

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rovner, Ilana D.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 07/05/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Court of Appeals 219 S Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member Emeritus, Board of Trustee	
2.	Member, Board of Overseers	
3.	Member, Board of Directors	
4.	Trustee	Trust
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Patrial Honorary Membership		\$1,800.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CitiMortgage	Cosigner on mortgage	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Industrial Development Bank of Israel, Ltd.		None	K	T					
2. IRA Acct #5	D	Distribution	L	T					
3.	B	Int./Div.							
4. -Fidelity Cash Reserves					Distributed (part)	12/01/11	J		
5. -Fidelity Government Income					Buy (add'l)	01/31/11	J		
6.					Buy (add'l)	02/28/11	J		
7.					Buy (add'l)	03/31/11	J		
8.					Buy (add'l)	04/29/11	J		
9.					Buy (add'l)	05/31/11	J		
10.					Buy (add'l)	06/30/11	J		
11.					Buy (add'l)	07/29/11	J		
12.					Buy (add'l)	08/31/11	J		
13.					Buy (add'l)	09/09/11	J		
14.					Buy (add'l)	09/30/11	J		
15.					Buy (add'l)	10/31/11	J		
16.					Sold (part)	11/29/11	J		
17.					Buy (add'l)	11/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	12/16/11	J		
19.					Buy (add'l)	12/30/11	J		
20. -Fidelity Government Money Mkt					Sold (part)	11/28/11	J		
21. -Fidelity Ginnie Mae					Buy (add'l)	01/31/11	J		
22.					Buy (add'l)	02/28/11	J		
23.					Buy (add'l)	03/31/11	J		
24.					Buy (add'l)	04/29/11	J		
25.					Buy (add'l)	05/31/11	J		
26.					Buy (add'l)	06/30/11	J		
27.					Buy (add'l)	07/29/11	J		
28.					Buy (add'l)	08/31/11	J		
29.					Buy (add'l)	09/09/11	J		
30.					Buy (add'l)	09/30/11	J		
31.					Buy (add'l)	10/31/11	J		
32.					Sold (part)	11/29/11	J	A	
33.					Buy (add'l)	11/30/11	J		
34.					Buy (add'l)	12/09/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	12/30/11	J		
36.	-Fidelity Canada				Sold (part)	11/29/11	J	A	
37.					Buy (add'l)	12/02/11	J		
38.	Trust #1 - Account No. 2	D	Dividend	P1	T				
39.		A	Interest						
40.		B	Royalty						
41.	-Sch AMT TF Money Market Fd (SWFXX)				Redeemed (part)	01/06/11	J		
42.					Buy (add'l)	01/31/11	J		
43.					Buy (add'l)	02/28/11	J		
44.					Redeemed (part)	02/28/11	J		
45.					Redeemed (part)	03/03/11	J		
46.					Buy (add'l)	03/28/11	J		
47.					Redeemed (part)	04/07/11	J		
48.					Buy (add'l)	04/28/11	J		
49.					Buy (add'l)	05/26/11	K		
50.					Redeemed (part)	05/31/11	K		
51.					Redeemed (part)	06/06/11	J		

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52.					Buy (add'l)	06/27/11	J		
53.					Redeemed (part)	07/05/11	J		
54.					Buy (add'l)	07/28/11	K		
55.					Buy (add'l)	08/26/11	J		
56.					Redeemed (part)	08/30/11	J		
57.					Buy (add'l)	09/26/11	J		
58.					Redeemed (part)	09/30/11	K		
59.					Redeemed (part)	10/20/11	K		
60.					Buy (add'l)	10/31/11	J		
61.					Redeemed (part)	11/28/11	J		
62.					Buy (add'l)	11/29/11	K		
63.					Buy (add'l)	12/30/11	J		
64.					Redeemed (part)	12/30/11	K		
65. -Sch AMT Tax-Free Money Mt Fd (SWWXX)					Buy (add'l)	01/18/11	J		
66.					Buy (add'l)	02/15/11	J		
67.					Buy (add'l)	03/15/11	J		
68.					Buy (add'l)	04/15/11	J		

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69.					Buy (add'l)	05/16/11	J		
70.					Buy (add'l)	06/15/11	J		
71.					Buy (add'l)	07/15/11	J		
72.					Buy (add'l)	08/15/11	J		
73.					Buy (add'l)	09/15/11	J		
74.					Buy (add'l)	10/17/11	J		
75.					Buy (add'l)	11/15/11	J		
76.					Buy (add'l)	12/30/11	J		
77. -Allen Cnty IN Comm Bonds					Redeemed	07/15/11	K		
78. -Zeeland MI Pub School Bonds					Redeemed	05/01/11	K		
79. -AT&T Inc. CS									
80. -Archer-Daniels-Midland Co.									
