

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 700 Stewart Street Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Center for Children & Youth Justice
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. NCBJ

October 25-29, 2016

San Francisco, CA

Attend National Conference
of Bankruptcy Judges

Hotel

2. ABA Business Law Section

October 25-29, 2016

San Francisco, CA

Attend Business
Bankruptcy Committee Fall
Meeting

Transportation, meals, hotel

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BlackRock Muni Inc Qty Trust (BYM) Closed-End Fund	A	Distribution	J	T	Buy	06/06/16	K		
2. BlackRock Municipal 2020 (BKK) Closed- End Fund	A	Distribution	J	T	Buy	02/03/16	J		
3. BlackRock Municipal 2020 (BKK) Closed- End Fund		None			Sold	05/25/16	J		
4. iShares Currency Hedged MSCI EAFE (HEFA) Exchange-Traded Fund	A	Dividend	K	T	Buy	06/06/16	J		
5. PIMCO 1-5 Year US TIPS ETF (STPZ) Exchange-Traded Fund	A	Dividend	J	T					
6. PIMCO Low Duration Active ETF (LDUR) Exchange-Traded Fund	A	Dividend	J	T	Buy	02/03/16	J		
7. PIMCO Low Duration Active ETF (LDUR) Exchange-Traded Fund		None			Sold (part)	02/03/16	J		
8. PIMCO Total Return Active ETF (BOND) Exchange-Traded Fund	A	Dividend			Sold	02/03/16	J		
9. Fidelity Municipal Money Market (FTEXX) Money Market Fund	A	Dividend	J	T					
10. Fidelity® Government Cash Reserves (FDRXX) Money Market Fund	A	Dividend	K	T					
11. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	K		
12. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund		None			Buy	06/06/16	J		
13. American Beacon Bridgeway Lg Cp Val Inv (BWLIX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
14. American Funds Capital World Bond F1 (WBFFX) Open-End Fund	A	Distribution	J	T					
15. American Funds Capital World Bond F2 (BFWFX) Open-End Fund	A	Distribution	K	T					
16. American Funds Europacific Growth F1 (AEGFX) Open-End Fund	A	Distribution	J	T	Sold (part)	02/03/16	J		
17. American Funds Europacific Growth F1 (AEGFX) Open-End Fund		None			Sold (part)	06/03/16	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Funds Europacific Growth F2 (AEPFX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	J		
19. American Funds Europacific Growth F2 (AEPFX) Open-End Fund		None			Sold (part)	06/03/16	K		
20. Dodge & Cox Income (DODIX) Open-End Fund	B	Distribution	K	T	Sold (part)	02/03/16	J		
21. Dodge & Cox Income (DODIX) Open-End Fund		None			Sold (part)	06/03/16	J		
22. Eaton Vance Multisector Income A (EVBAX) Open-End Fund	A	Distribution			Sold	02/03/16	J	A	
23. Fidelity® Contrafund® (FCNTX) Open-End Fund	A	Distribution	K	T	Sold (part)	02/03/16	K		
24. Fidelity® Contrafund® (FCNTX) Open-End Fund		None			Sold (part)	06/03/16	J		
25. Fidelity® Interm Muni Inc (FLTMX) Open-End Fund	A	Distribution	K	T	Buy	06/06/16	K		
26. Fidelity® International Index Investor (FSIIX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
27. Fidelity® International Index Investor (FSIIX) Open-End Fund		None			Sold	06/03/16	J	A	
28. Fidelity® International Index Premium (FSIVX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	K		
29. Fidelity® International Index Premium (FSIVX) Open-End Fund		None			Sold (part)	06/03/16	J	A	
30. Harbor International Institutional (HAINX) Open-End Fund	A	Distribution	K	T	Sold (part)	02/03/16	K		
31. Harbor International Investor (HIINX) Open-End Fund	A	Distribution	J	T	Sold (part)	02/03/16	J		
32. Harbor International Investor (HIINX) Open-End Fund		None			Sold (part)	02/03/16	J		
