

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wood, Diane P.	2. Court or Organization U.S. Court of Appeals for the Seventh Circuit	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 2688 U.S. Courthouse 219 S. Dearborn St. Chicago, IL 60604-1818		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Senior Lecturer in law	The University of Chicago Law School
2. Trust, Council and Board	American Academy of Arts & Sciences
3. Board Member	Constitutional Rights Foundation Chicago
4. Council and Executive Committee	American Law Institute
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Chicago (Teaching)	\$26,955.00
2. 2015	LEG, Inc. dba West Academic (book royalties)	\$1,502.00
3. 2015	West Publishing Corp. (book royalties)	\$5,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Northwestern Feinberg School of Medicine - Professor of Neurology - salary
2. 2015	Self-employed Health Care Consultant - Expert Neurologist
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Columbia Law School	Jan. 8-11	Denver, CO	Antitrust Casebook revision	T, F, L
2. American Law Institute	Jan. 14-16	Philadelphia, PA	Council meeting	T, F, L
3. Vanderbilt Law School	Jan. 26-27	Nashville, TN	Judge in residence	T, F, L
4. University of New Hampshire	Feb. 19-20	Durham, NH	Speech	T, F, L
5. Suffolk University Law School	Feb. 20-21	Boston, MA	Donahue Lecture	T, F, L
6. Federalist Society	March 3	Washington, DC	Erie Conference	T
7. Yale Law School	Mar. 23-24	New Haven, CT	Lecture	T, F, L

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

8.	Legal Services Corp.	Apr. 14	Washington, DC	White House Conference	T
9.	American Academy of Arts & Sciences	Apr. 16-17	Cambridge, MA	Spring Governance Meetings	T, F, L
10.	University of Chicago Law School/American Law Institute	May 17-19	Washington, DC	ALI Annual Meeting	T, F, L
11.	American Bar Association	June 10-17	London, UK	Magna Carta Program	T, F, L
12.	Australian Bar Association	July 8-9	Boston, MA	Annual Meeting	T, L
13.	University of Colorado Law School	Aug. 6-8	Denver, CO	Antitrust Casebook revision	T, F, L
14.	American College of Trial Lawyers	Sept. 5-11	London, UK	UK-US Legal Exchange	T, F, L
15.	International Court of Arbitration	Sept. 30-Oct. 4	Paris, France	Lecture	T, F, L
16.	American Law Institute	Oct. 7-8	New York, NY	Foreign Relations Project	T, F, L
17.	American Academy of Arts & Sciences	Oct. 9-11	Cambridge, MA	Induction Weekend	T, F, L
18.	American Law Institute	Oct. 14-16	New York, NY	Fall Council Meeting	T, F, L
19.	Washington & Lee Law School	Oct. 18-19	Lexington, VA	Lecture	T, F, L
20.	Organization for Economic Cooperation & Development and Mexican Ministry of Economy	Oct. 21-24	Mexico City, MX	Seminar with Mexican judges	T, F, L
21.	Valparaiso Law School	Nov. 4	Valparaiso, IN	Moot Court	T, F
22.	American Academy of Arts & Sciences	Nov. 10-12	Cambridge, MA	Conference on Access to Justice	T, F, L
23.	American Bar Association Antitrust Section	Nov. 12	Washington, DC	Sherman Award	F
24.	Cravath, Swaine & Moore	Nov. 19	New York, NY	Intellectual Property Seminar with NYU	T, F
25.	American Academy of Arts & Sciences	Dec. 14-15	Cambridge, MA	Language Commission	T, F, L

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Teachers Insurance & Annuity Ass'n (TIAA) Traditional (See note.)		None	N	T					
2. College Retirement Equities Fund (CREF) Stock R3 (See note.)		None	O	T					
3. BMO Harris Bank	A	Interest	M	T					
4. Chase Bank	A	Interest	O	T					
5. Ameriprise 403(b)		None	M	T					
6. Avanir Pharmaceuticals	A	None			Sold	01/20/15	J	B	
7. Baird Insured Deposit	A	Interest	L	T					
8. Bristol Myers	A	Dividend	K	T					
9. Fastenal	D	Dividend	M	T					
10. Fidelity NMFF 457(b) (See note.)		None	M	T					
11. Fidelity NW 403(b) (See note.)		None	O	T					
12. Fidelity Northwestern Medical (See note.)		None	P1	T					
13. Fidelity Puritan Fund	B	Dividend	M	T					
14. FRDXX Fidelity Case Reserves	A	Interest	J	T					
15. CLSPX Columbia Mid Cap Growth Cl Z		None	M	T					
16. FDVLX Fidelity Value		None	N	T					
17. FLPSX Fidelity Low Priced Stock Fund		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GE	A	Dividend	L	T					
19. TFFYX Touchstone Focused Class Y		None	M	T					
20. W&T Offshore	A	Dividend	J	T					
21. Walmart de Mexico	A	Dividend	K	T					
22. Wells Fargo (See note.)	B	Int./Div.	N	T					
23. Whole Foods	C	Dividend	N	T					
24. Zimmer Medical Holdings	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Notes:

Line 1. The TIAA Traditional Fund is an ordinary annuity. I have no say in the investment mix. It is not income-producing. The value of accumulations either increases or decreases depending on the market and premiums paid in.

Line 2. The CREF Stock R3 Fund is also a traditional mutual fund. I have no say in the investment mix. Like the TIAA Traditional Fund, this either increases or decreases depending on the market and premiums paid.

Line 10. Note for Fidelity NMFF 457(b): This account includes three funds: (1) ARBSX, value code L; (2) ARNIX, value code J; and (3) BHYIX, value code L. No income was generated. The value method is T.

Line 11: Note for Fidelity Northwestern 403(b): This includes three funds: (1) FBGKX, value code M; (2) FETKX, value code M; and (3) FVLKX, value code M. No income; method T.

Line 12: Note for Fidelity Northwestern Medical: This includes three funds: (1) FGCKX, value code O; (2) HAOYX, value code M; and (3) ODVYX, value code M. No income; method T.

Line 22. Note for Wells Fargo: This represents three mutual funds: (1) WMVXX, Municipal Money Market-Investment, value code L; (2) SXFIX, Municipal Bond-Inv., value code J; and (3) STADX, Ultra Short-Term Income-Inv., value code N. Method T.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Wood, Diane P.

Date of Report

05/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diane P. Wood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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