

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Andrews, Richard G.                                                                                                                  | <b>2. Court or Organization</b><br><br>District of Delaware                                                                                                                                                                                                                              | <b>3. Date of Report</b><br><br>05/12/2014                     |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>United States District Judge, Active                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/1/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>United States District Court<br>844 King Street, Box 19<br>Wilmington, Delaware 19801                                                                         |                                                                                                                                                                                                                                                                                          |                                                                |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Trustee         | 529 Plan                           |
| 2. | Custodian       | UGMA #1                            |
| 3. | Custodian       | UGMA #2                            |
| 4. | Trustee         | Trust #1                           |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Andrews, Richard G.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Villanova University School of Law, salary

2.

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NY Intellectual Property Law  
Ass'n

3/22-23/2013

NY, NY

Dinner Honoring Federal  
Judiciary

Hotel, transportation, meals

2.

3.

4.

5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Andrews, Richard G.

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05/12/2014

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. CBS common                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Manulife Financial common                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Sun Life Financial common                                                                                                | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Viacom B common                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Children's Bonus Bonds                                                                                                   | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 12/31/13                | J                               | A                              |                                                                   |
| 6. CREF Growth Stock                                                                                                        |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. CREF Stock                                                                                                               |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Fidelity Blue Chip Value Fund                                                                                            | A                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Fidelity College Portfolio (Index) (529 Plan)                                                                            |                                         | None                                          | M                                               | T                                         | Sold<br>(part)                                  | 07/01/13                | K                               | C                              |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/02/13                | K                               | C                              |                                                                   |
| 11. Fidelity Freedom 2020                                                                                                   | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. John Hancock Cash Management IRA                                                                                        |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. TIAA Traditional                                                                                                        | D                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. T. Rowe Price Blue Chip Growth (UGMA #2)                                                                                |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. T. Rowe Price Growth & Income IRA                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16.                                                                                                                         | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. T. Rowe Price Health Sciences                                                                                           | D                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 07/05/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                   |   |              |   |   |      |          |   |  |  |
|---------------------------------------------------|---|--------------|---|---|------|----------|---|--|--|
| 18. T. Rowe Price New Income Fund IRA             | B | Dividend     | K | T |      |          |   |  |  |
