

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Netburn, Sarah	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 05/29/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 40 Foley Square Room 430 New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Cuti Hecker Wang -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Aggressive Growth NY 529 Portfolio Class A	D	Int./Div.	M	T					
2.									
3. IRA #1 Rollover; XXX67 (H)									
4. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy (add'l)	01/05/17	J		
5.					Buy (add'l)	01/12/17	J		
6.					Buy (add'l)	01/17/17	J		
7.					Buy (add'l)	01/26/17	J		
8.					Buy (add'l)	01/30/17	J		
9.					Buy (add'l)	01/31/17	J		
10.					Buy (add'l)	02/08/17	J		
11.					Buy (add'l)	02/24/17	J		
12.					Buy (add'l)	03/14/17	J		
13.					Buy (add'l)	03/21/17	J		
14.					Buy (add'l)	03/27/17	J		
15.					Sold (part)	04/03/17	J		
16.					Sold (part)	07/05/17	J		
17. - iShares S&P 500 Value ETF	B	Dividend	J	T	Buy (add'l)	01/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	01/12/17	J		
19.					Buy (add'l)	01/17/17	J		
20.					Buy (add'l)	01/26/17	J		
21.					Buy (add'l)	01/30/17	J		
22.					Buy (add'l)	01/31/17	J		
23.					Buy (add'l)	02/08/17	J		
24.					Buy (add'l)	02/24/17	J		
25.					Buy (add'l)	03/14/17	J		
26.					Buy (add'l)	03/21/17	J		
27.					Buy (add'l)	03/27/17	J		
28.					Buy (add'l)	04/03/17	J		
29.					Sold (part)	07/05/17	J		
30.	- iShares U.S. Healthcare ETF	A	Dividend	J	T	Buy (add'l)	01/05/17	J	
31.					Buy (add'l)	01/11/17	J		
32.					Buy (add'l)	01/17/17	J		
33.					Buy (add'l)	01/26/17	J		
34.					Buy (add'l)	01/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	01/31/17	J		
36.					Buy (add'l)	02/08/17	J		
37.					Buy (add'l)	02/24/17	J		
38.					Buy (add'l)	03/14/17	J		
39.					Buy (add'l)	03/21/17	J		
40.					Buy (add'l)	03/27/17	J		
41.					Sold (part)	04/03/17	J		
42.					Sold (part)	07/05/17	J		
43.	- Vanguard FTSE All World Ex-US ETF	B	Dividend	J	T	Buy (add'l)	01/05/17	J	
44.					Buy (add'l)	01/12/17	J		
45.					Buy (add'l)	01/17/17	J		
46.					Buy (add'l)	01/26/17	J		
47.					Buy (add'l)	01/30/17	J		
48.					Buy (add'l)	01/31/17	J		
49.					Buy (add'l)	02/08/17	J		
50.					Buy (add'l)	02/24/17	J		
51.					Buy (add'l)	03/14/17	J		

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52.					Buy (add'l)	03/21/17	J		
53.					Buy (add'l)	03/27/17	J		
54.					Sold (part)	07/05/17	J		
55. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy (add'l)	01/05/17	J		
56.					Buy (add'l)	01/12/17	J		
57.					Buy (add'l)	01/17/17	J		
58.					Buy (add'l)	01/26/17	J		
59.					Buy (add'l)	01/30/17	J		
60.					Buy (add'l)	01/31/17	J		
61.					Buy (add'l)	02/08/17	J		
62.					Buy (add'l)	02/24/17	J		
63.					Buy (add'l)	03/14/17	J		
64.					Buy (add'l)	03/21/17	J		
65.					Buy (add'l)	03/27/17	J		
66.					Buy (add'l)	04/03/17	J		
67.					Buy (add'l)	07/05/17	J		
68. - Vanguard Index Funds Vanguard Mid Cap ETF	A	Dividend	J	T	Buy (add'l)	01/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	01/12/17	J		
70.					Buy (add'l)	01/17/17	J		
71.					Buy (add'l)	01/26/17	J		
72.					Buy (add'l)	01/30/17	J		
73.					Buy (add'l)	01/31/17	J		
74.					Buy (add'l)	02/08/17	J		
75.					Buy (add'l)	02/24/17	J		
76.					Buy (add'l)	03/14/17	J		
77.					Buy (add'l)	03/21/17	J		
78.					Buy (add'l)	03/27/17	J		
79.					Buy (add'l)	04/03/17	J		
80.	- Vanguard Index Funds Vanguard Small Cap ETF	A	Dividend	J T	Buy (add'l)	01/05/17	J		
81.					Buy (add'l)	01/12/17	J		
82.					Buy (add'l)	01/17/17	J		
83.					Buy (add'l)	01/26/17	J		
84.					Buy (add'l)	01/30/17	J		
85.					Buy (add'l)	01/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86.					Buy (add'l)	02/08/17	J		
87.					Buy (add'l)	02/24/17	J		
88.					Buy (add'l)	03/14/17	J		
89.					Buy (add'l)	03/21/17	J		
90.					Buy (add'l)	03/27/17	J		
91.					Buy (add'l)	04/03/17	J		
92.					Buy (add'l)	07/05/17	J		
93. Energy Select Sector SPDR Fund ETF	A	Dividend	K	T	Buy	10/04/17	K		
94. Vaneck Vectors Oil Service ETF		None			Buy	05/09/17	J		
95.					Buy (add'l)	04/03/17	J		
96.					Buy (add'l)	07/05/17	J		
97.					Buy (add'l)	10/04/17	J		
98.					Sold	10/04/17	K		
99.									
100. Account XXX68 (H)									
101. UBS Bank USA Deposit Account	A	Interest	K	T					
102.									

