bond	A	Dividend	J	T	Distributed (part)	03/20/14	J		
23. -Pimco Total Return Fund	A	Dividend	J	T	Distributed (part)	03/20/14	J		
24. -Dodge & Cox Stock Fund	A	Dividend	J	T	Distributed (part)	03/20/14	J		
25. -Munder Veracity Small Cap Value A	A	Dividend	J	T	Distributed (part)	03/20/14	J		
26. -Munder Veracity Small Cap Value A	A	Dividend			Sold	07/07/14	J		
27. -SSg&A S&P 500 Index Fund	A	Dividend	J	T	Distributed (part)	03/20/14	J		
28. -T Rowe Price Mid Cap Growth Fund	A	Dividend	J	T	Distributed (part)	03/20/14	J		
29. -Morgan Stanley Inst Intl Equity	A	Dividend	J	T	Distributed (part)	03/20/14	J		
30. -Pyramis Small Cap Core	A	Dividend	J	T	Open	07/24/14	J		
31. Roth IRA #1 (H)	A	Int./Div.	K	T					
32. -CenturyLink Inc common stock									
33. -Cash Morgan Stanley Bank									
34. State Farm Universal Life Policy #1	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Horan, David L.

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35. State Farm Universal Life Policy #2	A	Interest	K	T					
36.									
37.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Horan, David L.

Date of Report

07/02/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Horan, David L.

Date of Report

07/02/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David L. Horan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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