81. -Bank of America Corp									
82. -Comcast Corp New CL A									
83. -Grupo Televisa SA De CVF									
84. -Intel Corp. CS									
85. -Marriott Intl Inc. C1 A CS									

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86. -Medtronic, Inc.									
87. -Schnitzer Stl Inds Cl A									
88. -Teck Cominco Ltd Cl B									
89. -Wynn Resorts									
90. -Fidelity Govt Income Fund					Buy (add'l)	01/31/11	J		
91.					Buy (add'l)	02/28/11	J		
92.					Buy (add'l)	03/31/11	J		
93.					Buy (add'l)	04/29/11	J		
94.					Buy (add'l)	05/31/11	J		
95.					Buy (add'l)	06/30/11	J		
96.					Buy (add'l)	07/29/11	J		
97.					Buy (add'l)	08/31/11	J		
98.					Buy (add'l)	09/09/11	J		
99.					Buy (add'l)	10/31/11	J		
100.					Buy (add'l)	11/30/11	J		
101.					Buy (add'l)	12/30/11	J		
102. -Buckeye Partners LP									

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103. -Hugoton Royalty Trust									
104. -Plum Creek Timber Co.									
105. -Prologis Trust New Reit					Merged (with line 169)	06/06/11	J		
106. -Alcoa Inc									
107. -Citigroup Inc					Buy (add'l)	06/20/11	J		
108.					Buy (add'l)	08/29/11	J		
109.					Buy (add'l)	11/25/11	J		
110. -Wells Fargo XIV 8.625%68					Redeemed	10/03/11	J	A	
111. -Wells Fargo 8.00% Pfd									
112. -T Rowe Price Tax Free					Buy (add'l)	01/31/11	J		
113.					Buy (add'l)	02/28/11	J		
114.					Buy (add'l)	03/31/11	J		
115.					Buy (add'l)	04/29/11	J		
116.					Buy (add'l)	05/31/11	J		
117.					Buy (add'l)	06/30/11	J		
118.					Buy (add'l)	07/29/11	J		
119.					Buy (add'l)	08/31/11	J		

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120.					Buy (add'l)	09/30/11	J		
121.					Buy (add'l)	10/31/11	J		
122.					Buy (add'l)	11/30/11	J		
123.					Buy (add'l)	12/30/11	J		
124.	-Vanguard GNMA Fund				Buy (add'l)	01/31/11	J		
125.					Buy (add'l)	02/28/11	J		
126.					Buy (add'l)	03/31/11	J		
127.					Buy (add'l)	04/29/11	J		
128.					Buy (add'l)	05/31/11	J		
129.					Buy (add'l)	06/30/11	J		
130.					Buy (add'l)	07/29/11	J		
131.					Buy (add'l)	08/31/11	J		
132.					Buy (add'l)	09/30/11	J		
133.					Buy (add'l)	10/31/11	J		
134.					Buy (add'l)	11/30/11	J		
135.					Buy (add'l)	12/30/11	J		
136.	-Wells Fargo Advantage Ult S/T Mun In Inv				Buy (add'l)	01/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

[illegible]

137.					Buy (add'l)	02/28/11	J		
138.					Buy (add'l)	03/31/11	J		
139.					Buy (add'l)	04/29/11	J		
140.					Buy (add'l)	05/31/11	J		
141.					Buy (add'l)	06/30/11	J		
142.					Buy (add'l)	07/29/11	J		
143.					Buy (add'l)	08/31/11	J		
144.					Buy (add'l)	09/30/11	J		
145.					Buy (add'l)	10/31/11	J		
146.					Buy (add'l)	11/30/11	J		
147.					Buy (add'l)	12/30/11	J		
148.	-Wells Fargo Advantage S/T Muni Bd Inv				Buy (add'l)	01/31/11	J		
149.					Buy (add'l)	02/28/11	J		
150.					Buy (add'l)	03/31/11	J		
151.					Buy (add'l)	04/29/11	J		
152.					Buy (add'l)	05/31/11	J		
153.					Buy (add'l)	06/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	07/29/11	J		
155.					Buy (add'l)	08/31/11	J		
156.					Buy (add'l)	09/30/11	J		
157.					Buy (add'l)	10/31/11	J		
158.					Buy (add'l)	11/30/11	J		
159.					Buy (add'l)	12/30/11	J		
160. -Teradata Corp									
161. -NCR									
