

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dale, Candy W.	2. Court or Organization District of Idaho	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge--full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address James A. McClure Federal Building and Courthouse 550 West Fort Street Boise, Idaho 83724		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Trustees	College of Idaho
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Stoel Rives--salary, bonus and partnership distribution

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. University of Idaho - College
of Law

April 6, 2017

Moscow, ID

Speaker at Women's Law
Caucus

Lodging, transportation and meals.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank Accounts	A	Interest	M	T					
2. Bank of America Accounts	A	Interest	K	T					
3. New York Life--whole #1	B	Dividend	M	T					
4. New York Life--whole #2	A	Dividend	L	T					
5. Steel Rives Retirement Plan	E	Int./Div.	O	T					
6. Steel Rives LLP Cash Balance Plan	A	Int./Div.	J	T					
7. Steel Rives Draw Account	A	Interest	M	T					
8. TD Ameritrade IRA Account #1									
9. --DFA Five-Yr Global Portfolio Fund (DFGBX)	B	Interest	L	T					
10.	A	Distribution							
11. --Loomis & Sayles Bond Fund (LSBDX)	B	Interest	K	T					
12.	A	Distribution							
13.	A	Distribution							
14. --DFA Internat'l Small Cap Value (DISVX)	A	Dividend	K	T					
15.	A	Distribution							
16.	A	Distribution							
17. --DFA Global Real Estate Fund (DFGEX)	C	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	A	Distribution							
19.	A	Distribution							
20. --Pimco High Yield Corporate Bond ETF (HYS)	C	Interest			Sold	04/24/17	M	A	
21. --Pimco Income Fund (PIMIX)	C	Interest	L	T					
22. --Vanguard Investment Grade Fund (VFIDX)	D	Interest	M	T					
23.	A	Distribution							
24. -- DFA Investment Grade Bond Fund (DFAPX)	D	Interest	N	T	Buy (add'l)	01/23/17	J		
25.					Buy (add'l)	01/24/17	J		
26.					Buy (add'l)	01/25/17	J		
27. -- Cohen and Steers Preferred Securities Fund (CPXIX)	D	Dividend	M	T	Buy	04/24/17	M		
28.	A	Distribution							
29. --Cohen and Steers Limited Duration Pref Sec Fund (LPXIX)	B	Dividend	L	T	Buy	04/24/17	L		
30.	A	Distribution							
31. --TD Ameritrade Money Market Fund	A	Interest	J	T					
32. TD Ameritrade Trust Account #1									
33. --DFA Int'l Core Equity Fund (DFIEX)	D	Dividend	N	T					
34. --DFA US Core Equity Fund (DFEOX)	D	Dividend	O	T	Sold (part)	01/17/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.	C	Distribution			Sold (part)	01/18/17	J	A	
36.	A	Distribution			Sold (part)	01/19/17	J	A	
37. --DFA Emerging Markets Core Equity (DFCEX)	C	Dividend	M	T					
38. --DFA US Large Cap Value (DFLVX)	C	Dividend	M	T	Sold (part)	01/17/17	J	A	
39.	D	Distribution			Sold (part)	01/18/17	J	A	
40.	A	Distribution			Sold (part)	01/19/17	J	A	
41. --DFA Tax Managed Small Cap Value Equity Fund (DTMVX)	B	Dividend	M	T	Sold (part)	01/17/17	J	A	
42.	D	Distribution			Sold (part)	01/18/17	J	A	
43.					Sold (part)	01/19/17	J	A	
44. --DFA Tax-Managed Small Cap Equity Fund (DFTSX)	B	Dividend	M	T	Sold (part)	01/17/17	J	A	
45.	C	Distribution			Sold (part)	01/18/17	J	A	
46.					Sold (part)	01/19/17	J	A	
47. --DFA Intermediate Term Muni Bond Fund (DFTIX)	B	Interest	L	T					
48. --DFA Tax-Managed US Value (DTMMX)	C	Dividend	M	T	Sold (part)	01/17/17	J	A	
