

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COX, EMMETT R.	2. Court or Organization U.S. COURT OF APPEALS, ELEVENTH CIRCUIT	3. Date of Report 01/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 09/30/2015
7. Chambers or Office Address 113 ST. JOSEPH STREET ROOM 433 MOBILE, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. DIRECTOR AND SECRETARY	(SEE SECTION VIII FOR DESCRIPTION)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC BANK - ACCOUNTS	A	Interest	K	T					
2. TRUSTMARK BANK - ACCOUNTS	A	Interest	K	T					
3. TD AMERITRADE CASH (X)	A	Interest	J	T					
4. BRISTOL-MYERS SQUIBB (STOCK)	A	Dividend	J	T					
5. CALIFORNIA RES CORP COM	A	Dividend	J	T					
6. OCCIDENTAL PETROLEUM CORP (STOCK)	A	Dividend	J	T					
7. SOUTHERN CO (STOCK)	A	Dividend	J	T	Buy (add'l)	06/15/15	J		
8. T D AMERITRADE FDIC INSURED DEPOSIT ACCOUNT (X)	A	Interest	J	T					
9. AMERICAN FUNDS EURO PACIFIC GROWTH A (MUTUAL FUND)	A	Dividend	J	T					
10. AMERICAN FUNDS EURO PACIFIC GROWTH F (MUTUAL FUND)	A	Dividend	J	T					
11. AMERICAN FUNDS NEW WORLD F (MUTUAL FUND)	A	Dividend	J	T					
12. FPA NEW INCOME FUND	A	Dividend	K	T	Buy	01/09/15	K		
13. FRANKLIN FEDERAL ALA T/F INCOME CL A (MUTUAL FUND)	A	Dividend	K	T					
14. HARBOR FD CAP APPRECIATION (MUTUAL FUND)		None	K	T					
15. LOOMIS SAYLES BD FD INSTL (MUTUAL FUND)	A	Dividend	K	T	Sold (part)	06/25/15	J	B	
16. MAINSTAY FUNDS: ICAP SELECT EQUITY FD CL I (MUTUAL FUND)	A	Dividend	J	T					
17. METROPOLITAN WEST HIGH YIELD FD C/M	A	Dividend	K	T	Buy (add'l)	06/25/15	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. T ROWE PRICE TAX FREE SHORT INTERMEDIATE (MUTUAL FUND)	A	Dividend	K	T	Sold (part)	01/12/15	J	A	
19. TEMPLETON GLOBAL BOND FUND A (MUTUAL FUND)	A	Dividend	J	T					
20. THIRD AVENUE TR INTL VALUE FD (MUTUAL FUND)		None	J	T					
21. VANGUARD EMERGING MARKETS ETF	A	Dividend	J	T	Buy	01/09/15	J		
22. VANGUARD REIT ETF INDEX	A	Dividend	J	T					
23. FDIC INSURED DEPOSIT ACCOUNT	A	Interest	J	T					
24. ISHARES RUSSELL 1000 VALUE ETF (MUTUAL FUND)	A	Dividend	K	T					
25. AMERICAN FUNDS NEW WORLD (MUTUAL FUND)		None	J	T					
26. DFA INTERNATIONAL CORE EQUITY	A	Dividend	J	T	Buy	01/09/15	J		
27.					Buy (add'l)	09/28/15	J		
28. DFA COMMODITY STRATEGY	A	Dividend	J	T	Buy	01/09/15	J		
29. JANUS INV FUND PERKINS MID CP VL INV (MUTUAL FUND)		None			Sold	01/09/15	J	A	
30. OPPENHEIMER DEVELOPING MKTS CL A (MUTUAL FUND)		None	J	T					
31. THIRD AVENUE TR REAL ESTATE VALUE FD (MUTUAL FUND)		None	J	T					
32. WASATCH SMALL CAP GROWTH FD (MUTUAL FUND)		None	J	T	Sold (part)	01/09/15	J	A	
33. VANGUARD CAPITAL OPPORTUNITY INV (MUTUAL FUND)		None	J	T					
