

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Gershtwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 1013 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|---|----------------------------|
| 1. Circuit Judge (1-1-12 to 8-8-12; then went to U.S. District Court) | Wayne County Circuit Court |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Wayne County, Michigan Salary (prior to becoming federal judge)	\$27,643.44
2. 2012	State of Michigan Salary (prior to becoming federal judge)	\$50,214.21
3. 12/01/12	Wayne County, Michigan Pension Payment	\$2,305.47
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Mason Judicial Education Program	11/11/12 to 11/13/12	George Mason University, Fairfax, Virginia	Judicial Education	Travel Expenses
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Federal Bar Association of the Eastern District of Michigan	Funds for Investiture and Reception	\$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K#1	E	Int./Div.							
2. - SSga Russell 2000 Index					Sold	10/31/12	J		
3. - ING Stable Value Fund					Sold	10/31/12	L		
4. - PIMCO Total Return Fund					Sold	10/31/12	J		
5. - MFS Total Return Fund					Sold	01/06/12	L		
6. - Dodge & Cox Stock Fund					Sold	10/31/12	M		
7. - T Rowe Price Mid Cap Value Fund					Sold	10/31/12	L		
8. - ING Small Cap Growth Fund					Sold	10/31/12	L		
9. - American Funds Europacific Gr. Fund					Sold	10/31/12	M		
10. - SSga Emerging Markets Fund					Sold	10/31/12	K		
11. - Oakmark Equity and Income Fund					Buy	01/06/12	L		
12. - Oakmark Equity and Income Fund					Sold	10/31/12	L		
13. 457 Plan #1	D	Int./Div.	M	T					
14. - American Century Value Investor Fund									
15. - Am. Funds Invmt. Company of America									
16. - Fidelity Contrafund									
17. - Fidelity Puritan Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Franklin Utilities Fund									
19. - Invesco VanKampen Growth & Inc. Fund									
20. - Nationwide Intl. Index Fund									
21. - Nationwide Intl. Value Fund									
22. - Neuberger Berman Genesis Fund									
23. - T Rowe Price Mid-Cap Growth Fund									
24. - Morgan Stanley Inst. Fund - US Real Estate Port									
25. - Nationwide Fixed Account									
26. IRA #1	C	Int./Div.	O	T					
27. - Franklin Templeton Mutual Global Discovery Fund					Sold (part)	06/06/12	K		
28. - American Funds Global Growth									
29. - American Funds New World					Sold (part)	06/06/12	J		
30. - American Funds Growth Income									
31. - American Funds International (Y)					Sold (part)	06/06/12	J		
32. - American Funds Growth					Buy	06/06/12	K		
33. - American Funds Asset Allocation					Buy	06/06/12	K		
34. - Franklin Income Securities									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - AMCAP Fund Class C Am. Funds					Buy	12/03/12	K		
36. - American High Income Trust - Am. Funds					Buy	11/29/12	K		
37. - Cap. Income Builder Fd./ Class C - Am. Funds					Buy	12/12/12	L		
38. - Cap. World Bond Fd./ Class C/ Am. Funds					Buy	12/03/12	K		
39. - Cap. World Growth & Inc. Fd./ Am. Funds					Buy	11/19/12	L		
40. - Income Fund of Amer. Class C - Am. Funds					Buy	11/19/12	L		
41. - New Perspective Fund Class C - Am. Funds					Buy	12/04/12	K		
42. - First Trust Target Global DVD					Buy	12/04/12	K		
43. - First Trust Capital Strength					Buy	12/05/12	K		
44. IRA #2	A	Dividend	J	T					
45. - American Funds Capital Income Builder									
46. - Am. Funds Capital World Growth & Income					Sold	06/06/12	J		
47. - American Funds New World Fund									
48. - Am. Funds - Growth Fund of America									
49. - Am. Funds - American Balance Fund					Buy	06/06/12	J		
50. IRA #3	A	Dividend	K	T					
51. - Am. Funds - Capital Income Builder									

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52. - Am. Funds Capital World Growth Fund									
53. - Am. Funds Europacific Growth Fund					Sold	06/06/12	J		
54. - AMCAP Fund A					Buy	06/06/12	J		
55. - Am. Funds New World Fund A									
56. - Am. Funds New World Fund C									
57. - Am. Funds Small Cap World Fund									
58. - Am Funds The Growth Fund of America									
59. - Am. Funds The Investment Co. of Amer.									
60. - Am. Funds The New Economy Fund									
61. - Am Funds Capital World Growth & Inc.									
62. IRA #4	A	Int./Div.	J	T					
63. - Calamos Global Growth & Income Fund					Sold	10/31/12	J		
64. - Franklin Income Fund Class C									
65. - Franklin Rising Dividends Fund Class C									
66. - Ivy Asset Strategy Fund Class C					Sold	10/18/12	J		
67. - Amer. Funds AMCAP Fund Class C					Buy	10/31/12	J		
68. - Amer. Funds American Balanced Fund Class C					Buy	10/31/12	J		

