

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mehta, Amit P.	2. Court or Organization US District Court for the District of Columbia	3. Date of Report 07/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US District Court for the District of Columbia 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Zuckerman Spaeder LLP (self-employed attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Alabama School of Law	March 21-23, 2017	Tuscaloosa, TX	Moot Court	Travel, meals, and hotel
2. Fordham Competition Law Institute	September 14, 2017	New York, NY	Panel	Travel, meals, and hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Edward Bennett Williams Inn of Court	Membership (dues)	\$400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	ACS	Student Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	K	T					
2. BNY Mellon Accounts	A	Interest	K	T					
3.									
4. 401(k) #1 (H)									
5. - American Funds Growth Fund of America	E	Dividend	N	T					
6. - American Funds New Perspective Fund	E	Dividend	N	T					
7. - American Funds Washington Mutual Investors Fund	E	Dividend	N	T					
8.									
9. DC 529 Accounts (H)									
10. - Calvert Balanced Portfolio		None			Closed	03/24/17	L		
11. - DC College Savings Plan Age 0-5		None			Closed	03/24/17	K		
12. - DC College Savings Plan Age 6-10		None			Closed	03/24/17	L		
13.									
14. - Intermediate-Term Bond Portfolio			K	T	Spinoff (from line 10)	03/24/17	K		
15.					Buy (add'l)	05/01/17	J		
16. - US Large Cap Equity Portfolio			L	T	Spinoff (from line 10)	03/24/17	K		
17.					Buy (add'l)	05/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - DC College Savings 2025 Portfolio			M	T	Spinoff (from line 12)	03/24/17	M		
19.					Buy (add'l)	05/01/17	J		
20. - DC College Savings 2028 Portfolio			L	T	Spinoff (from line 11)	03/24/17	K		
21.					Buy (add'l)	11/07/17	J		
22.									
23.									
24. IRA #1 (H)									
25. - Pershing Government Account	A	Interest	J	T					
26.									
27. - Ishares TR IBoxx USD Invst Grade Corp Bond	A	Dividend	K	T	Buy (add'l)	01/20/17	J		
28.					Sold (part)	10/11/17	K	A	
29. - Ishares TR Tips Bond ETF	A	Dividend			Buy (add'l)	01/20/17	K		
30.					Sold (part)	04/17/17	J	A	
31.					Sold	07/14/17	K		
32. - Ishares Inc Core MSCI Emerging Mkts ETF	B	Dividend	L	T	Buy (add'l)	01/20/17	K		
33.					Buy (add'l)	04/17/17	K		
34.					Buy (add'l)	10/11/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - iShares Short Maturity Bond	A	Dividend	K	T	Buy (add'l)	10/11/17	J		
36. - iShares Currency Hedged MSCI EAFE	B	Dividend	L	T	Buy (add'l)	01/20/17	K		
37.					Buy (add'l)	04/17/17	K		
38.					Sold (part)	07/14/17	K	A	
39.					Buy (add'l)	10/11/17	J		
40. - iShares 7-10 Year Treasury Bond	A	Dividend	K	T	Buy (add'l)	07/14/17	J		
41.					Buy (add'l)	10/11/17	J		
42. - iShares JPMorgan USD Emerg Markets Bond	B	Dividend	K	T	Sold (part)	01/20/17	K	A	
43. - iShares Core S&P Mid-Cap	A	Dividend	K	T	Sold (part)	03/30/17	K	C	
44.					Buy (add'l)	10/11/17	J		
45. - iShares Core S&P Small-Cap	A	Dividend	K	T	Buy	01/20/17	J		
46. - iShares Core S&P 500	C	Dividend	M	T	Buy	01/20/17	M		
47.					Buy (add'l)	07/14/17	J		
48. - iShares Edge MSCI USA Momentum Factor	A	Dividend	J	T	Sold (part)	01/20/17	K	B	
49.					Buy (add'l)	07/14/17	K		
50. - iShares Intermediate Credit Bond	B	Dividend	L	T	Buy (add'l)	01/20/17	J		
51.					Buy (add'l)	04/17/17	J		

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52.					Buy (add'l)	10/11/17	J		
53. - iShares S&P 500 Growth	A	Dividend			Sold (part)	01/20/17	L	D	
54.					Sold (part)	04/17/17	J	A	
55.					Buy (add'l)	07/14/17	J		
56.					Sold	10/11/17	J	A	
57. - iShares S&P 500 Value	A	Dividend	K	T	Sold (part)	01/20/17	K	D	
58.					Sold (part)	04/17/17	K	B	
59.					Sold (part)	07/14/17	K	C	
60. - iShares Edge MSCI Min Vol USA	A	Dividend	K	T	Sold (part)	01/20/17	J	A	
61.					Buy (add'l)	04/17/17	K		
62.					Sold (part)	10/11/17	J	B	
63. - iShares Core MSCI EAFE	A	Dividend	J	T	Sold (part)	01/20/17	K	B	
64.					Sold (part)	10/11/17	J	C	
65. - iShares 20 Plus Year Treasury Bond ETF	A	Dividend	J	T	Buy (add'l)	04/17/17	J		
66.									
67.									
68.									

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69.									
70.									
71.									
72.									
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amit P. Mehta

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544