

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 09/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 40 Centre Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.	Co-Executor	Estate #2
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Harvard Law School	01/15/15 - 01/16/15	Cambridge, MA	Teaching	Transportation, Meals and Lodging
2.	Harvard Law School	02/26/15 - 02/27/15	Cambridge, MA	Teaching	Transportation, Meals and Lodging
3.	United States District Court - SDNY	04/12/15 - 04/15/15	Cambridge, MA	Federal Judicial Center Seminar	Transportation, Meals and Lodging
4.	United States District Court - SDNY	06/10/15 - 06/12/15	St. Paltz, NY	Second Circuit Judicial Conference	Transportation, Meals and Lodging
5.	Harvard Law School	10/07/15 - 10/08/15	Cambridge, MA	Teaching	Transportation, Meals and Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. -Aberdeen Emerging Markets Instl	A	Int./Div.	J	T	Sold (part)	12/16/15	L		
3. -Eaton Vance Structured Emerging Markets	C	Int./Div.	N	T					
4. -Prudential Jennison Natural Resources Cl Z I		None	L	T					
5. -First Eagle Global Inst CL I	C	Int./Div.	N	T					
6. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
7. -FPA Crescent Fund	E	Int./Div.	N	T	Buy	01/21/15	M		
8. -Coho Relative Value Eqty Fd Instl	E	Int./Div.	O	T	Buy	01/21/15	O		
9. -Energy Select Sector SPDR	D	Int./Div.	N	T	Buy	01/21/15	N		
10. -iShares MSCI Emerging Market Index Fund	A	Int./Div.	L	T	Buy	12/17/15	L		
11. Brokerage #2 (H)									
12. -Schwab NY AMT Tax-Free Money Fund		None	N	T					
13. Brokerage #3 (H)									
14. -iShare DJ Utility SEC IN		None			Buy (add'l)	01/02/15	J		
15.					Sold	01/15/15	M		
16. -Schwab NY AMT Tax-Free Money Fund		None							
17. -Consumer Stap SPDR		None			Buy (add'l)	01/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	01/15/15	M	E	
19. -Consumers Dis SS SPDR		None			Buy (add'l)	01/02/15	J		
20.					Sold	01/15/15	M		
21. iShares Tr Dow Jones US Technology SE		None			Buy (add'l)	01/02/15	J		
22.					Sold	01/15/15	M	D	
23. -iShares Dow Jones Financial Sector		None			Buy (add'l)	01/02/15	J		
24.					Sold	01/15/15	M		
25. Brokerage #4 (H)									
26. -Acadian Emerging Markets		None			Sold	02/13/15	M	D	
27. -BBH Core Select N	F	Int./Div.	O	T					
28. -Berkshire Hathaway Inc Del Cl A		None	P2	T					
29. -Berkshire Hathaway Inc Del B		None	M	T					
30. -Wisdom Tree LargeCap Dividend	E	Int./Div.	O	T					
31. -Prudential Jennison Natural Resources Fund Cl Z		None			Sold	02/13/15	M		
32. -RS Global Natural Resources Cl Y		None	K	T	Buy	04/30/15	K		
33. -First Eagle Global Inst CL I	D	Int./Div.	O	T					
34. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -GNMA II 000644 (36202AWD)	A	Int./Div.	J	T					
36. -JPMorgan Strategic Income Opprts Sel	E	Int./Div.	O	T	Sold (part)	02/02/15	K		
37.					Sold (part)	09/15/15	J		
38.					Sold (part)	10/26/15	J		
39. -FPA Crescent Fund	D	Int./Div.	M	T	Buy (add'l)	02/13/15	J		
40.					Buy (add'l)	02/23/15	K		
41. -Energy Select Sector SPDR	D	Int./Div.	M	T	Buy	02/13/15	K		
42.					Buy (add'l)	02/23/15	L		
43.					Buy (add'l)	03/02/15	M		
44. -Parnassus Core Equity Fund-Institutional Shares	E	Int./Div.	N	T	Buy	02/13/15	K		
45.					Buy (add'l)	03/02/15	L		
46.					Buy (add'l)	03/03/15	M		
47.					Buy (add'l)	04/30/15	K		
48. -WCM Focused International Growth Instl	C	Int./Div.	N	T	Buy	03/10/15	N		
49. Brokerage #5 (H)									
50. -Long Island Pwr Authn Y Elec Sys Rev (5426902H4)	C	Int./Div.	M	T					
