

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clevert, Jr., Charles N.	2. Court or Organization U.S. District Court - E.D. of WI	3. Date of Report 05/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge - Active (Retired as of 3/31/17)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 03/31/2017
7. Chambers or Office Address 208 U.S. Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	February 4-8, 2016	San Diego, CA	Mid-Year Meeting	Transportation, Lodging, and Food
2. American Bar Association	May 11-15, 2016	Atlanta, GA	TIPS Conference: Torts and Insurance	Transportation, Lodging, and Food
3. American Bar Association	August 4-9, 2016	San Francisco, CA	Annual Meeting	Transportation, Lodging, and Food
4. American Bar Association	February 1-7, 2017	Miami, FL	Mid-Year Meeting	Transportation, Lodging, and Food
5. Milwaukee Bar Association	February 7-8, 2016	San Diego, CA	ABA Mid-Year Meeting	Transportation, Lodging, and Food
6. Milwaukee Bar Association	August 7-9, 2016	San Francisco, CA	ABA Annual Meeting	Transportation, Lodging, and Food
7. Milwaukee Bar Association	February 5-7, 2017	Miami, FL	ABA Mid-Year Meeting	Lodging and Food

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8.	JTBF - APO	September 15-18, 2016	New York, NY	National Conference	Lodging and Food
9.					
10.					
11.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Ameritrade IRA (H)									
2. -Goldman Sachs BK USA NY CD		Interest			Matured	05/02/16	K		
3. -Facebook			J		Buy	04/28/16	J		
4. -Power Shares (SPHD)	A	Dividend	J		Buy	05/03/16	J		
5.	A	Dividend	J		Buy (add'l)	07/08/16	J		
6. - Power Shares Dynamic Build (PKB)	A	Dividend	J		Buy	05/25/16	J		
7. - American Water Works (AWK)	A	Dividend			Buy	06/24/16	J		
8.					Sold	11/07/16	J		
9. - Vanguard Extended Duration TRS (EDV)	A	Dividend			Buy	06/28/16	J		
10.					Sold	11/10/16	J		
11. -Metropolitan West Total Return (MWTIX)	A	Dividend	J		Buy	06/28/16	J		
12.	A	Dividend	J		Buy (add'l)	07/01/16	J		
13.	A	Dividend	J		Buy (add'l)	08/01/16	J		
14.	A	Dividend	J		Buy (add'l)	09/01/16	J		
15.	A	Dividend	J		Buy (add'l)	10/03/16	J		
16.	A	Dividend	J		Buy (add'l)	11/01/16	J		
17.	A	Dividend	J		Buy (add'l)	12/01/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.	A	Dividend	J		Buy (add'l)	12/13/16	J		
19.	A	Dividend	J		Buy (add'l)	01/03/17	J		
20.	A	Dividend	J		Buy (add'l)	02/01/17	J		
21.	A	Dividend	J		Buy (add'l)	03/01/17	J		
22. -Accenture PLC (ACN)	A	Dividend	J		Buy	09/26/16	J		
23. -First Trust NASDAQ (QEW)			J		Buy	11/10/16	J		
24. -Power Shares (SPHB)	A	Dividend	J		Buy	11/10/16	J		
25. -Travelers Company Inc (TRV)	A	Dividend	J		Buy	11/14/16	J		
26. -Apple, Inc (AAPL)			J		Buy	11/21/16	J		
27. -Mastercard (MA)			J		Buy	11/21/16	J		
28. -Lockheed Martin (LMT)	A	Dividend	J		Buy	08/18/16	J		
29. -ProShares Russell 2000 Dividend Growers (SMDV)	A	Dividend	J		Buy	01/04/17	J		
30. -PowerShares S&P MidCap Low Vol. ETF (XMLV)	A	Dividend	J		Buy	01/04/17	J		
31. -PowerShares Russell 2000 Pure Value ETF (PXSX)	A	Dividend	J		Buy	01/26/17	J		
32. -Interdigital, Inc. (IDCC)	A	Dividend	J		Buy	01/26/17	J		
33. -US Small Cap Low Volatility (SMLV)	A	Dividend	J		Buy	01/26/17	J		
34. -Rockwell Collins (COL)	A	Dividend	J		Buy	03/01/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P = \$250,001 - \$500,000	R = \$500,001 - \$1,000,000 S = \$1,000,001 - \$5,000,000 T = \$5,000,001 - \$25,000,000	U = \$25,000,001 - \$50,000,000 V = \$50,000,001 - \$100,000,000 W = \$100,000,001 - \$500,000,000
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35.									
36.									
37.									
38.									
39.									
40.									
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles N. Clevert, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544