

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trauger, Aleta A.	2. Court or Organization U.S. District Court (MD Tenn)	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Ct.Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 825 U.S. Courthouse 801 Broadway Nashville, Tennessee 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Income from law firm partnership attorney partner in Trauger & Tuke.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nashville Bank & Trust	Mortgage on [REDACTED] law firm bldg. & loan for HVAC units ([REDACTED] joint liability with other partners)	O
2.	Nashville Bank & Trust	Line of credit for law firm partnership	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Acc'ts. at SunTrust Bank, Nashville, TN	A	Interest	M	T					
2. The Equitable A.B.A. Group IRA	A	Int./Div.	M	T					
3. Robertson Stephens Emerging Growth Fund	A	Int./Div.	M	T					
4. Guilford Co., NC farmland	B	Rent	N	W					
5. Cash surr. value NW Life policy		None	M	T					
6. Cash surr. value of NW Life policies		None	M	T					
7. Parnassus Fund	B	Dividend	L	T					
8. Interest in law firm bldg.		None	N	W					
9. Profit sharing plan- Divers. Trust		None	P1	T					
10. Moore County, NC timber land	A	Rent	M	W					
11. Bank of America Corp.	A	Dividend	J	T					
12. Exxon Mobil Corp.	A	Dividend	K	T					
13. Piedmont Natural Gas Co.	A	Dividend	K	T					
14. Metro Nashville Electric Service Zero Coupon Bonds		None	K	T					
15. TN Housing Development Agcy. Homeownership Bonds	A	Interest			Redeemed	1/3/12	K		
16. Wells Fargo Cash Sweep Program		None			Sold	1/1/12	K		
17. Elizabethton, TN water & sewer revenue bonds		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Lincoln National Corp	A	Dividend	J	T					
19. MGT Holdings		None	J	T					
20. The Providence Service Corp.		None	N	T	Donated (part)	12/21/12	K		
21. Rental Property -Nashville, Davidson Co., TN	E	Rent	O	W					
22. Regions Bank money market acc't. (Morgan Keegan)	B	Interest	J	T					
23. Pinnacle Nat. Bank accounts	A	Interest	K	T					
24. Blount County, TN munic. bonds	A	Interest	K	T					
25. NTT, Inc.		None			Sold	9/28/12	J		
26. Hirtle, Callaghan Investment Funds									
27. - DWS Tax Exempt INSL Share	A	Dividend	L	T					
28. -HCCT Commodities	A	Dividend	L	T					
29. -HCCT Emerging Mkts.	B	Dividend	M	T					
30. -HCCT Fixed Income Opportunity	A	Dividend	J	T					
31. -HCCT Intermed. Muni.	D	Dividend	M	T					
32. -HCCT Intermed. Muni. II	B	Dividend	L	T					
33. -HCCT International	D	Dividend	N	T					
34. -HCCT Large Cap Growth	C	Dividend	M	T					

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35. -HCCT Large Cap Value	D	Dividend	M	T					
36. -HCCT Small Cap	A	Dividend	K	T					
37. -HCCT Core Fixed Income	A	Dividend	J	T	Buy	1/3/12	J		
38. -HCCT Institutional International Equity	A	Dividend	J	T	Buy	1/26/12	J		
39. -HCCT Institutional Growth Equity	A	Dividend	J	T	Buy	1/25/12	J		
40. -HCCT Institutional Value Equity	A	Dividend	J	T	Buy	1/26/12	J		
41. Nelson's Green Brier Distillery, LLC		None	K	T	Buy (add'l)	7/2/12	K		
42. Wells Fargo checking acct.		None	J	T	Open	2/18/12	J		
43. Claritas Capital COC Partners, LLC		None	M	T	Buy	11/12/12	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Aleta A. Trauger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544