51. -Metropolitan Transn Auth NY Rev For (59259YRF8)	C	Int./Div.	M	T					

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52. -New York N Y Go Bds Subseries L-1 Fiscal (64966G4H2)	C	Int./Div.	M	T					
53. -New York N Y Go Bds Ser. H (64966JJ80)	B	Int./Div.	L	T					
54. -New York NY City Transitional Fin Auth Rev (64971QHR7)	C	Int./Div.	M	T					
55. -New York N Y City Transitional Fin (64972HSF0)	C	Int./Div.	M	T					
56. -New York N Y City Transitional Fin (64972HTM4)	C	Int./Div.	M	T					
57. -New York St Dorm Auth Revs Non St (649906QE7)	C	Int./Div.	M	T					
58. -New York St Dorm Auth Revs St (64990HFT2)	C	Int./Div.	L	T					
59. -New York St Dorm Auth Revs St (64990HMQ0)	C	Int./Div.	M	T					
60. -New York St Dorm Auth Revs St (64990HPC8)	C	Int./Div.	L	T					
61. -New York St Twy Authst Pers Income Tax(650028RX3)	B	Int./Div.	L	T					
62. -Triborough Brdg & Tunl Auth N Y Revs 05 (89602NZE7)	C	Int./Div.	L	T					
63. -New York St Twy Authgen Rev Gen Rev Bds (650009YC1)	C	Int./Div.	M	T	Buy (add'l)	04/23/15	L		
64. -New York St Dorm Auth St Pers Income (64990ECG0)	C	Int./Div.	L	T					
65. -New York NY Go Bds Ser. Fiscal 2014 D-I (64966K3U5)	C	Int./Div.	M	T					
66. -New York NY Go Bds Ser. Fiscal 2014 D-I (64966K3X9)	C	Int./Div.	M	T					
67. -New York St Dorm Auth Sales Tax Rev (64990AAL9)	C	Int./Div.	M	T					
68. -New York City Transitional (64971QXQ1)	C	Int./Div.	M	T					

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69. -Schwab NY AMT Tax-Free Money Fund	A	Int./Div.	J	T					
70. -Metropolitan Trans Auth NY Dedicated (59259NR54)	C	Int./Div.	M	T					
71. -New York ST Dorm Auth Rev Non ST (649906PS7)	C	Int./Div.	M	T					
72. -Triborough Brdg & Tunn Auth NY Revs (89602NYB4)	C	Int./Div.	L	T					
73. -New York NY City Transitional Fin (64972HTN2)		None	M	T					
74. -New York St Dorm Auth Revs St (64990HFT2)		None	M	T	Buy	07/06/15	M		
75.					Buy (add'l)	07/17/15	L		
76. -New York St Dorm Auth St Pers Income (64990EDF1)	C	Int./Div.	M	T	Buy	04/21/15	L		
77.					Buy (add'l)	04/30/15	K		
78. -New York St Dorm Auth St Pers Income (64990EX85)		None	L	T	Buy	09/29/15	L		
79. IRA #1 (H)									
80. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
81. -RS Global Natural Resources Cl Y		None	J	T					
82. -BBH Core Select N	C	Int./Div.	K	T	Buy (add'l)	05/06/15	J		
83. -First Eagle Global Inst Cl I	A	Int./Div.	K	T					
84. -Scout Unconstrained Bond		None	K	T					
85. IRA #3 (H)									

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86. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
87. -RS Global Natural Resources Cl Y		None	J	T					
88. -BBH Core Select N	B	Int./Div.	K	T	Buy (add'l)	05/06/15	J		
89. -First Eagle Global Inst Cl I		None	J	T					
90. -Scout Unconstrained Bond Instl		None	K	T					
91. IRA #4 (H)									
92. -RS Global Natural Resources Cl Y		None	J	T					
93. -Scout Unconstrained Bond Instl	B	Int./Div.	M	T	Sold (part)	12/10/15	J		
94. IRA #5 (H)									
95. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T					
96. -Scout Unconstrained Bond Instl	A	Int./Div.	K	T	Sold (part)	12/10/15	J		
97. IRA #6 (H)									
98. -Balestra Global Ltd		None			Sold	4/10/15	K		
99. -Definitive Guardian Fund, Ltd.		None	K	T	Sold (part)	4/23/15	O		
100. -Schwab Advisor Cash Reserves Premier Sweep		None	J	T					
101. -FPA Crescent Fund	D	Int./Div.	M	T					
102. -RS Global Natural Resources Cl Y		None	M	T					

