

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lemmon, Mary A.	2. Court or Organization Eastern District of LA	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Courthouse 500 Poydras Street, C-406 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	General Partner	Family Partnership
2.	General Partner	Heirs of Family Minerals Partnership
3.	General Partner	Family Land Partnership
4.	Board Member Emeritus	Reconcile New Orleans
5.	Board of Directors	Federal Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1996	Since July 26, 1996, I have been in the Louisiana State Employees Retirement System for State Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	La. State Employees Retirement System	\$66,091.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	La. State Employees Retirement System
2. 2016	Self Employed (Legal Consultant)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Court	5/9-11/2016	Houston, TX	Fifth Circuit Conference	Travel, Meals, Lodging
2. National Sheriff's Association	6/28-7/2/2016	Minneapolis, MN	Annual Meeting	Meals, Lodging
3. Federal Judicial Center	7/24-28/2016	San Diego, CA	Workshop for Judges	Travel, Meals, Lodging
4. Federal Judicial Center	9/12-21/2016	Portland, OR	Environmental Law Seminar	Travel, Meals, Lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Stock	B	Dividend	M	T					
2. Capital One Checking	A	Interest	J	T					
3. Capital One Checking		None	J	T					
4. Oil Royalty - St. Charles Parish	B	Royalty	L	W					
5. Ameriprise Common Stock	B	Dividend	M	T					
6. Ariel Common		None	J	T					
7. John Hancock Funds: Large Cap Equity A	A	Dividend	J	T					
8. Family Partnership Orleans Parish, LA	A	Rent	J	W					
9. Laura Plantation	D	Dividend	K	W					
10. U.S. Savings Bonds, Series, HH, transferred July 1997	A	Interest	J	T					
11. 3.31% interest in Cutrone Carline Properties		None	K	W					
12. Mary Ann Vial Lemmon and Irma Vial Brou Checking Acct		None	J	W					
13. Family Minerals Partnership St.Charles Parish, LA	B	Rent	J	W					
14. Merrill Lynch Stocks: AT&T	A	Dividend	J	T					
15. Alcoa	A	Dividend	J	T					
16. J.P. Morgan Chase	B	Dividend	L	T					
17. Vail Resorts	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. BP Amoco PLC	B	Dividend	K	T					
19. Rental Property Orleans Parish, LA	E	Rent	N	W					
20. St. Charles Land Trust	A	Dividend	J	W					
21. U.S. Savings Bonds, Series, HH	A	Interest	K	T					
22. Whitney Bank Checking		None	J	T					
23. Merrill Lynch Stocks: Com Cast	A	Dividend	J	T					
24. Series HH/H Bonds	B	Interest	L	T					
25. Merrill Lynch Money Account	A	Interest	M	T					
26. Merrill Lynch IRA-Cash Equivalent Account	A	Interest	K	T	Distributed (part)	12/02/16	J		
27. Merrill Lynch IRA-Cash Equivalent Account	A	Interest	K	T	Distributed (part)	12/02/16	J		
28. Merrill Lynch: Ginnie Mae	A	Interest	J	T	Distributed (part)	12/31/16	J		
29. Putnam Diversified	C	Dividend	L	T					
30. La. 529 START Saving Program (age based LS income) #1	A	Interest	K	T	Distributed (part)	01/15/16	J		
31.					Distributed (part)	09/16/16	J		
32.					Distributed (part)	12/09/16	J		
33. La. 529 START Saving Program (age based LS income) #2	A	Interest	K	T	Distributed (part)	01/22/16	J		
34.					Distributed (part)	08/26/16	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
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35. La. 529 START Saving Program (age based LS income) #4	A	Interest	K	T	Distributed (part)	09/09/16	J		
36.					Distributed (part)	08/08/16	J		
37.					Distributed (part)	09/13/16	J		
38.					Distributed (part)	10/12/16	J		
39.					Distributed (part)	11/18/16	J		
40. La.529 START Saving Program (age based LS Conservative) #5	B	Interest	L	T					
41. La.529 START Saving Program (age based LS conservative)#6	B	Interest	L	T					
42. La.529 START Saving Program (age based LS conservative)#7	B	Interest	L	T					
43. La.529 START Saving Program (age based LS conservative)#8	B	Interest	L	T					
44. La.529 START Saving Program (age based LS conservativ3)#9	B	Interest	L	T					
45. La.529 START Saving Program (age based LS moderate) #10	B	Interest	L	T					
46. La.529 START Saving Program (age based LS moderate) #11	B	Interest	L	T					
47. La.529 START Saving Program (age based LS moderate) #12	B	Interest	L	T					
48. La.529 START Saving Program (La. Princ Prot Fund)#13	B	Interest	L	T					
49. -American Cap Agy Corp AGNC INV CORP	A	Dividend	J	T					
50. -Century Link Inc.	A	Dividend	J	T					
51. -Chimera Investment Corp	B	Dividend	J	T					

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52. -First Eagle Mutual Fund	B	Dividend	K	T					
53. Merrill Lynch: Mutual Fund-Black Rock Global	A	Dividend	J	T					
54. Batture Lease-St. Charles Parish, LA Assessed Value \$1531	D	Rent	J	S					
55. Kinder Morgan Inc.	A	Dividend	K	T					
56. SP500 ARN Issuer BAC		None			Sold	01/29/16	K		
57. La.529 START Saving Program (La. Princ Prot Fund)#14	A	Interest	J	T					
58. Black Rock National	B	Dividend	L	T					
59. Franklin Louisiana Tare Free	B	Interest	L	T					
60. Merrill Lynch Stock: Arconic (X)	A	Dividend	J	T	Spinoff (from line 15)	11/01/16	J		
61. Merrill Lynch Stock: SP 500 LIRNS Issuer CIBC (X)		None	K	T	Buy	01/28/16	K		
62. Sale of lots 129, 131 St. Charles Parish (X)		None			Sold	08/11/16	K	E	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: Name of Organization/Entity, continued . . .

#1 Family Partnership

Part I: Name of Organization/Entity, continued

#2 Family Limited Partnership

Part I: Name of Organization/Entity, continued . . .

#3 Land partnership: A Family Partnership owning undeveloped marshland inherited. I was replaced as General Partner in 3/96. My ownership of the assets of the partnership were transferred to the Family Partnership in 1994.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary A. Lemmon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544