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69. IRA #5	A	Dividend	N	T					
70. - AT&T Incorporated					Buy	12/18/12	J		
71. - Altria Group Incorporated					Buy	12/18/12	J		
72. - American Elec. Pwr. Incorporated					Buy	12/18/12	J		
73. - Amerigas Partners LP					Buy	12/18/12	J		
74. - AstraZeneca PLC					Buy	12/18/12	J		
75. - Automatic Data Processing Incorporated					Buy	12/18/12	J		
76. - Avista Corporation					Buy	12/18/12	J		
77. - BAE Systems PLC Sponsored ADR					Buy	12/18/12	J		
78. - BASF SE Sponsored ADR					Buy	12/18/12	J		
79. - BCE Incorporated Com New					Buy	12/18/12	J		
80. - Becton Dickinson & Company					Buy	12/18/12	J		
81. - Bemis Incorporated					Buy	12/18/12	J		
82. - Blackrock Incorporated					Buy	12/18/12	J		
83. - Breitburn Energy Partners					Buy	12/18/12	J		
84. - Bristol Myers Squibb Company					Buy	12/18/12	J		
85. - CME Group Incorporated					Buy	12/18/12	J		

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86. - CA Incorporated					Buy	12/18/12	J		
87. - Centurylink Incorporated					Buy	12/18/12	J		
88. - Chevron Corporation New					Buy	12/18/12	J		
89. - Coca Cola Company					Buy	12/18/12	J		
90. - Comcast Corporation New C					Buy	12/18/12	J		
91. - Commerce Bancshares					Buy	12/18/12	J		
92. - Conocophillips					Buy	12/18/12	J		
93. - Darden Restaurants					Buy	12/18/12	J		
94. - Deutsche Telekom AG					Buy	12/18/12	J		
95. - Diamond Offshore Drilling					Buy	12/18/12	J		
96. - Dominion Res Incorporated					Buy	12/18/12	J		
97. - Dr. Pepper Snapple Group					Buy	12/18/12	J		
98. - Du Pont E I De Nemours & Company					Buy	12/18/12	J		
99. - Duke Energy Corporation NE					Buy	12/18/12	J		
100. - Emerson Elec. Company					Buy	12/18/12	J		
101. - Gallagher Arthur J & Company					Buy	12/18/12	J		
102. - General Dynamics Corporation					Buy	12/18/12	J		

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103. - General Mls Incorporated					Buy	12/18/12	J		
104. - Genuine Parts Company					Buy	12/18/12	J		
105. - Glaxosmithkline PLC					Buy	12/18/12	J		
106. - HCP Incorporated REIT					Buy	12/18/12	J		
107. - Hasbro Incorporated					Buy	12/18/12	J		
108. - Health Care Reit Incorporated					Buy	12/18/12	J		
109. - Heinz H J Company					Buy	12/18/12	J		
110. - Imperial Tobacco Group PLC					Buy	12/18/12	J		
111. - Intel Corporation					Buy	12/18/12	J		
112. - Iron Mtn Incorporated					Buy	12/18/12	J		
113. - Johnson & Johnson					Buy	12/18/12	J		
114. - Kimberly Clark Corporation					Buy	12/18/12	J		
115. - Kohls Corporation					Buy	12/18/12	J		
116. - Kraft Foods Group Incorporated					Buy	12/18/12	J		
117. - Lilly Eli & Company					Buy	12/18/12	J		
118. - Lockheed Martin Corporation					Buy	12/18/12	J		
119. - Lorillard Incorporated					Buy	12/18/12	J		

