

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 05/06/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	STATE OF COLORADO	\$66,063.52
2. 2011	PERA STATE OF COLORADO	\$21,970.60
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	SELF-EMPLOYED TRAVEL AGENT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
3. -VANGUARD FTSE ALL WORLD EX US INDEX	A	Dividend	K	T					
4. -VANGUARD EXTENDED MKT VIPERS	A	Dividend	L	T					
5. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
6. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	J	T					
7. B-NY MELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	K	T					
8. -US TREASURY NOTE 1/2011	A	Dividend			Sold	01/31	K		
9. -US TREASURY NOTE DTD 10/2012	A	Dividend	K	T					
10. -US TREASURY NOTE 07/13	A	Dividend	K	T					
11. BROKERAGE ACCOUNT #1									
12. FED HOME LN MTG CORP	B	Dividend	L	T	Buy (add'l)	10/24	L		
13. FED HOME LOAN BANKS	B	Dividend	L	T					
14. US TREASURY NT 11/12	B	Interest	L	T					
15. US TREAS INFLATION IND BOND	A	Interest	L	T					
16. US TREASURY NOTE 6/2016	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. US TREASURY NOTE 12/16	B	Interest	L	T					
18. US TREASURY NOTE 7/17	A	Interest	L	T					
19. US TREASURY NOTE 5/10	A	Interest							
20. GEN ELECT CAP CPR 9/17	A	Interest	K	T					
21. WELLS FARGO CO 5.25% 10/12	B	Interest	K	T					
22. AETNA INC	B	Interest			Sold	11/02	K		
23. PFIZER INC	B	Interest	K	T					
24. GOLDMAN SACHS GROUP INC	B	Interest	K	T					
25. AT & T INC	B	Interest	K	T					
26. ISHARES S&P GSCI COMMODITY INDEXED TRUST	A	Interest			Sold	04/07	K	D	
27. AIM STIT TREASURY PORTFOLIO	A	Interest	L	T					
28. SPDR TRUST SERIES I	D	Dividend	M	T					
29. ISHARES TR MIDCAP	B	Dividend	L	T					
30. SPDR DOW JONES INDUSTRIAL AVERAGE	D	Dividend	M	T					
31. ISHARES MSCI EAFE INDX FUND	D	Dividend	M	T	Sold (part)	10/26	K		
32. RYDEX MANAGED FUTURES STRATEGY FD		None	K	T					
33. ISHARES RUSSELL 1000 INDEX FUND	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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34. ISHARES TR S & P SMALL CAP 600	A	Dividend	L	T					
35. VANGUARD EMERGING MARKETS ETF	A	Dividend	L	T	Buy (add'l)	02/13	J		
36. FED HOME LN MTG CORP	B	Dividend							
37. FED HOME LOAN BANK	C	Dividend			Sold	09/16			
38. FED NAT MTG ASSN	B	Dividend							
39. HIGHBRIDGE DYNAMIC COMM STRAT FUND 3	A	Dividend	K	T	Buy				
40. US TREAS NOTE 2.125% 5/31/16	B	Interest	L	T	Buy				
41. BEAR STEARRNS CO 4.65% 7/2/18	B	Dividend	K	T	Buy	11/2	K		
42. DREYFUS HIGH YIELD I	A	Dividend	J	T	Buy	10/24	J		
43. 401(k) #1									
44. -PIMCO TOTAL RETURN FUND	B	Dividend			Sold	10/31	K		
45. -DODGES & COX BALANCED FUND	B	Dividend			Sold	10/31	K		
46. -DODGE & COX STOCK FUND	B	Dividend			Sold	10/31	J		
47. -FIDELITY CONTRAFUND	B	Dividend			Sold	10/31	J		
48. -RAINIER LG CAP GROWTH EQUITY	B	Dividend			Sold	10/31	J		
49. -AMERICAN FUNDS EUROPACIFIC GR	B	Dividend			Sold	10/31	J		
50. PERAdvantage Income Fund	B	Dividend	K	T	Buy	10/31	K		

