AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arnold, Morris S.	8th U S Circuit Ct of Appeals	05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge--Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2017 **to** 12/31/2017
7. Chambers or Office Address		
Richard Sheppard Arnold United States Courthouse 500 West Capitol Ave., Suite C-150 Little Rock, AR 72201		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2017	University of Arkansas, author's royalties	$1,090.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/14/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Simmons Bank - Account 1	A	Interest	K	T					
2. Simmons Bank - Account 2	A	Interest	J	T					
3.									
4. Mineral Interests - Various Lands in Texas (H)									
5. Southland Champion Mineral, Morgan Keegan, Lufkin, TX	B	Royalty	K	W					
6. Grayburg Mineral Account, Morgan Keegan, Lufkin, TX	A	Royalty	J	W					
7.									
8. Wells Fargo Clearing Services, LLC - Account 1 (H)									
9. Bank Deposit Sweep	A	Interest	J	T					
10. Norfolk Southern Corp NSC	B	Dividend	L	T					
11. Simmons 1st Natl Corp CL A $5 Par SFNC	C	Dividend	M	T					
12.									
13. Wells Fargo Clearing Services, LLC - Account 2 (H)									
14. Dillards Inc CL A DDS	A	Dividend	K	T					
15. Mattel Incorporated MAT		None	J	T					
16. Wells Fargo & CO New WFC		None	J	T					
17. MFS Core Equity Fund CL A MRGAX	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Arnold, Morris S.	05/14/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Gabelli Equity Trust GAB	A	Dividend	J	T					
19. Gabelli GLBL Heathcare & Wellness RX GRX	A	Dividend	J	T					
20. Liberty All-Star Equity Fund SH BEN INT USA	A	Dividend	J	T					
21.									
22. TIAA-CREF - Account 1 (H)									
23. TIAA Traditional	D	Dividend	M	T					
24. TIAA Real Estate	B	Dividend	K	T					
25. CREF Bond Market R3	C	Dividend	L	T					
26. CREF Stock R3	C	Dividend	L	T					
27. CREF Inf-Linked Bond R3	A	Dividend	J	T					
28. CREF Global Equities R3	E	Dividend	L	T					
29. T-C Intl Eq-Inst	D	Dividend	K	T					
30. T-C Lg-Cap Val-Inst	D	Dividend	K	T					
31. T-C Mid-Cap Val-Inst	B	Dividend	K	T					
32. T-C Sm-Cap Eq-Inst	D	Dividend	K	T					
33.									
34. TIAA-CREF - Account 2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. TIAA Traditional	C	Dividend	M	T					
36. TIAA Real Estate	B	Dividend	K	T					
37. CREF Bond Market R3	A	Dividend	K	T					
38. CREF Stock R3	A	Dividend	K	T					
39. CREF Global Equities R3	C	Dividend	K	T					
40. AM FDS Wash Mutual R5	D	Dividend	L	T					
41. T-C Bond-Inst	B	Dividend	K	T					
42. T-C Infl-Lnkd Bond-Inst	A	Dividend	J	T					
43. AF EuroPacific Growth R5	C	Dividend	K	T					
44. T-C Bond Index-Inst	A	Dividend	J	T					
45. T-C Mid-Cap Val-Inst	C	Dividend	K	T					
46. T-C Sm-Cap Eq-Inst	D	Dividend	K	T					
47. T-C Intl Eq Idx-Inst	D	Dividend	K	T					
48. T-C S&P 500 Idx-Inst	C	Dividend	K	T					
49. T-C Gr & Inc-Inst	C	Dividend	K	T					
50.									
51. TIAA-CREF - Account 3 (H)									

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. CREF Global Equities R3	D	Dividend	L	T					
53.									
54. TIAA-CREF - Account 4 (H)									
55. TIAA-CREF Managed Allocation Fund - RTL	D	Dividend	N	T					
56.									
57. Simmons Bank - IRA	A	Int./Div.	J	T					
58.									
59. Stephens Inc. - Account 1 (H)									
60. Federated Govt Oblig SS	A	Dividend	J	T					
61. American Funds Washington Mutual Fund Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
62. Amercian Funds New Perspective Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
63. American Funds Investment Co of America Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
64. American Funds Income Fund of America Class F3	A	Dividend	K	T					
65. American Funds Growth Fund of America Class F1		None	J	T					
66. American Funds Fundamental Investors Fund Class F1		None	J	T					
67. American Funds Capital World Growth and Income Fund Class F1		None	J	T					
68. American Funds American Mutual Fund Class F1		None	J	T					