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120. - Mattel Incorporated					Buy	12/18/12	J		
121. - McDonalds Corporation					Buy	12/18/12	J		
122. - Medtronic Incorporated					Buy	12/18/12	J		
123. - Merck & Company Incorporated					Buy	12/18/12	J		
124. - Microsoft Corporation					Buy	12/18/12	J		
125. - Microchip Technology					Buy	12/18/12	J		
126. - Molson Coors Brewing Company					Buy	12/18/12	J		
127. - Munich Re Group					Buy	12/18/12	J		
128. - National Fuel Gas Company					Buy	12/18/12	J		
129. - National Grid PLC					Buy	12/18/12	J		
130. - Nestle S A Sponsored ADR					Buy	12/18/12	J		
131. - Norfolk Southern Corporation					Buy	12/18/12	J		
132. - Nucor Corporation					Buy	12/18/12	J		
133. - Occidental Pete Corporation					Buy	12/18/12	J		
134. - Owens & Minor Incorporated					Buy	12/18/12	J		
135. - PNC Finl SVCS Group					Buy	12/18/12	J		
136. - PPL Corporation					Buy	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Drain, Gershwin A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Paychex Incorporated					Buy	12/18/12	J		
138. - Pearson PLC Sponsored ADR					Buy	12/18/12	J		
139. - Pepsico Incorporated					Buy	12/18/12	J		
140. - Pfizer Incorporated					Buy	12/18/12	J		
141. - Philip Morris International					Buy	12/18/12	J		
142. - Procter & Gamble Company					Buy	12/18/12	J		
143. - Raytheon Company COM New					Buy	12/18/12	J		
144. - Regal Entertainment Group					Buy	12/18/12	J		
145. - Republic SVCS Incorporated					Buy	12/18/12	J		
146. - Reynolds American Incorporated					Buy	12/18/12	J		
147. - Roche Hldg Limited Sponsored					Buy	12/18/12	J		
148. - Rogers Communications					Buy	12/18/12	J		
149. - Royal Dutch Shell PLC					Buy	12/18/12	J		
150. - SSE PLC Sponsored ADR					Buy	12/18/12	J		
151. - Shaw Communications					Buy	12/18/12	J		
152. - Southern Company					Buy	12/18/12	J		
153. - Swisscom AG Sponsored ADR					Buy	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Sysco Corporation					Buy	12/18/12	J		
155. - Target Corporation					Buy	12/18/12	J		
156. - Telefonica Brasil SA					Buy	12/18/12	J		
157. - Telstra Corporation Limited					Buy	12/18/12	J		
158. - Thomson Reuters Corporation					Buy	12/18/12	J		
159. - 3M Company					Buy	12/18/12	J		
160. - Total S A Sponsored ADR					Buy	12/18/12	J		
161. - UNS Energy Corporation					Buy	12/18/12	J		
162. - Unilever PLC Spon ADR New					Buy	12/18/12	J		
163. - United Parcel Service					Buy	12/18/12	J		
164. - United Utilities Group PLC					Buy	12/18/12	J		
165. - Verizon Communications					Buy	12/18/12	J		
166. - Vinci S A					Buy	12/18/12	J		
167. - Vivendi SA					Buy	12/18/12	J		
168. - Vodafone Group PLC New					Buy	12/18/12	J		
169. - Wal-Mart Stores Incorporated					Buy	12/18/12	J		
170. - Walgreen Company					Buy	12/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Waste Mgmt Incorporated					Buy	12/18/12	J		
172. - Daimler AG					Buy	12/18/12	J		
173. - Partnerre Limited (Bermuda)					Buy	12/18/12	J		
174. Brokerage Account #1									
175. - Annaly Capital Mgmt Incorporated	A	Dividend	J	T					
176. - Calamos Global Growth & Income Fund	A	Dividend			Sold	06/08/12	K		
177. - Am Funds Cap World Growth & Inc Class A	A	Dividend			Sold	06/11/12	K		
178. - Am Funds Cap World Growth & Inc Class C	A	Dividend	J	T					
179. - First Eagle Global Fund Class C	A	Dividend	K	T					
180. - Franklin Income Fund Class C	C	Dividend	L	T					
181. - Franklin High Yield Tax Free Income Fund	A	Dividend	J	T					
182. - Am Funds Growth Fund of Amer Class C	A	Dividend	J	T					
183. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
184. - Franklin Templeton Mutual Global Discovery	A	Dividend	K	T					
185. - Am Funds New World Class C	A	Dividend	J	T					
186. - Realty Income Corporation Reit	A	Dividend	K	T					
187. - Targa Resources Partners LP Com Unit	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Transamerica Tactical Income Fund Class C	A	Dividend			Buy	06/12/12	J		
189. - Blackrock Equity Div. Fund Class C	A	Dividend			Buy	06/12/12	J		
190. - Calamos Growth & Income Fund Class C	A	Dividend			Buy	06/08/12	K		
191. - Raymond James Cash Account (X)		None	L	T					
192. Trust #1	C	Int./Div.							
193. - Adobe Systems Incorporated					Sold	08/22/12	J	A	
194. - Alcoa Incorporated					Sold	04/11/12	J	A	
195. - American Public Education					Sold	10/17/12	J	A	
