

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bashant, Cynthia A.	2. Court or Organization U.S. District Court, Southern District of California	3. Date of Report 05/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 221 W. Broadway San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Superior Court Judge	State of California, San Diego County
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	California Judges' Retirement System, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Bashant, Cynthia A.

Date of Report

05/02/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Judicial Salary and other income, State of California	\$77,736.74
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Trader Joe's (wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Bashant, Cynthia A.

Date of Report

05/02/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Bashant, Cynthia A.

Date of Report

05/02/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capitol One Savings Accounts(cash account)	A	Interest	K	T					
2. Cabrillo Federal Credit Union (cash accounts)	A	Interest	K	T					
3. Scholarshare College Savings Plan Age-Based Portfolio (18+)	A	Interest			Closed	08/31/14	J	A	
4. CalHR Savings Plus Asset Allocation Index Fund-Moderat (IRA)	A	Interest	K	T					
5. Vanguard Growth Index Fund (IRA)	D	Interest	L	T					
6. iShares Russell 2000 Index Fund (IRA)	D	Interest	L	T					
7. Allianz NFJ Small Cap Value Fund (IRA)	A	Interest	J	T					
8. American Funds American Balanced Fund (IRA)	A	Interest	J	T					
9. SSgA Daily Bond Market Fund (IRA)	A	Interest	J	T					
10. SSgA S&P 500 Index Fund (IRA)	A	Interest	J	T					
11. SSgA U.S. Large Cap Growth Opportunities Fund (IRA)	A	Interest	J	T					
12. Invesco Real Estate Fund (IRA)	A	Interest	J	T					
13. Fidelity Government Money Market (SPAXX) (IRA)	A	Interest	L	T	Buy	09/24/14	O		
14. Fidelity Government Money Market (IRA)	A	Interest	L	T	Sold (part)	10/14/14	O	A	
15. Spartan Extended Mkt Index Investor (FSEMX) (IRA)	D	Interest	J	T	Buy	10/14/14	M		
16. Spartan Extended Mkt Index Investor (IRA)	B	Interest			Sold	11/21/14	M	D	
17. Spartan Extended Mkt. Index Fund Adv Class (FSEVX) (IRA)	B	Interest	M	T	Buy	11/21/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Bashant, Cynthia A.

Date of Report

05/02/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VIIA. Investments and Trusts: Part VIIB. Income During Reporting Period Part VIIC Gross Value/Valuation Method Part VIID Transactions During Reporting Period

18. Spartan LN TM Treas. Bnd Investor B Interest Buy 10/14/14 L
(FLBIX) (IRA) Sold 11/21/14 L B
19. Spartan LN TM Treas. Bnd Adv. Class B Interest L T Buy 11/21/14 L
(FLBAX) (IRA)
20. Fid. Large Cap Growth Enhanced Index D Interest M T Buy 10/14/14 M
(FLGEX) (IRA)
21. Fidelity Int'l Enhanced Index Fund A Interest K T Buy 10/14/14 K
(FIENX) (IRA)
22. Fidelity Small Cap Enhanced Index Fund D Interest M T Buy 10/16/14 M
(FCPEX) (IRA)
23. Spartan Real Estate Index Fund Adv. Class C Interest K T Buy 10/14/14 K
(FSRVX) (IRA)
24. Spartan Emerging Mkt. Index Fund Investor None Loss Buy 10/14/14 K
(FPEMX) (IRA) Sold 11/21/14 K None
25. Spartan Emerging Mkt Index Fid. Adv. Class None Loss K T Buy 11/21/14 K
(FPMAX) (IRA)
26. Fidelity Four in One Index D Interest M T Buy 10/14/14 M
(FFNOX) (IRA)

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Bashant, Cynthia A.

Date of Report

05/02/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cynthia A. Bashant**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544