

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weis, Joseph F.	2. Court or Organization COURT OF APPEALS	3. Date of Report 05/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SR CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 513 U.S. COURTHOUSE PITTSBURGH, PA 15219-2403		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab AMT Tax-Free Money Fund	A	Dividend	J	T	Sold (part)	01/04/11	K		
2. (continuation)		None			Sold (part)	02/25/11	K		
3. Artisan Mid Cap Mutual Fund	A	Dividend	K	T	Sold (part)	02/25/11	J	C	
4. Clipper Mutual Fund	A	Dividend	K	T					
5. FMI Large Cap Mutual Fund	B	Dividend	K	T					
6. FPA Capital Mutual Fund	A	Dividend	K	T	Sold (part)	02/25/11	J	C	
7. First Eagle Overseas A Mutual Fund	B	Dividend	K	T					
8. Harbor International Institutional Mutual Fund	B	Dividend	L	T	Sold (part)	02/25/11	J	B	
9. Longleaf Partners Mutual Fund	B	Dividend	K	T	Sold (part)	02/25/11	J	B	
10. Longleaf Partners Small-Cap Mutual Fund	C	Dividend	K	T	Sold (part)	02/25/11	J	B	
11. Oakmark International Mutual Fund	A	Dividend	K	T	Sold (part)	02/25/11	J	A	
12. Oakmark Select Mutual Fund	A	Dividend	K	T					
13. Selected American Shares S Mutual Fund	A	Dividend	L	T	Sold (part)	02/25/11	J	A	
14. TCW Select Equities Mutual Fund	A	Dividend	K	T					
15. Thornburg International Value A Mutual Fund	A	Dividend	K	T					
16. Thornburg International Value I Mutual Fund	A	Dividend	J	T					
17. Vanguard Energy Mutual Fund	C	Dividend	K	T					

1. Income Gain Codes:	A –\$1,000 or less (See Columns B1 and D4)	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes	F –\$50,001 - \$100,000 J –\$15,000 or less (See Columns C1 and D3)	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes	N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Westport Select Cap R Mutual Fund	C	Dividend	K	T	Sold (part)	02/25/11	J	A	
19. FPA New Income Mutual Fund	D	Dividend	M	T					
20. Loomis Sayles Bond I Mutual Fund	C	Dividend	L	T					
21. PIMCO Low Duration I Mutual Fund	C	Dividend	M	T	Buy (add'l)	02/25/11	K		
22. PIMCO Total Return I Mutual Fund	B	Dividend	K	T					
23. Schwab Money Fund (IRA)	A	Dividend			Sold	12/15/11	J		
24. First Eagle Overseas A Mutual Fund (IRA)	A	Dividend	J	T					
25. Oakmark Select Mutual Fund (IRA)	A	Dividend	J	T					
26. TCW Select Equities N Mutual Fund (IRA)	A	Dividend	J	T	Sold (part)	12/14/11	J	A	
27. Thornburg International Value I Mutual Fund (IRA)	A	Dividend	K	T					
28. Westport Select Cap R Mutual Fund (IRA)	A	Dividend	J	T					
29. FPA New Income Mutual Fund (IRA)	A	Dividend	J	T					
30. Loomis Sayles Bond R Mutual Fund (IRA)	B	Dividend	K	T	Sold (part)	12/14/11	J	A	
31. PIMCO Low Duration Mutual Fund (IRA)	A	Dividend	J	T					
32. Mellon Bank Account	A	Interest	J	T					
33. Mellon Bank CD	A	Interest	J	T					
34. National City Checking	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Items 1-31 in Part VII are held in accounts at Charles Schwab & Co.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joseph F. Weis

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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