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103. -BlackGold Opportunity Offshore Fund, LTD		None	N	T	Buy	07/01/15	N		
104. -JPMorgan Strategic Income Opports Sel	C	Int./Div.	M	T	Buy	07/10/15	M		
105. Trust #1 (H)									
106. -Aberdeen Emerging Markets Instl		None			Sold	12/16/15	J		
107. -BBH Core Select N	B	Int./Div.	K	T	Buy (add'l)	01/16/15	J		
108. -Berkshire Hathaway Inc Del B		None	L	T					
109. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
110. -WisdomTree MidCap Dividend		None	J	T					
111. -GRT Value Fund Advisor Class		None			Sold	04/29/15	J	B	
112. -iShares Tr S&P 400 BARRA Midcap Growth		None	J	T					
113. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
114. -First Eagle Global Inst CL I	A	Int./Div.	K	T					
115. -Schwab Municipal Money Fund Sweep Shares		None	J	T					
116. -Templeton Global Bond Fund CI A	A	Int./Div.	K	T					
117. -Virtus Premium AlphaSector CL I		None			Sold	01/15/15	K		
118. -Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					
119. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy	01/15/15	K		
121. -Energy Select Sector SPDR		None	J	T	Buy	04/30/15	J		
122. -FPA Crescent	A	Int./Div.	J	T	Buy	01/16/15	J		
123. -iShares MSCI Emerging Market Index Fund		None	J	T	Buy	12/17/15	J		
124. Trust #2 (H)									
125. -Aberdeen Emerging Markets Instl		None			Sold	12/16/15	J		
126. -Berkshire Hathaway Inc Del B		None	L	T					
127. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	K	T					
128. -WisdomTree MidCap Dividend		None	J	T					
129. -iShares Tr S&P 400 BARRA Midcap Growth		None	J	T					
130. -Prudential Jennison Natural Resources Fund C1 Z		None	J	T					
131. -First Eagle Global Inst CL I		None	J	T					
132. -Schwab Municipal Money Fund Sweep Shares		None	J	T					
133. -Templeton Global Bond Fund C1 A	A	Int./Div.	K	T					
134. -Virtus Premium AlphaSector CL I		None			Sold	01/15/15	K		
135. -BBH Core Select N	A	Int./Div.	J	T	Buy (add'l)	01/16/15	J		
136. -Credit Suisse Floating Rate Hi Inc A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T					
138. -Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy	01/15/15	K		
139. -FPA Crescent	A	Int./Div.	J	T	Buy	01/16/15	J		
140. -iShares MSCI Emerging Market Index Fund		None	J	T	Buy	12/17/15	J		
141. UTMA #1 (H)									
142. -Aberdeen Emerging Markets Instl		None	J	T					
143. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
144. -WisdomTree MidCap Dividend		None	J	T					
145. -Prudential Jennison Natural Resources Fund Cl Z		None	J	T					
146. -First Eagle Global Inst CL I		None	J	T					
147. -Templeton Global Bond Fund Cl A	A	Int./Div.	K	T	Sold (part)	09/16/15	J		
148. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
149. -Energy Select Sector SPDR		None	J	T	Buy	09/17/15	J		
150. UTMA #2 (H)									
151. -Aberdeen Emerging Markets Instl		None	J	T					
152. -Berkshire Hathaway Inc Del B		None	L	T					
153. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
155. -First Eagle Global Inst CL I	A	Int./Div.	K	T					
156. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
157. -UTMA #3 (H)									
158. -Aberdeen Emerging Markets Instl		None	J	T					
159. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
160. -WisdomTree MidCap Dividend		None	J	T					
161. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
162. -First Eagle Global Inst CL I		None	J	T					
163. -Templeton Global Bond Fund CI A	A	Int./Div.	K	T	Sold (part)	09/16/15	J		
164. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
165. -Energy Select Sector SPDR		None	J	T	Buy	09/17/15	J		
166. -UTMA #4 (H)									
167. -Aberdeen Emerging Markets Instl		None	J	T					
168. -Berkshire Hathaway Inc Del B		None	L	T					
169. -Wisdom Tree LargeCap Dividend Fund	A	Int./Div.	J	T					
170. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -First Eagle Global Inst CL I	A	Int./Div.	K	T					
172. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
173. 529 Plan #1 (H)									
174. -New York Saves Growth Stock Index Portfolio		None	J	T					
175. -New York Saves Interest Accumulation Index Portfolio		None	L	T					
176. -New York Saves Value Stock Index Portfolio		None	J	T					
177. -New York Saves Bond Market Index Portfolio		None	K	T					
178. 529 Plan #2 (H)									
179. -New York Saves Interest Accumulation Portfolio		None	M	T					
180. -New York Saves Mid Cap Stock Index Portfolio		None	K	T					
181. -New York Saves Developed Markets Income Portfolio		None	K	T					
182. - New York Saves Aggressive Growth Portfolio		None	L	T					
183. 529 Plan #3 (H)									
184. -New York Saves Mid Cap Stock Index Portfolio		None	K	T					
185. -New York Saves Developed Markets Income Portfolio		None	K	T					
186. -New York Interest Accumulation Portfolio		None	M	T					
187. -New York Aggressive Growth Portfolio		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. 529 Plan #4 (H)									
189. -New York Saves Growth Stock Index Portfolio		None	K	T					
190. -New York Saves Interest Accumulation Portfolio		None	L	T					
191. -New York Saves Value Stock Index Portfolio		None	K	T					
192. -New York Saves Bond Market Index Portfolio		None	K	T					
193. Teachers Retirement System of NY 403(b) #1 (H)									
194. -Diversified Equity		None	M	T					
195. -Stable Value		None	M	T	Buy (add'l)	03/31/15	L		
196. -International Equity		None	L	T					
197. -Inflation Protection		None			Sold	03/31/15	L		
198. Citibank Checking Account	A	Interest	K	T					
199. Chase Checking Account		None	M	T					
200. Real Estate Parcels (H)									
201. Westchester County Real Estate Parcel #1 Westchester Co., NY		None			Sold	02/04/15	N	G	David G. Rabkin, Trustee
202. Westchester Co Real Estate Parcel #3 Westchester Co, NY		None		W	Sold	1/30/15	M		Lesa & Ernest L. Vogliano
203. Westchester Co Real Estate Parcel #6 Westchester Co, NY		None		W	Sold	1/30/15	M	F	Lesa & Ernest L. Vogliano
204. Real Estate Investment Partnerships (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. TC Albuquerque- Private Estate Partnership	A	Rent	K	W					
206. Estate #2 - Brokerage A (H)									
207. -Dreyfus Opportunistic Small Cap Fund		None			Sold	11/23/15	M		
208. -Dreyfus Technology Growth Fund-Cl A		None			Sold	11/23/15	K	C	
209. -Dynamic Total Return Fund-Class A		None			Sold	11/23/15	M	E	
210. Estate #2 - Checking Account A (H)									
211. -Chase Checking Account		None	M	T					
212. Estate #2 - Real Estate Parcels (H)									
213. -Westchester Co Real Estate Parcel #1 Westchester Co, NY		None			Sold	02/04/15	K		David G. Rabkin, Trustee
214. -Westchester Co Real Estate Parcel #4 Westchester Co, NY		None			Sold	01/30/15	M		Lesa & Ernest L. Vogliano
215. -Westchester Co Real Estate Parcel #5 Westchester Co, NY		None			Sold	01/30/15	O		Lesa & Ernest L. Vogliano
216. -Westchester Co Real Estate Parcel #6 Westchester Co, NY		None			Sold	01/30/15	M		Lesa & Ernest L. Vogliano