34. RAYMOND JAMES FDIC INSURED (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. IVY ASSET STRATEGY FUND CLASS Y	B	Dividend	J	T	Buy (add'l)	02/27/15	J		
36.					Buy (add'l)	03/09/15	J		
37.					Buy (add'l)	04/17/15	J		
38.					Buy (add'l)	08/19/15	J		
39. JOHN HANCOCK DISCIPLINED VALUEMID CAP FUND		None	J	T	Sold (part)	02/27/15	J	A	
40.					Buy (add'l)	03/09/15	J		
41.					Buy (add'l)	04/17/15	J		
42.					Sold (part)	08/19/15	J	A	
43. JP MORGAN VALUE ADVANTAGE FUND	A	Dividend	J	T	Buy (add'l)	03/05/15	J		
44.					Buy (add'l)	04/15/15	J		
45.					Sold (part)	08/17/15	J	A	
46. CLEARBRIDGE AGGRESSIVE GROWTH FUND	A	Dividend	J	T	Buy (add'l)	02/25/15	J		
47.					Buy (add'l)	03/05/15	J		
48.					Buy (add'l)	04/15/15	J		
49.					Buy (add'l)	08/17/15	J		
50. MFS INTERNATIONAL NEW DISCOVERY FUND		None	J	T	Buy (add'l)	02/27/15	J		
51.					Buy (add'l)	03/09/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	04/17/15	J		
53.					Sold (part)	08/19/15	J		
54. MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND	A	Dividend	J	T	Buy (add'l)	02/27/15	J		
55.					Buy (add'l)	03/09/15	J		
56.					Buy (add'l)	04/17/15	J		
57.					Sold (part)	08/19/15	J	A	
58. MANNING & NAPIER WORLD OPPORTUNITIES SERIES CL A		None	J	T	Buy (add'l)	02/25/15	J		
59.					Buy (add'l)	03/05/15	J		
60.					Buy (add'l)	04/15/15	J		
61.					Buy (add'l)	08/17/15	J		
62. NEUBERGER BERMAN GUARDIAN FUND CLASS A		None	J	T	Buy (add'l)	02/27/15	J		
63.					Buy (add'l)	03/09/15	J		
64.					Buy (add'l)	04/17/15	J		
65. PRUDENTIAL JENNISON EQUITY INCOME FUND CLASS Z	A	Dividend	J	T	Buy (add'l)	02/27/15	J		
66.					Buy (add'l)	03/09/15	J		
67.					Buy (add'l)	04/17/15	J		
68. ROBECO BP LONG/SHORT RESEARCH FUND INSTITUTIONAL CLASS		None	J	T	Buy (add'l)	02/25/15	J		

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69.					Buy (add'l)	03/05/15	J		
70.					Buy (add'l)	04/15/15	J		
71.					Sold (part)	08/17/15	J	A	
72. WF ADVANTAGE ABSOLUTE RETURN FUND ADMIN CLASS		None	J	T	Buy (add'l)	02/27/15	J		
73.					Buy (add'l)	03/09/15	J		
74.					Buy (add'l)	04/17/15	J		
75. VANGUARD HEALTH CARE FUND INV	A	Dividend	J	T	Buy (add'l)	01/27/15	J		
76.					Buy (add'l)	03/17/15	J		
77.					Buy (add'l)	04/06/15	J		
78.					Buy (add'l)	06/22/15	J		
79.					Buy (add'l)	07/15/15	J		
80.					Buy (add'l)	08/14/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SECTION I. POSITIONS

[REDACTED] IS A NOT-FOR-PROFIT ASSOCIATION THAT HOLDS TITLE TO AND MANAGES THE
COMMON AREA [REDACTED].

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ EMMETT R. COX

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544