196. - Berkshire Hathaway					Sold	10/17/12	J	A	
197. - Boeing Company					Buy	09/14/12	J	A	
198. - Boeing Company					Sold	10/17/12	J	A	
199. - Bristol Byers Squibb Company					Buy	03/13/12	J	A	
200. - Bristol Myers Squibb Company					Sold	10/17/12	J	A	
201. - Calpine Corporation Com New					Sold	12/07/11	J	A	
202. - Cenovus Energy Incorporated					Sold	10/17/12	J	A	
203. - Corning Incorporated					Sold	10/17/12	J	A	
204. - EMC Corporation Mass					Sold	10/17/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - EBay Incorporated					Buy	09/25/12	J	A	
206. - EBay Incorporated					Sold	10/17/12	J	A	
207. - Emerson Elec Company					Buy	09/07/12	J	A	
208. - Emerson Elec Company					Sold	10/17/12	J	A	
209. - Emulex Corporation Com New					Sold	07/12/12	J	A	
210. - Exelon Corporation					Sold	07/16/12	J	A	
211. - Exelis Incorporated					Buy	02/03/12	J	A	
212. - Exelis Incorporated					Sold	08/13/12	J	A	
213. - Harris Corporation Del					Sold	07/26/12	J	A	
214. - Hologic Incorporated					Sold	09/18/12	J	A	
215. - Huntsman Corporation					Sold	10/17/12	J	A	
216. - ITT Corporation New Com New					Sold	10/17/12	J	A	
217. - Intuitive Surgical					Sold	10/17/12	J	A	
218. - ITC Hldgs Corporation					Buy	09/10/12	J	A	
219. - ITC Hldgs Corporation					Sold	10/17/12	J	A	
220. - Itron Incorporated					Sold	08/08/12	J	A	
221. - Kellogg Company					Buy	02/03/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Kellogg Company					Sold	10/17/12	J	A	
223. - Kodiak Oil & Gas Corporation					Sold	12/08/11	J	A	
224. - Kraft Foods Incorporated					Sold	03/22/12	J	A	
225. - Medco Health Solutions Incorporated					Sold	12/07/11	J	A	
226. - Mindray Medical International					Sold	07/16/12	J	A	
227. - Neogen Corporation					Buy	11/07/11	J	A	
228. - Neogen Corporation					Sold	10/17/12	J	A	
229. - Nestle S A Sponsoreds ADR					Sold	10/17/12	J	A	
230. - Nuance Communications					Buy	09/21/12	J	A	
231. - Nuance Communications					Sold	10/17/12	J	A	
232. - Parker Hannifin Corporation					Sold	10/17/12	J	A	
233. - Pepsico Incorporated					Sold	10/17/12	J	A	
234. - Qualcomm Incorporated					Sold	10/17/12	J	A	
235. - Riverbed Technology					Sold	10/17/12	J	A	
236. - Roper Industries Incorporated					Sold	01/10/12	J	A	
237. - Sierra Wireless Incorporated					Sold	06/05/12	J	A	
238. - Stryker Corporation					Sold	10/17/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Target Corporation					Buy	01/13/12	J	A	
240. - Target Corporation					Sold	10/17/12	J	A	
241. - Teva Pharmaceutical Industries					Sold	10/17/12	J	A	
242. - United Health Group					Buy	09/14/12	J	A	
243. - United Health Group					Sold	10/17/12	J	A	
244. - Varian Med Systems					Sold	10/17/12	J	A	
245. - Verifone Systems Incorporated					Sold	10/17/12	J	A	
246. - Xylem Incorporated					Sold	10/17/12	J	A	
247. Hartford Life Insurance Cash Value Acct. #1	A	Int./Div.	J	T					
248. - American Funds International									
249. - American Funds Global Growth									
250. - American Funds New World									
251. - HLS Dividend & Growth									
252. - American Funds Growth									
253. - American Funds Asset Allocation									
254. - HLS Int'l. Opps									
255. - HLS Int. Small Co									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - American Funds Small Cap									
257. - Franklin Templeton Mutual Shares Sec									
258. Hartford Life Insurance Cash Value Account #2	A	Int./Div.							
259. - American Funds New World					Sold	08/30/12	J		
260. - American Funds International					Sold	08/30/12	J		
261. - American Funds Global Growth					Sold	08/30/12	J		
262. - Fidelity Contrafund					Sold	08/30/12	J		
263. - Fidelity Mid Cap					Sold	08/30/12	J		
264. PNC Bank Checking & Savings Accounts	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Drain, Gershwin A.	05/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The proceeds of the sale of Trust #1 went into Brokerage account #1.

Hartford Life Insurance Cash Value Account #2 was sold on 8/30/12.

The Harford Life Insurance Cash Value Accounts #1 and #2 contain privately held investments with no ticker symbols and are not publicly traded funds.

401K was rolled over into IRA#1 and IRA#5.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Drain, Gershwin A.

Date of Report

05/09/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544