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51. PERAdvantage Fixed Income	B	Dividend	K	T	Buy	10/31	K		
52. PERAdvantage US Large Cap Stk	B	Dividend	J	T	Buy	10/31	J		
53. PERAdvantage Intl Stock Fd	B	Dividend	J	T	Buy	10/31	J		
54. BROKERAGE ACCOUNT #2									
55. CHEVRON CORP	B	Dividend	K	T					
56. EXXON MOBIL	C	Dividend	L	T					
57. AIR PRDTS AND CHEMICALS INC	A	Dividend	K	T					
58. EMERSON ELECTRIC CO	A	Dividend			Sold	08/02	K		
59. GENERAL ELECTRIC CO	A	Dividend	K	T					
60. UNION PAC CORP	A	Dividend	K	T					
61. UNITED TECHNOLOGIES CORP	A	Dividend	K	T					
62. WALT DISNEY CO	A	Dividend	J	T					
63. JOHNSON CTLS INC	A	Dividend	J	T					
64. STARBUCKS CORP	B	Dividend	M	T					
65. NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	K	T					
66. PEPSICO INC	A	Dividend	K	T					
67. PROCTER & GAMBLE CO	B	Dividend	K	T					

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68. ABBOTT LABORATORIES	A	Dividend			Sold	12/14	J	A	
69. JOHNSON & JOHNSON	B	Dividend	K	T					
70. PFIZER INC	A	Dividend	J	T					
71. AON CORP	A	Dividend	J	T	Buy	11/15	J		
72. BB AND T CORP	A	Dividend	J	T	Buy	06/24	J		
73. INVESCO LTD	A	Dividend	J	T					
74. ACE LTD	A	Dividend			Sold	02/03	K	D	
75. JP MORGAN CHASE & CO	A	Dividend	J	T					
76. MORGAN STANLEY	A	Dividend			Sold	06/24	J		
77. STATE STREET CORP	A	Dividend	J	T					
78. US BANCORP	A	Dividend	J	T					
79. WELLS FARGO AND CO	A	Dividend	J	T					
80. ACCENTURE PLC	A	Dividend	J	T					
81. APPLE INC		None	K	T					
82. CISCO SYSTEMS INC	A	None			Sold	02/24	J	A	
83. GOOGLE INC		None	J	T					
84. HEWLETT PACKARD CO	A	Dividend			Sold	04/29	J	B	

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85. INTEL CORP	A	Dividend	J	T	Sold (part)	12/27	J	D	
86. FLORHAM PARK NJ 8% 2/16	B	Dividend	L	T	Buy	11/02	L		
87. MICROSOFT CORP	A	Dividend			Sold	05/13	J	C	
88. SALESFORCE.COM INC		None	J	T					
89. SEMPRA ENERGY	A	Dividend	J	T					
90. WATSON PHARMACEUTICALS INC		Dividend	J	T					
91. ZIMMER HOLDINGS INC	A	Dividend	J	T					
92. ISHARES MSCI EAFE INDEX FD	C	Dividend	M	T					
93. ISHARES MSCI EMERGING MARKETS FD	A	Dividend	K	T					
94. VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	L	T					
95. DENVER CO CITY & CTY SCHOOL DSTRIC	B	Interest			Sold	12/01	L	A	
96. CASTLE PINES NORTH MET DIST CO	C	Interest	L	T					
97. THORNTON CO DTD	B	Interest	L	T					
98. RANGELY CO SCH DIST RE 4	B	Interest	L	T					
99. SNOWMASS VIG CO	B	Interest	L	T					
100. UNIV COLOR ENTERPRISE SYS REV	B	Interest			Sold	06/01	L		
101. METROPOLITAN TRANSN AUTH NY	B	Interest	L	T					

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102. METRO WASTEWTR RECLAM DSTR CO	B	Interest	L	T					
103. EL PASO CTY CO REV	B	Interest	L	T					
104. BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	K	T					
105. BNY MELLON NATL MUNI MONEY MKT FUND		Dividend	M	T					
106. TEXAS INSTRUMENTS	A	Dividend	J	T	Buy	12/27	J		
107. QUALCOMM	A	Dividend	J	T	Buy	12/27	J		
108. TUALATIN HILL OR 6.21	B	Dividend	L	T	Buy	09/13	L		
109. DOVER CORP	A	Dividend	K	T	Buy	08/02	K		
110. IBM CORP	A	Dividend	J	T	Buy	07/11	J		
111. PROVO UTAH 4% 1/20	B	Dividend	L	T	Buy	04/20	L		
112. EMC CORP MASS		Dividend	J	T	Buy	04/29	J		
113. BEACHWOOD OHIL 12/19	B	Dividend	L	T	Buy	12/01	L		
114. BAXTER INTL INC	A	Dividend	J	T	Buy	12/14	J		
115. VERIZON COMMUNICATIONS	A	Dividend	J	T	Buy	02/03	J		
116. IRA #2									
117. -DREYFUS PR LRG CAP EQ FD	A	Dividend	L	T					
118. -VANGUARD FTSE ALL WORLD EX US INDEX FD	A	Dividend	K	T	Sold (part)	08/16	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