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103. ROTH IRA # 2; XXX57 (H)									
104. - UBS Bank USA Deposit Account			J	T					
105. - Eaton Vance Richard Bernstein Equity	A	Dividend	J	T					
106.									
107. IRA #3 ETF4; XXX56 (H)									
108. - iShares Floating Rate Bond ETF	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
109.					Buy (add'l)	04/03/17	J		
110.					Buy (add'l)	07/05/17	J		
111. - iShares IBOXX High Yield Corporate Bond ETF	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
112.					Buy (add'l)	04/03/17	J		
113.					Buy (add'l)	07/05/17	J		
114. - iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
115.					Sold (part)	04/03/17	J		
116.					Sold (part)	07/05/17	J		
117. - iShares S&P 500 Value ETF	A	Dividend	J	T	Sold (part)	01/04/17	J		
118.					Buy (add'l)	04/03/17	J		
119. - iShares U.S. Healthcare ETF	A	Dividend	J	T	Buy (add'l)	01/04/17	J		

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120.					Sold (part)	04/03/17	J		
121.					Sold (part)	07/05/17	J		
122. - iShares U.S. Treas Bond ETF	A	Dividend	J	T	Sold (part)	01/04/17	J		
123.					Buy (add'l)	04/03/17	J		
124.					Sold (part)	07/05/17	J		
125. - iShares 1-3 Treas Bond	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
126.					Buy (add'l)	04/03/17	J		
127.					Buy (add'l)	07/05/17	J		
128. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
129.					Sold (part)	04/03/17	J		
130.					Sold (part)	07/05/17	J		
131. - Vanguard Index Funds Vanguard Mid Cap ETF DE	A	Dividend	J	T	Sold (part)	01/04/17	J		
132.					Sold (part)	07/05/17	J		
133. - Vanguard Index Funds Vanguard Small Cap ETF DE Sol	A	Dividend	J	T	Sold (part)	01/04/17	J		
134.					Buy (add'l)	04/03/17	J		
135.					Sold (part)	07/05/17	J		
136. - Vanguard Intl Equity Index FD Inc FTSE ALL	A	Dividend	J	T	Buy (add'l)	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Netburn, Sarah

Date of Report

05/29/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/03/17	J		
138.					Sold (part)	07/05/17	J		
139. - Vanguard Short Term Corporate BD ETF DE	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
140.					Buy (add'l)	04/03/17	J		
141.					Buy (add'l)	07/05/17	J		
142. FlexShares IBOXX 5YR Target Duration TIPS	A	Dividend	J	T	Buy	01/04/17	J		
143.					Sold (part)	04/03/17	J		
144. Vaneck Vectors Oil Service ETF		None	J	T	Buy	04/03/17	J		
145.					Buy (add'l)	05/09/17	J		
146.					Sold (part)	10/04/17	J		
147. Energy Select Sector SPDR Fund ETF	A	Dividend	J	T	Buy	10/04/17	J		
148.									
149. IRA #4 (H)									
150. - JRHOX	D	Int./Div.	M	T					
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
Netburn, Sarah	05/29/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In the 2016 Report, I made the following error in Account IRA #1 Rollover; XXX67 (H): I listed purchases made of "Vanguard Intl Equity Index Funds Inc. FSTE ALL" and "Vanguard FTSE All World Ex-US ETF." These assets are the same. In the 2017 Report, I list only Vanguard FTSE All World Ex-US ETF.

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Name of Person Reporting

Netburn, Sarah

Date of Report

05/29/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sarah Netburn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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