162. -Blackrock Long Term Muni									
163. -Clough Global Oppty Fund									
164. -Eaton Vance Enhanced									
165. -Nuveen Core Equity Fund									
166. -Pimco Global Stocks Plus									
167. -Travelers Companies Inc									
168. -Potash Corp Sask Inc					Buy	05/17/11	J		
169. -Prologis Inc New					Open	06/06/11	J		See Line 105
170.					Sold (part)	06/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Marriott Vacations					Spinoff (from line 85)	11/21/11	J		
172.					Sold (part)	12/21/11	J	C	
173. IRA Acct #8	E	Int./Div.	O	T					
174.	E	Distribution							
175. -Schwab Bank					Distributed (part)	03/24/11	J		
176.					Distributed (part)	11/28/11	K		
177. -Vanguard Energy					Buy (add'l)	03/22/11	J		
178.					Buy (add'l)	12/16/11	J		
179. -Vanguard GNMA Fund					Buy (add'l)	01/31/11	J		
180.					Buy (add'l)	02/28/11	J		
181.					Buy (add'l)	03/31/11	J		
182.					Buy (add'l)	04/29/11	J		
183.					Buy (add'l)	05/31/11	J		
184.					Buy (add'l)	06/30/11	J		
185.					Buy (add'l)	07/29/11	J		
186.					Buy (add'l)	08/31/11	J		
187.					Buy (add'l)	09/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/31/11	J		
189.					Buy (add'l)	11/30/11	J		
190.					Buy (add'l)	12/29/11	J		
191.					Buy (add'l)	12/30/11	J		
192. -Vanguard Short Term Investmt Grade Fd					Buy (add'l)	01/31/11	J		
193.					Buy (add'l)	02/28/11	J		
194.					Buy (add'l)	03/22/11	J		
195.					Buy (add'l)	03/31/11	J		
196.					Buy (add'l)	04/29/11	J		
197.					Buy (add'l)	05/31/11	J		
198.					Buy (add'l)	06/30/11	J		
199.					Buy (add'l)	07/29/11	J		
200.					Buy (add'l)	08/31/11	J		
201.					Buy (add'l)	09/30/11	J		
202.					Buy (add'l)	10/31/11	J		
203.					Buy (add'l)	11/30/11	J		
204.					Buy (add'l)	12/30/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -TRowe Price New Era Fd					Buy (add'l)	12/15/11	J		
206. -Conocophillips					Buy (add'l)	03/02/11	J		
207.					Buy (add'l)	06/02/11	J		
208.					Buy (add'l)	09/02/11	J		
209.					Buy (add'l)	12/02/11	J		
210. -Intl Business Machines (IBM)									
211. -Sequoia					Buy (add'l)	11/14/11	J		
212. -Cerner									
213. -Citigroup Inc					Buy (add'l)	06/20/11	J		
214.					Buy (add'l)	08/29/11	J		
215.					Buy (add'l)	11/25/11	J		
216. -Devon Energy CP					Buy (add'l)	01/03/11	J		
217.					Buy (add'l)	04/01/11	J		
218.					Buy (add'l)	07/01/11	J		
219.					Buy (add'l)	10/03/11	J		
220. -Dominion Res Inc va					Buy (add'l)	03/22/11	J		
221.					Buy (add'l)	06/21/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	09/21/11	J		
223.					Buy (add'l)	12/21/11	J		
224. -Gafisa Adr									
225. -Kinder Morgan Mgmt LLC									
226. -Pimco Corp Income Fund					Buy (add'l)	02/02/11	J		
227.					Buy (add'l)	03/02/11	J		
228.					Buy (add'l)	04/04/11	J		
229.					Buy (add'l)	05/03/11	J		
230.					Buy (add'l)	06/02/11	J		
231.					Buy (add'l)	07/05/11	J		
232.					Buy (add'l)	08/02/11	J		
233.					Buy (add'l)	09/02/11	J		
234.					Buy (add'l)	10/04/11	J		
235.					Buy (add'l)	11/02/11	J		
236.					Buy (add'l)	12/02/11	J		
237. -Randgold Res Ltd Adr									
238. -Tellabs Inc					Buy (add'l)	02/28/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	05/31/11	J		
240.					Buy (add'l)	08/29/11	J		
241.					Buy (add'l)	11/21/11	J		
242. -Verizon Communications									