Date of Report

05/06/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. -VANGUARD EXTEND MKT VIPERS INDEX FD	A	Dividend			Sold	11/05	J	B	
120. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	K	T	Buy (add'l)	04/13	J		
121. -DREYFUS PREMIER LTD TERM HIGH YIELD FD	A	Dividend	J	T					
122. -AIM STIT TREASURY PORTFOLIO	A	Interest	J	T					
123. BNY MELLON SMALL MID CAP FUND	A	Dividend	J	T	Buy	09/27	J		
124. DREYFUL DIVERSIFIED INTERNATTIONAL FUND	A	Dividend	K	T	Buy	08/12	K		
125. BROKERAGE ACCOUNT #3									
126. DREYFUS LARGE FUND GROWTH FUND CLASS I	A	Dividend	K	T					
127. BROKERAGE ACCOUNT #4									
128. AMERICAN STRATEGIC INCOME PORTFOLIO	B	Dividend	K	T					
129. CIT GROUP INC NEW		None	J	T					
130. GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
131. AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
132. AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
133. WELLS FARGO WEALTH BUILDER MODERATE BALANCE FD	A	Dividend	K	T	Buy	01/01	K		
134. CIT GROUP INC NEW NOTE 5/13	A	Dividend			Sold	06/28	J		
135. CIT GROUP INC NEW 5/14	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. CIT GROUP INC NEW 5/15	A	Dividend	J	T					
137. CIT GROUP INC NEW 5/16	A	Dividend	J	T					
138. CIT GROUP INC NEW 5/17	A	Dividend	J	T					
139. GENERAL ELEC CAPITAL CORP MID TERM NOTE	A	Dividend	J	T					
140. WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
141. TRUST #1									
142. -SPDR TRUST SERIES 1	A	Dividend	K	T					
143. -SPDR DOW JONES INDUSTRIAL AVER TRUST	A	Dividend	K	T					
144. -ISHARES MSCI EAFE INDEX FD	A	Dividend	J	T					
145. -I-SHARES MSCI EMERGING MKTS FD	A	Dividend	J	T					
146. -VANGUARD EXTENDED MKT VIPERS INDX FD	A	Dividend	K	T					
147. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	L	T					
148. -BNY MELLON NATL MUNI MONEY MKT FD	A	Dividend	J	T					
149. -US TREASURY BILL	A	Interest			Sold	03/10	J		
150. BNY MELLON NAT S/T MIN BD FUND	A	Dividend	J	T	Buy	06/09	J		
151. TRUST #2									
152. -SPDR TRUST SERIES 1	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Jackson, Richard B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -SPDR DOW JONES INDUSTRIAL AV TRUST	B	Dividend	L	T					
154. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					
155. -ISGARES MSCI EMERGING MKTS INDX FD	A	Dividend	J	T					
156. -VANGUARD EXTENDED MTK VIPERS	A	Dividend	K	T					
157. -COLO ST BD GOVERNORS UNIV ENT	B	Interest	L	T					
158. -BOULDER CNT CO SALES TAX	B	Interest			Sold	12/15	K		
159. -BNY MELLON INT MUN BD FD	C	Dividend	L	T					
160. -US TREASURY NOTE 3/11	A	Interest			Sold	03/31	K		
161. -US TREASURY NOTE 7/12	A	Interest	K	T					
162. -BNY MELLONNATL MUNI MONEY MKT FD	A	Dividend	K	T	Buy	06/19	K		
163. BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Jackson, Richard B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For line 32 this asset was purchased since the nomination report and before reporting period

For line 88 this asset was purchased since the nomination report and before reporting period

For line 90 this asset was purchased since the nomination report and before reporting period

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544