

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craven, Caroline M.	2. Court or Organization USDC/EDTX	3. Date of Report 05/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 500 N. Stateline Ave., Room 401 P.O. Box 2090 Texarkana, Texas 75504		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Uncompensated corporate director	Red River Lumber Company
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Red River Lumber Company & Design Center
2. 2017	Texarkana Truss, LLC 1/3 interest
3. 2017	Texarkana Door and Window
4. 2017	Davis Lumber and Hardware Co.
5. 2017	Spencer Bay Holding Co.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Texas Bar CLE	07/20/17-07/21/17	Horseshoe Bay Resort	Advanced Patent Litigation Conference	Hotel, milage, meal, tip and parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Ozarks/Diamond Bank	Land and buildings, Red River Lumber Co., Inc.	PI
2.	Gunstock	Land and buildings, Red River South	None
3.	Farmers Bank	Land and buildings	None
4.	Commercial National Bank	Land and buildings, Texarkana Door and Window, Inc.	PI
5.	Larry Walls	Stock in Texarkana Door and Window, Inc.	M
6.	Commecial National Bank	Land and buildings, Davis Lumber and Hardware Co.	PI
7.	Diamond Bank	Land, 54 acres on Stateline and Lakeridge Roads, Texarkana, Texas,	L
8.	Commercial National Bank	Land and buildings, Davis Lumber and Hardware, Malvern, Arkansas, Spencer Bay Holding Co.	M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo checking account #1	A	Interest	J	T					
2.									
3. Ameriprise (IRA) #2:									
4. RiverSource Life Insurance Co: RiverSource Fixed Annuity Rate Bonus 3	E	Int./Div.	N	T					
5. RiverSource Life Insurance Co: RiverSource Fixed Annuity Rate Bonus 3	E	Int./Div.	N	T					
6.									
7. American Funds (Simple/IRA) #3:									
8. Growth Fund of America-A	C	Dividend	K	T					
9. Investment Co. of America Class A, American Funds	C	Dividend	K	T					
10. Capital World Growth & Inc. Fund A Class A, American Funds	C	Dividend	K	T					
11. Washington Mutual Investors Fund A	C	Dividend	K	T					
12. American Balanced Fund A	C	Dividend	K	T					
13.									
14. Linder Capital Advisors (Roth IRA) #4:									
15. FDIC Insured Deposit Account	A	Dividend	K	T					
16. DFA Global Equity PTF	A	Dividend	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Linder Capital Advisors #5:									
19. FDIC Insured Deposit Account	A	Interest	K	T					
20. DFA Global Equity PTF	A	Dividend	K	T					
21.									
22. Red River Lumber Co., Stock		None	O	U					
23.									
24. MCCM, LLC, Texarkana, Texas	G	Rent	O	U					
25.									
26. Texarkana Truss, LLC		None	J	U					
27.									
28. Texarkana Door and Window, Inc.		None	M	U					
29.									
30. Davis Lumber and Hardware Co.		None	P1	U	Buy	06/30/17	P1		Davis Lumber Co., Inc./...
31.									
32. 54 Acres		None	L	U	Buy	05/10/17	L		Town North Properties

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI:

Line 1: Liability transferred from Bank of the Ozarks to Diamond Bank. Bank of the Ozarks is no longer a creditor.

Line 2: This liability was extinguished in 2017 and will not appear in the 2018 disclosure.

Line 3: Farmers Bank liability was transferred and consolidated with Diamond Bank liability in Line 1. Farmers Bank is no longer a creditor. This will not appear on 2018 disclosure.

Part VII:

Line 30 (D)(5): Identity of seller: Davis Lumber Co., Inc./Davis Bros. Partnership

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Caroline M. Craven**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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