243. -Frontier Communications									
244. -Warner Chilcott Plc									
245. -Harbor Bond Fund Inst C1					Buy (add'l)	03/30/11	J		
246.					Buy (add'l)	06/29/11	J		
247.					Buy (add'l)	09/29/11	J		
248.					Buy (add'l)	12/16/11	J		
249. -Janus Short Term Bd Fd					Buy (add'l)	01/31/11	J		
250.					Buy (add'l)	02/28/11	J		
251.					Buy (add'l)	03/31/11	J		
252.					Buy (add'l)	04/29/11	J		
253.					Buy (add'l)	05/31/11	J		
254.					Buy (add'l)	06/30/11	J		
255.					Buy (add'l)	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	08/31/11	J		
257.					Buy (add'l)	09/30/11	J		
258.					Buy (add'l)	10/31/11	J		
259.					Buy (add'l)	11/30/11	J		
260.					Buy (add'l)	12/22/11	J		
261.					Buy (add'l)	12/30/11	J		
262.					Buy (add'l)	01/31/11	J		
263.					Buy (add'l)	02/28/11	J		
264.					Buy (add'l)	03/31/11	J		
265.					Buy (add'l)	04/29/11	J		
266.					Buy (add'l)	05/31/11	J		
267.					Buy (add'l)	06/30/11	J		
268.					Buy (add'l)	07/29/11	J		
269.					Buy (add'l)	08/31/11	J		
270.					Buy (add'l)	09/30/11	J		
271.					Buy (add'l)	10/31/11	J		
272.					Buy (add'l)	11/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	12/30/11	J		
274. -TCW Total Return Bond					Buy (add'l)	01/31/11	J		
275.					Buy (add'l)	02/28/11	J		
276.					Buy (add'l)	03/31/11	J		
277.					Buy (add'l)	04/29/11	J		
278.					Buy (add'l)	05/31/11	J		
279.					Buy (add'l)	06/30/11	J		
280.					Buy (add'l)	07/29/11	J		
281.					Buy (add'l)	08/31/11	J		
282.					Buy (add'l)	09/30/11	J		
283.					Buy (add'l)	11/01/11	J		
284.					Buy (add'l)	11/30/11	J		
285.					Buy (add'l)	12/29/11	J		
286. -Thompson Plumb Bond Fund					Buy (add'l)	03/29/11	J		
287.					Buy (add'l)	06/28/11	J		
288.					Buy (add'l)	09/27/11	J		
289.					Buy (add'l)	12/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Vanguard Short Term Bond					Buy (add'l)	01/31/11	J		
291.					Buy (add'l)	02/28/11	J		
292.					Buy (add'l)	03/22/11	J		
293.					Buy (add'l)	03/31/11	J		
294.					Buy (add'l)	04/29/11	J		
295.					Buy (add'l)	05/31/11	J		
296.					Buy (add'l)	06/30/11	J		
297.					Buy (add'l)	07/29/11	J		
298.					Buy (add'l)	08/31/11	J		
299.					Buy (add'l)	09/30/11	J		
300.					Buy (add'l)	10/31/11	J		
301.					Buy (add'l)	11/30/11	J		
302.					Buy (add'l)	12/22/11	J		
303.					Buy (add'l)	12/30/11	J		
304. -Annaly Capital Mgmt					Buy (add'l)	01/31/11	J		
305.					Buy (add'l)	04/28/11	J		
306.					Buy (add'l)	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

07/05/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	10/28/11	J		
308. -Government Ppty Income Tr					Buy (add'l)	02/24/11	J		
309.					Buy (add'l)	05/25/11	J		
310.					Buy (add'l)	08/25/11	J		
311.					Buy (add'l)	11/23/11	J		
312. -Ishares Iboxx Investop									
313. -Ishares Msci Brazil Indx									
314. -National Retail Pptys					Buy (add'l)	02/16/11	J		
315.					Buy (add'l)	05/17/11	J		
316.					Buy (add'l)	08/16/11	J		
317.					Buy (add'l)	11/16/11	J		
318. -Plum Creek Timber Co					Buy (add'l)	03/07/11	J		
319.					Buy (add'l)	06/01/11	J		
320.					Buy (add'l)	09/01/11	J		
321.					Buy (add'l)	12/01/11	J		
322. -Spdr Gold Trust									
323. -Aluminum Corp China ADR									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -Bank of America Corp					Buy (add'l)	03/28/11	J		