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69. American Funds High Income Trust Fund Class F3	A	Dividend	J	T					
70. American Funds AMCAP Fund Class F1		None	J	T					
71. American Funds Bond Fund of America Class F3	A	Dividend	J	T					
72. American Funds Capital Income Builder Fund Class F3	A	Dividend	K	T					
73. American Funds Capital World Bond Fund Class F3	A	Dividend	J	T					
74. American Funds Global Balanced Fund Class F1	A	Dividend	J	T					
75. American Funds Inflation Linked Bond Fund Class F3	A	Dividend	J	T					
76. American Funds Intermediate Bond Fund of America Class F3	A	Dividend	J	T					
77. American Funds International Growth and Income Fund Class F1		None	J	T					
78. American Funds Mortgage Fund Class F3	A	Dividend	J	T					
79. American Funds US Government Securities Fund Class F3	A	Dividend	J	T					
80. American Funds Balanced Fund Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
81. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	05/10/17	J		
82. Fidelity Total Bond Fund	A	Dividend	J	T	Buy	05/10/17	J		
83. Vanguard Equity Income Fund Investor Class	A	Dividend	K	T	Buy	05/10/17	K		
84.									
85. Stephens Inc. - Account 2 (H)									

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86. Federated Govt Oblig SS	A	Dividend	J	T					
87. American Funds Intermediate Bond Fund of America Class F3	A	Dividend	J	T	Sold (part)	05/10/17	J	A	
88. American Funds Bond Fund of America Class F3	A	Dividend	J	T	Sold (part)	05/10/17	J	A	
89. American Funds High Income Trust Fund Class F3	A	Dividend	J	T	Sold (part)	05/10/17	J	A	
90. American Funds Mortgage Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
91. American Funds US Government Securities Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
92. American Funds Capital World Bond Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
93. American Funds Washington Mutual Fund Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
94. American Funds Investment Company of America Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
95. American Funds American Mutual Fund Class F1		None			Sold	05/10/17	J	A	
96. American Funds AMCAP Fund Class F1		None			Sold	05/10/17	J	A	
97. American Funds International Growth and Income Fund Class F1		None			Sold	05/10/17	J	A	
98. American Funds Income Fund of America Class F3	A	Dividend	K	T	Sold (part)	05/10/17	J	A	
99. American Funds Balanced Fund Class F3	A	Dividend	K	T	Buy (add'l)	05/10/17	J		
100. American Funds Growth Fund of America Class F1		None			Sold	05/10/17	J	A	
101. American Funds Fundamental Investors Fund Class F1		None			Sold	05/10/17	J	A	
102. American Funds Capital World Growth and Income Fund		None			Sold	05/10/17	J	A	

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103. American Funds New Perspective Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
104. American Funds Global Balanced Fund Class F1		None			Sold	05/10/17	J	A	
105. American Funds Capital Income Builder Fund Class F3	A	Dividend	K	T					
106. American Funds Inflation Linked Bond Fund Class F3	A	Dividend	J	T	Buy (add'l)	05/10/17	J		
107. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	05/10/17	J		
108. Fidelity Total Bond Fund	A	Dividend	J	T	Buy	05/10/17	J		
109. Vanguard Equity Income Fund Investor Class	A	Dividend	K	T	Buy	05/10/17	K		
110.									
111. Stephens Inc. - Account 3 (H)									
112. Amercian Funds Income Fund of America Class F3	A	Dividend	J	T					
113. American Funds American Mutual Fund Class F3	A	Dividend	J	T					
114. American Funds Balanced Fund Class F3	A	Dividend	J	T					
115. American Funds Capital Income Builder Fund Class F3	A	Dividend	J	T					
116. American Funds Global Balanced Fund Class F3	A	Dividend	J	T					
117. American Funds Inflation Linked Bond Fund Class F3	A	Dividend	J	T					
118.									
119. Stephens Inc. - Account 4 (H)									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. Federated Govt Oblig SS	A	Dividend	J	T					
121. Wells Fargo & Company	A	Dividend			Sold	08/11/17	J	A	
122. Microsoft Corp	A	Dividend	J	T					
123. Dominion Resources Inc / VA	A	Dividend	J	T					
124. Halliburton Company	A	Dividend			Sold	08/11/17	J	A	
125. Procter & Gamble Company	A	Dividend	J	T					
126. HCP, Inc.	A	Dividend	J	T					
127. General Electric Company	A	Dividend			Sold	11/24/17	J	A	
128. Alibab Group Holding LTD Sponsored ADR	A	Dividend	K	T					
129. Amazon.com Inc.	A	Dividend	K	T					
130. Apple Inc.	A	Dividend	K	T					
131. Lowes Companies Incorporated	A	Dividend	J	T	Buy	10/02/17	J		
132. Quality Care Properties (X)	A	Dividend			Sold	08/11/17	J	A	
133. Netflix Inc.	A	Dividend			Buy	05/16/17	J		
134.					Sold	08/25/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Morris S. Arnold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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