| 19.                                               | B | Distribution |   |   |      |          |   |  |  |
| 20. T. Rowe Price Prime Reserve #1                | A | Dividend     |   |   | Sold | 07/05/13 | J |  |  |
| 21. T. Rowe Price Prime Reserve #2 (UGMA #2)      | A | Dividend     | L | T |      |          |   |  |  |
| 22. T. Rowe Price Summit GNMA                     | D | Dividend     | M | T |      |          |   |  |  |
| 23. Invesco Van Kampen American Franchise Fund    | B | Dividend     | K | T |      |          |   |  |  |
| 24. Vanguard Capital Value Fund                   | C | Dividend     | L | T |      |          |   |  |  |
| 25. Vanguard Emerging Markets Admiral             | B | Dividend     | K | T |      |          |   |  |  |
| 26. Vanguard High Yield Corporate Fund Inv.       | B | Dividend     | K | T |      |          |   |  |  |
| 27. Vanguard High Yield Tax Exempt Fund           | B | Dividend     | L | T |      |          |   |  |  |
| 28. Vanguard Intermediate Term Bond Index Admiral | C | Dividend     | L | T |      |          |   |  |  |
| 29. Vanguard Intermediate Term Tax Exempt Bond    | A | Dividend     | K | T |      |          |   |  |  |
| 30. Vanguard Limited Term Tax Exempt Bond         | B | Dividend     | M | T |      |          |   |  |  |
| 31. Vanguard Long-Term Investment Grade Inv.      | C | Dividend     | L | T |      |          |   |  |  |
| 32. Vanguard Long-Term Tax Exempt Fund Admiral    | B | Dividend     | L | T |      |          |   |  |  |
| 33. Vanguard Explorer Fund                        | D | Dividend     | M | T |      |          |   |  |  |
| 34. Vanguard Total Intl Stock Index Investment    | B | Dividend     | L | T |      |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                              |   |              |   |   |  |  |  |  |  |
|--------------------------------------------------------------|---|--------------|---|---|--|--|--|--|--|
| 35. Vanguard Prime Money Market #1                           | A | Dividend     | M | T |  |  |  |  |  |
| 36. Vanguard Prime Money Market #2                           | A | Dividend     | L | T |  |  |  |  |  |
| 37. Vanguard Prime Money Market #3 (UGMA #1)                 | A | Dividend     | J | T |  |  |  |  |  |
| 38. Vanguard REIT Index Fund                                 | C | Dividend     | L | T |  |  |  |  |  |
| 39. Vanguard Extended Market                                 | A | Dividend     | L | T |  |  |  |  |  |
| 40. Vanguard Stock Market Index                              | B | Dividend     | L | T |  |  |  |  |  |
| 41. Vanguard Target 2020 Fund IRA                            | A | Dividend     | K | T |  |  |  |  |  |
| 42.                                                          | B | Distribution |   |   |  |  |  |  |  |
| 43. Vanguard Tax-Managed Capital Appreciation Fund           | B | Dividend     | M | T |  |  |  |  |  |
| 44. Vanguard Tax-Managed Capital Appreciation F Ad (UGMA #1) | B | Dividend     | M | T |  |  |  |  |  |
| 45. Vanguard Tax-Managed International Fund                  | C | Dividend     | M | T |  |  |  |  |  |
| 46. Vanguard Tax-Managed Small Cap Fund #1                   | B | Dividend     | M | T |  |  |  |  |  |
| 47. Vanguard Tax-Managed Small Cap Fund #2 (UGMA #1)         | A | Dividend     | L | T |  |  |  |  |  |
| 48. Vanguard U.S. Growth IRA                                 | A | Dividend     | K | T |  |  |  |  |  |
| 49. Vanguard Wellington Admiral #1                           | D | Dividend     | M | T |  |  |  |  |  |
| 50. Vanguard Wellington Admiral #2 (UGMA #1)                 | C | Dividend     | L | T |  |  |  |  |  |
| 51. Vanguard Windsor II                                      | D | Dividend     | M | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                              |   |           |   |   |  |  |  |  |  |
|----------------------------------------------|---|-----------|---|---|--|--|--|--|--|
| 52. M&T Bank Accounts                        | A | Interest  | M | T |  |  |  |  |  |
| 53. John Hancock whole life ins. #1          | C | Dividend  | M | W |  |  |  |  |  |
| 54. John Hancock whole life ins. #2          | D | Dividend  | M | W |  |  |  |  |  |
| 55. Sun Life whole life ins. #1              | A | Int./Div. | J | W |  |  |  |  |  |
| 56. Sun Life whole life ins. #2              | C | Dividend  | L | W |  |  |  |  |  |
| 57. Trust #1                                 |   |           |   |   |  |  |  |  |  |
| 58. - Exxon Mobil common                     | B | Dividend  | L | T |  |  |  |  |  |
| 59. - American Century Equity Income         | B | Dividend  | K | T |  |  |  |  |  |
| 60. - American Century Income & Growth       | A | Dividend  | K | T |  |  |  |  |  |
| 61. - DWS Strategic High Yield Tax Free Fund | B | Dividend  | K | T |  |  |  |  |  |
| 62. - DWS Intermediate Tax/AMT Free Fund     | A | Dividend  | K | T |  |  |  |  |  |
| 63. - DWS Managed Municipal Bond Fund        | B | Dividend  | K | T |  |  |  |  |  |
| 64. - Franklin Templeton Class Z             | C | Dividend  | N | T |  |  |  |  |  |
| 65. - Hennessy Select Large Value FD Orig    | A | Dividend  | K | T |  |  |  |  |  |
| 66. - RBC Enterprise Fund Class I            | C | Dividend  | M | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII. On June 11, 2013, I ceased to be custodian for UGMA #1. On December 10, 2013, the Fidelity Delaware Portfolio 2012 (Index) in a non-taxable event became the Fidelity College Portfolio (Index). It is listed under the latter name.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard G. Andrews**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544