325.					Buy (add'l)	06/27/11	J		
326.					Buy (add'l)	09/26/11	J		
327.					Buy (add'l)	12/27/11	J		
328. -Blackrock Enhcd Cap & Income									
329. -Eaton Van Tax Adv									
330. -Visa Inc Cl A									
331. -Guggenheim Enhanced Eqty Income Fund									
332. -Potash Corp Sask Inc					Buy	03/21/11	J		
333. Trust #4	D	Dividend	O	T					
334.	D	Interest							
335. -Fidelity Tax-Free Money Market									
336. -AT&T Inc Com									
337. -Agnico Eagle Mines Com NPV									
338. -Alcoa Inc									
339. -Anadarko Pete Corp									
340. -Apple Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. -Brocade Communications Sys									
342. -Enbridge Energy Partners LP									
343. -Govt Pptys Income Tr Com Shs									
344. -Ishares Inc MSCI Canada Index Fd									
345. -ITC Hldgs Corp									
346. -Pimco Mun Income Fd II									
347. -Range Resources Corp									
348. -SPDR Ser Tr Morgan Stanley Tech									
349. -Tata Motors Limited Adr Ea Rep									
350. -Fidelity Canada (FICDX)					Buy (add'l)	12/02/11	J		
351. -American Century Tax Free Bond (TWTIX)					Buy (add'l)	01/01/11	J		
352.					Buy (add'l)	01/31/11	J		
353.					Buy (add'l)	02/28/11	J		
354.					Buy (add'l)	03/31/11	J		
355.					Buy (add'l)	04/29/11	J		
356.					Buy (add'l)	05/31/11	J		
357.					Buy (add'l)	06/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	07/29/11	J		
359.					Buy (add'l)	08/31/11	J		
360.					Buy (add'l)	09/30/11	J		
361.					Buy (add'l)	10/31/11	J		
362.					Buy (add'l)	11/30/11	J		
363.	-Baird Intermediate Muni Bond Invs Cl (BMBSX)				Buy (add'l)	01/25/11	J		
364.					Buy (add'l)	02/25/11	J		
365.					Buy (add'l)	03/25/11	J		
366.					Buy (add'l)	04/25/11	J		
367.					Buy (add'l)	05/25/11	J		
368.					Buy (add'l)	06/27/11	J		
369.					Buy (add'l)	07/25/11	J		
370.					Buy (add'l)	08/25/11	J		
371.					Buy (add'l)	09/26/11	J		
372.					Buy (add'l)	10/25/11	J		
373.					Buy (add'l)	11/28/11	J		
374.					Buy (add'l)	12/27/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. -Marshall Intermed Tax Free Cl Y (MITFX)					Buy (add'l)	01/01/11	J		
376.					Buy (add'l)	01/31/11	J		
377.					Buy (add'l)	02/28/11	J		
378.					Buy (add'l)	03/31/11	J		
379.					Buy (add'l)	04/29/11	J		
380.					Buy (add'l)	05/31/11	J		
381.					Buy (add'l)	06/30/11	J		
382.					Buy (add'l)	07/29/11	J		
383.					Buy (add'l)	08/31/11	J		
384.					Buy (add'l)	09/30/11	J		
385.					Buy (add'l)	10/31/11	J		
386.					Buy (add'l)	11/30/11	J		
387.					Buy (add'l)	12/08/11	J		
388. -Pimco Total Return Class D (PTTDX)					Buy (add'l)	01/01/11	J		
389.					Buy (add'l)	01/31/11	J		
390.					Buy (add'l)	02/28/11	J		
391.					Buy (add'l)	03/31/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	04/29/11	J		
393.					Buy (add'l)	05/31/11	J		
394.					Buy (add'l)	06/30/11	J		
395.					Buy (add'l)	07/29/11	J		
396.					Buy (add'l)	08/31/11	J		
397.					Buy (add'l)	09/30/11	J		
398.					Buy (add'l)	10/31/11	J		
399.					Buy (add'l)	11/30/11	J		
400.					Buy (add'l)	12/28/11	J		
401.					Buy (add'l)	01/01/11	J		
402.					Buy (add'l)	01/31/11	J		
403.					Buy (add'l)	02/28/11	J		
404.					Buy (add'l)	03/31/11	J		
405.					Buy (add'l)	04/29/11	J		