49.	D	Distribution			Sold (part)	01/18/17	J	A	
50.					Sold (part)	01/19/17	J	A	
51. --DFA Int'l Small Cap Value (DISVX)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	C	Distribution							
53.	A	Distribution							
54. -- Idaho BD BK Auth Rev Muni Bond (451152R61)	B	Interest			Sold	09/15/17	K	A	
55. -- Idaho BD BK Auth Rev Muni Bond (451152S86)	A	Interest	K	T					
56. --Ada & Canyon Cnty ID Sch Dist (005176GUO)	B	Interest	K	T					
57. --Canyon Cnty Id Sch (138807HS4)	B	Interest	K	T					
58. --ID Hsg & Fin Assn (45129WGT1)	B	Interest	K	T					
59. --ID Hsg & Fin Assn (45129WGV6)	B	Interest	K	T					
60. --Moscow ID SWR Rev (619481BV5)	B	Interest	K	T					
61. --Boise State Unive Id Revs (097464TU5)	A	Interest			Sold	04/03/17	J	A	
62. --Boise State Univ ID Revs (097464US8)	B	Interest	K	T					
63. --Idaho BD BK Auth Rev Bds (451152FX5)	A	Interest			Sold	03/21/17	J	A	
64. --Idaho BD BK Auth Rev Bds (4511522D3)	A	Interest	J	T	Buy	03/21/17	J		
65. --Madison County ID Sch Dist No. 32 (556819FD7)	A	Interest			Sold	02/15/17	J	A	
66. --Idaho Health Facs Hosp Rev Bonds (451295TW9)	A	Interest	J	T					
67. -- Vanguard Short Term Muni Bond Fund (VWSUX)	B	Interest	M	T					
68. -- Vanguard High Yield Muni Bond Fund (VWAHX)	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. --Cohen and Steers Preferred Securities Fund (CPXIX)	C	Dividend	L	T	Buy	01/20/17	K		
70.	A	Distribution							
71. --TD Ameritrade Money Market Fund	A	Interest	L	T					
72. TD Ameritrade IRA #2									
73. --TD Ameritrade Money Market	A	Interest	J	T					
74. --DFA International Small Cap (DISVX)	A	Dividend	K	T					
75.	B	Distribution							
76.	A	Distribution							
77. --Loomis and Sayles Bond Fund (LSBDX)	C	Dividend	M	T	Buy (add'l)	01/23/17	J		
78.	A	Distribution			Buy (add'l)	01/24/17	J		
79.	B	Distribution			Buy (add'l)	01/25/17	J		
80. --Vanguard Int. Term Invest Grade Bond Fund (VFIDX)	B	Dividend	K	T					
81.	A	Distribution							
82. --Pimco High Yield Corporate Bond Fund (HYS)	A	Interest			Sold	04/24/17	K	A	
83. --Pimco Income Fund (PIMIX)	D	Interest	M	T					
84. --DFA Investment Grade Bond Fund (DFAPX)	B	Distribution	L	T					
85. --Cohen and Steers Limited Duration Pfd Sec Fund (LPXIX)	B	Dividend	K	T	Buy	04/24/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.	A	Distribution							
87. Beneficiary IRA Account #1									
88. --Athene Equity Indexed Annuity	A	Interest	K	T					
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part VII, line 5, the plan is employer controlled--neither the reporting judge nor her spouse have any choice over the individual investments in the plan.
Regarding Part VII, line 6, the plan is employer controlled--neither the reporting judge nor her spouse have any choice over the individual investments in the plan.
Regarding Part VII, line 7, the draw account is used for quarterly distributions and bonus income. Funds are held in an interest bearing account at the firm, typically in money market funds. Neither the reporting judge nor her spouse has any choice over investments.
Regarding Part VII line 32 - this account was referred to as Taxable Account #1 in 2016 and in all prior years. In 2017 this account was converted to a Trust account, and is now named Trust Account #1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Candy W. Dale

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544