217. -Westchester Co Real Estate Parcel #7 Westchester Co, NY		None			Sold	12/01/15	K	E	Lois & Kenneth Lippmann
218. Estate # 2- Private Company Investment (H) (X)									
219. Manhattan Wine Company (x)		None			Sold	12/31/15	K	E	Matthew & Lindsay Garrison
220. Brokerage #7 (H)									
221. -CapitalSpring Direct Lending Partners, LP (Priv Equity)	E	Distribution	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Brokerage #8 (H)									
223. -Baron Growth	B	Int./Div.	K	T					
224. -BBH Core Select N	D	Int./Div.	L	T					
225. -Berkshire Hathaway Inc Del B		None	M	T					
226. -BlackRock Global Allocation I	D	Int./Div.	M	T					
227. -East Hampton Twn N Ypub Impt Go Bds Ser. (272731XQ8)	A	Int./Div.	J	T					
228. -Fidelity Contrafund	C	Int./Div.	L	T					
229. -Fidelity Low-Priced Stock Fund	B	Int./Div.	K	T					
230. -Ivy Asset Strategy Cl I		None			Sold	04/29/15	M	D	
231. -New York N Y City Transitional Fin (64971K3T1)	A	Int./Div.			Matured	11/01/15	K		
232. -New York St Go Bds Ser. 2005 A (649787K80)	A	Int./Div.			Redeemed	04/20/15	K		
233. -Royce Total Return Fund	C	Int./Div.	K	T					
234. -SPDR S&P 500 ETF	A	Int./Div.	K	T					
235. -SPDR S&P Midcap 400 ETF Tr Unit	A	Int./Div.	K	T					
236. -Tweedy Browne Global Value Fund	B	Int./Div.	K	T					
237. -Schwab NY AMT Tax-Free Money Fund		None	K	T					
238. IRA #7 (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Aberdeen Emerging Markets Instl	C	Int./Div.	M	T					
240. -BBH Core Select N	D	Int./Div.	L	T					
241. -First Eagle Global Inst Cl I	C	Int./Div.	N	T					
242. -Scout Unconstrained Bond Instl	B	Int./Div.	N	T	Sold (part)	12/10/15	K		
243. -Schwab Advisor Cash Reserves Premier Sweep		None	J	T					
244. IRA #8 (H)									
245. -BBH Core Select N	C	Int./Div.	L	T					
246. -First Eagle Global Inst Cl I	A	Int./Div.	L	T					
247. -Scout Unconstrained Bond Instl	A	Int./Div.	L	T	Sold (part)	12/10/15	J		
248. Trust #3 (H)									
249. -SPDR S&P 500 ETF Trust	A	Int./Div.	J	T	Sold (part)	10/15/15	J	B	
250. -SPDR S&P Midcap 400 ETF Trust	A	Int./Div.	K	T					
251. -Spartan Total Market Index Fid Advantage Class	A	Int./Div.	J	T	Buy (add'l)	1/22/15	J		
252.					Sold (part)	10/16/15	J	B	
253. -Fidelity Contrafund	A	Int./Div.	K	T					
254. -Fidelity Low Priced Stock	A	Int./Div.	J	T	Sold (part)	10/16/15	J	A	
255. -BBH Core Select N	A	Int./Div.	J	T	Sold (part)	10/16/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Baron Growth Fund Retail Shares	A	Int./Div.	J	T	Sold (part)	10/15/16	J		
257. -BlackRock Global Allocation Fd Instl	A	Int./Div.	J	T	Sold (part)	10/16/15	J	A	
258. -Ivy Asset Strategy Fund Cl I				T	Sold	02/02/15	J	A	
259. -Royce Total Return Fd Investment Cl		Int./Div.		T	Sold	11/23/15	J	B	
260. -Tweedy Browne Global Value Fund	A	Int./Div.	J	T	Sold (part)	10/16/15	J	B	
261. -Vanguard Intermediate Tax Exempt Admiral	A	Int./Div.	J	T	Sold (part)	10/16/15	J	A	
262. -Vanguard Limitd Term Tax Exempt Admiral	A	Int./Div.	J	T					
263. -Fidelity Municipal Money Market	A	Int./Div.	K	T					
264. -Baron Growth Fund Instl Class		Int./Div.		T	Sold	10/16/16	J		
265. -BlackRock Strategic Muni Opportunities I	A	Int./Div.	K	T	Buy (add'l)	02/02/15	J		
266.					Sold (part)	10/16/15	J		
267. -Deutsche Intermediate Tax/Amt Free Fd Inst	A	Int./Div.	J	T	Buy	1/22/15	J		
268.					Sold (part)	10/16/15	J		
269. -Spartan 500 Index FD Advantage Class	A	Int./Div.	K	T	Buy	02/02/15	J		
270.					Sold	10/19/15	J	A	
271. iShares Core S&P Small Cap ETF		Int./Div.	J	T	Buy	11/20/15	J		