406.					Buy (add'l)	05/31/11	J		
407.					Buy (add'l)	06/30/11	J		
408.					Buy (add'l)	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	08/31/11	J		
410.					Buy (add'l)	09/30/11	J		
411.					Buy (add'l)	10/31/11	J		
412.					Buy (add'l)	11/30/11	J		
413. -Thornburg Ltd Term -Municipal Fund C1 A (LTMFX)					Buy (add'l)	01/01/11	J		
414.					Buy (add'l)	01/31/11	J		
415.					Buy (add'l)	02/28/11	J		
416.					Buy (add'l)	03/31/11	J		
417.					Buy (add'l)	04/29/11	J		
418.					Buy (add'l)	05/31/11	J		
419.					Buy (add'l)	06/30/11	J		
420.					Buy (add'l)	07/29/11	J		
421.					Buy (add'l)	08/31/11	J		
422.					Buy (add'l)	09/30/11	J		
423.					Buy (add'l)	10/31/11	J		
424.					Buy (add'l)	11/30/11	J		
425. -Thornburg Strategic Municipal Income C (TSSCX)					Buy (add'l)	01/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	01/31/11	J		
427.					Buy (add'l)	02/28/11	J		
428.					Buy (add'l)	03/31/11	J		
429.					Buy (add'l)	04/29/11	J		
430.					Buy (add'l)	05/31/11	J		
431.					Buy (add'l)	06/30/11	J		
432.					Buy (add'l)	07/29/11	J		
433.					Buy (add'l)	08/31/11	J		
434.					Buy (add'l)	09/30/11	J		
435.					Buy (add'l)	10/31/11	J		
436.					Buy (add'l)	11/18/11	J		
437.					Buy (add'l)	11/30/11	J		
438.					Buy (add'l)	01/01/11	J		
439.					Buy (add'l)	01/31/11	J		
440.					Buy (add'l)	02/28/11	J		
441.					Buy (add'l)	03/31/11	J		
442.					Buy (add'l)	04/29/11	J		
438.					Buy (add'l)	01/01/11	J		
439.					Buy (add'l)	01/31/11	J		
440.					Buy (add'l)	02/28/11	J		
441.					Buy (add'l)	03/31/11	J		
442.					Buy (add'l)	04/29/11	J		
438.					Buy (add'l)	01/01/11	J		
439.					Buy (add'l)	01/31/11	J		
440.					Buy (add'l)	02/28/11	J		
441.					Buy (add'l)	03/31/11	J		
442.					Buy (add'l)	04/29/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	05/31/11	J		
444.					Buy (add'l)	06/30/11	J		
445.					Buy (add'l)	07/29/11	J		
446.					Buy (add'l)	08/31/11	J		
447.					Buy (add'l)	09/30/11	J		
448.					Buy (add'l)	10/31/11	J		
449.					Buy (add'l)	11/30/11	J		
450.					Buy (add'l)	12/30/11	J		
451.	-Wells Fargo Ultra Shrt Tm Muni Inc-Adv (SMUAX)				Buy (add'l)	01/01/11	J		
452.					Buy (add'l)	01/31/11	J		
453.					Buy (add'l)	02/28/11	J		
454.					Buy (add'l)	03/31/11	J		
455.					Buy (add'l)	04/29/11	J		
456.					Buy (add'l)	05/31/11	J		
457.					Buy (add'l)	06/30/11	J		
458.					Buy (add'l)	07/29/11	J		
459.					Buy (add'l)	08/31/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	09/30/11	J		
461.					Buy (add'l)	10/31/11	J		
462.					Buy (add'l)	11/30/11	J		
463. -Wells Fargo Short Term Muni Bd-Invest (STSMX)					Buy (add'l)	01/01/11	J		
464.					Buy (add'l)	01/31/11	J		
465.					Buy (add'l)	02/28/11	J		
466.					Buy (add'l)	03/31/11	J		
467.					Buy (add'l)	04/29/11	J		
468.					Buy (add'l)	05/31/11	J		
469.					Buy (add'l)	06/30/11	J		
470.					Buy (add'l)	07/29/11	J		
471.					Buy (add'l)	08/31/11	J		
472.					Buy (add'l)	09/30/11	J		
473.					Buy (add'l)	10/31/11	J		
474.					Buy (add'l)	11/30/11	J		
475.					Buy (add'l)	12/12/11	J		
476. -Hatteras Finl Corp Com (HTS)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ilana D. Rovner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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