

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gee, Dolly M.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 05/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court, Central District of CA 312 N. Spring Street, Room 218P Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executive Board	Federal Bar Association, Central District of California
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Former Law Firm Partnership Agreement: contingency fee and withdrawing partner payments are paid within 72 months after withdrawal
2. 2013	Former Law Firm 401(k) through ABA Retirement Funds Program/TD Ameritrade
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Schwartz, Steinsapir, Dohrmann & Sommers LLP partnership withdrawal payments	\$33,333.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	OneWest Bank - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest			Closed	05/06/13	J		
2. Citibank (IRA)	A	Interest	J	T					
3. Citibank accounts	A	Interest	L	T					
4. E*Trade Financial accounts	A	Interest	J	T					
5. OneWest Bank accounts	B	Interest	N	T					
6. Ally Bank accounts	C	Interest	N	T					
7. Capital One account (formerly ING Direct)	A	Interest	L	T					
8. Brokerage Account #1									
9. -StatOil Hydro ASA Common Stock	A	Dividend	J	T					
10. -Vanguard High Yield Municipal Bond Fund	B	Dividend	L	T					
11. Citigroup Common Stock	A	Dividend	J	T					
12. Brokerage Account #2					Closed	03/28/13	J		
13. Brokerage Account #3					Closed	03/28/13	J		
14. Brokerage Account #4 (401(k))									
15. -ABA Retirement Stable Asset Return Fund	A	Interest	N	T					
16. -ABA Retirement Balanced Fund		None	J	T					
17. -ABA Retirement Bond Core Plus Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -ABA Retirement Large Cap Equity Fund		None	M	T					
19. -ABA Retirement Large Cap Index Equity Fund (X)		None	J	T					
20. -ABA Retirement All Cap Index Equity Fund		None	L	T					
21. -ABA Retirement Small-Mid Cap Equity Fund		None	K	T					
22. -ABA Retirement Small Cap Equity Fund		None	J	T					
23. -ABA Retirement International All Cap Equity Fund		None	J	T					
24. -ABA Retirement International Index Equity Fund (X)		None	J	T					
25. -TD Ameritrade Money Market Portfolio	A	Int./Div.	J	T					
26. -Ariel Appreciation Mid-Cap Blend Mutual Fund	B	Int./Div.	K	T					
27. -Bridgeway Ultra Small Co. Mkt Fund	A	Int./Div.	J	T					
28. -Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
29. -Fairholme Mutual Fund	A	Int./Div.	J	T					
30. -Federated Prudent Bear Fund	A	Int./Div.	J	T					
31. -Forester Value Mutual Fund	A	Int./Div.	J	T					
32. -ING Corporate Leaders Trust Series B Mutual Fund	A	Dividend	J	T					
33. -Meridian Growth Fund	C	Int./Div.	J	T					
34. -Tamarack Enterprise Small Blend Mutual Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -US World Precious Minerals Fund	A	Dividend			Sold	07/09/13	J	A	
36. -Vanguard Star Mutual Fund	A	Int./Div.	J	T					
37. -Vanguard High Yield Municipal Bond Fund	A	Dividend			Sold	07/08/13	J	A	
38. -San Juan Basin Royalty Trust	A	Dividend	J	T					
39. -Baytex Energy Trust Common Stock	B	Dividend	K	T					
40. -GE Common Stock	A	Dividend	J	T					
41. -Home Depot Common Stock	A	Dividend	J	T					
42. -Intel Corp. Common Stock	A	Dividend	J	T					
43. -Ishares Inc. MSCI Japan Index Fund	A	Dividend	J	T					
44. -MSFT Common Stock	A	Dividend	J	T					
45. -StatOil Hydro ASA Common Stock	A	Dividend	J	T					
46. Ridgeworth U.S. Gov't Securities Bond Fund	A	Int./Div.			Sold	07/25/13	J	A	
47. Parnassus Equity Income Fund	A	Int./Div.	J	T	Buy	04/08/13	J		
48. Brokerage Account #5 (401(k))									
49. -Vanguard GNMA	A	Int./Div.	M	T					
50. -Bank of America sweep account	A	Interest	M	T					
51. Brokerage Account #6 (401(k))									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -PIMCO Total Return Bond Fund	A	Int./Div.			Sold	07/01/13	K	A	
53.									
54.									
55.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Bank of America accounts (at line 1) and Brokerage Account Nos. 2 and 3 (currently at lines 12 and 13, but previously reported at lines 14 and 15 of Part VII in the 2012 FDR) belonged to [REDACTED], who passed away on February 25, 2013. My name previously appeared on the accounts as a joint account holder. I closed the accounts after my [REDACTED]

The Citibank Market-Linked account, previously reported at lines 8 and 9 of Part VII in the 2012 FDR, matured in 2012 and is no longer held or reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dolly M. Gee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544