272. Trust #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Chase Checking Account		None	J	T					
274. UTMA (#5) (H)									
275. -SPDR Series Trust Barclays 1-3 Month T-Bill		None	J	T					
276. -Wisdom Tree LargeCap Dividend Fund		None	J	T					
277. -JPMorgan Strategic Income Opports. Sel.		None	J	T					
278. -Schwab Advisor Cash Reserves Premier Sweep		None	J	T					
279. UTMA (#6) (H)									
280. -First Trust Dow Jones Internet Index		None	J	T					
281. -SPDR Series Trust Barclays 1-3 Month T-Bill		None	J	T					
282. -Wisdom Tree LargeCap Dividend Fund		None	J	T					
283. -JPMorgan Strategic Income Opports. Sel.		None	J	T					
284. -Schwab Advisor Cash Reserves Premier Sweep		None	J	T					
285. Trust #5 (H)									
286. -Aberdeen Emerging Markets Instl		None			Sold	12/16/15	J		
287. -BBH Core Select N	B	Int./Div.	K	T					
288. -Berkshire Hathaway Inc Del B		None	K	T					
289. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Credit Suisse Floating Rate Hi Inc	A	Int./Div.	K	T					
291. -First Eagle Global Inst CL I	A	Int./Div.	K	T					
292. -GRT Value Fund Advisor Class		None			Sold	04/29/15	J	B	
293. -iShares Tr S&P 400 BARRA Midcap Growth		None	J	T					
294. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
295. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
296. -Scout Unconstrained Bond Instl		None	J	T					
297. -Templeton Global Bond Fund CI A	A	Int./Div.	J	T					
298. -Virtus Premium AlphaSector CI I		None			Sold	01/15/15	J		
299. -WisdomTree LargeCap Dividend Fund	A	Int./Div.	K	T					
300. -WisdomTree MidCap Dividend Fund		None	J	T					
301. -Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy	01/15/15	K		
302. -Energy Select Sector SPDR	A	Int./Div.	K	T	Buy	01/16/15	K		
303.					Buy (add'l)	04/30/15	J		
304. -FPA Crescent	B	Int./Div.	K	T	Buy	01/16/15	K		
305. -iShares MSCI Emerging Market Index Fund		None	J	T	Buy	12/17/15	J		
306. Trust #6 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. -Aberdeen Emerging Markets Instl		None			Sold	12/16/15	J		
308. -BBH Core Select N	C	Int./Div.	K	T					
309. -Berkshire Hathaway Inc Del B		None	K	T					
310. -BlackRock Strategic Income Opps Instl	B	Int./Div.	K	T					
311. -Credit Suisse Floating Rate Hi Inc	A	Int./Div.	K	T					
312. -First Eagle Global Inst CL I	A	Int./Div.	L	T					
313. -GRT Value Fund Advisor Class		None			Sold	04/29/15	J	B	
314. -iShares Tr S&P 400 BARRA Midcap Growth		None	J	T					
315. -Prudential Jennison Natural Resources Fund CI Z		None	J	T					
316. -Schwab NY AMT Tax-Free Money Fund		None	J	T					
317. -Scout Unconstrained Bond Instl		None	J	T					
318. -Templeton Global Bond Fund CI A	A	Int./Div.	J	T					
319. -Virtus Premium AlphaSector CI I		None			Sold	01/15/15	K		
320. -WisdomTree LargeCap Dividend Fund	A	Int./Div.	K	T					
321. -WisdomTree MidCap Dividend Fund	A	Int./Div.	J	T					
322. -Coho Relative Value Eqty Fd Instl	A	Int./Div.	K	T	Buy	01/15/15	K		
323. -Energy Select Sector SPDR	A	Int./Div.	K	T	Buy	01/16/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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09/09/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	04/30/15	J		
325. -FPA Crescent	B	Int./Div.	K	T	Buy	01/16/15	K		
326. -iShares MSCI Emerging Market Index Fund		None	J	T	Buy	12/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul A. Engelmayer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544