33. Harbor International Investor (HIINX) Open-End Fund		None			Buy	06/06/16	J		
34. Lazard Emerging Markets Equity Instl (LZEMX) Open-End Fund		None	J	T	Sold (part)	02/03/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Lazard Emerging Markets Equity Instl (LZEMX) Open-End Fund		None			Sold	05/25/16	J		
36. Lazard Emerging Markets Equity Open (LZOEX) Open-End Fund		None			Sold	02/03/16	J		
37. Loomis Sayles Bond Instl (LSBDX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
38. Loomis Sayles Bond Retail (LSBRX) Open-End Fund	A	Distribution	J	T	Sold (part)	02/03/16	J		
39. Loomis Sayles Bond Retail (LSBRX) Open-End Fund		None			Sold (part)	02/03/16	J		
40. Merger Institutional (MERIX) Open-End Fund	A	Distribution	L	T					
41. Merger Investor (MERFX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
42. Merger Investor (MERFX) Open-End Fund		None			Sold (part)	06/03/16	J		
43. Metropolitan West Total Return Bd I (MWTIX) Open-End Fund	B	Distribution	K	T	Buy	02/03/16	L		
44. Metropolitan West Total Return Bd I (MWTIX) Open-End Fund		None			Sold (part)	06/03/16	J		
45. Metropolitan West Total Return Bd M (MWTRX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
46. Metropolitan West Total Return Bd M (MWTRX) Open-End Fund		None			Sold (part)	06/03/16	J		
47. Northern Small Cap Value (NOSGX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	K		
48. Northern Small Cap Value (NOSGX) Open-End Fund		None			Sold (part)	06/03/16	J		
49. Nuveen Large Cap Growth Opps A (FRGWX) Open-End Fund	A	Distribution	J	T	Sold (part)	06/03/16	J		
50. Nuveen Large Cap Growth Opps I (FIGWX) Open-End Fund	C	Distribution	K	T	Buy	02/03/16	J		
51. Oak Ridge Small Cap Growth A (ORIGX) Open-End Fund	A	Distribution	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Oak Ridge Small Cap Growth I (ORIYX) Open-End Fund	A	Distribution	J	T					
53. Oppenheimer Developing Markets A (ODMAX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
54. Oppenheimer Developing Markets A (ODMAX) Open-End Fund		None			Sold (part)	06/03/16	J		
55. Oppenheimer Developing Markets Y (ODVYX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	J		
56. PIMCO CommoditiesPLUS® Strategy D (PCLDX) Open-End Fund	A	Distribution	J	T	Buy	06/03/16	J		
57. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	K		
58. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund		None			Sold (part)	06/03/16	J		
59. PIMCO Commodity Real Ret Strat D (PCRDY) Open-End Fund	A	Distribution	J	T	Sold (part)	06/03/16	J		
60. PIMCO Commodity Real Ret Strat Instl (PCRIX) Open-End Fund	A	Distribution	J	T	Buy	02/03/16	J		
61. PIMCO Low Duration Instl (PTLDX) Open-End Fund	A	Distribution			Sold	02/03/16	J	A	
62. PIMCO Total Return Instl (PTTRX) Open-End Fund	A	Distribution			Sold	02/03/16	K	B	
63. Prudential High-Yield A (PBHAX) Open-End Fund	A	Distribution	J	T	Sold (part)	06/03/16	J		
64. Royce Total Return Invmt (RYTRX) Open-End Fund	A	Distribution	J	T	Sold (part)	02/03/16	J		
65. Royce Total Return Svc (RYTFX) Open-End Fund		None			Sold	02/03/16	J		
66. T. Rowe Price Equity Income (PRFDX) Open-End Fund		None	J	T	Sold (part)	02/03/16	J		
67. T. Rowe Price Equity Income (PRFDX) Open-End Fund		None			Sold	02/03/16	K	B	
68. T. Rowe Price Equity Income Advisor (PAFDX) Open-End Fund		None			Sold	02/03/16	J	A	

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69. T. Rowe Price Equity Income Advisor (PAFDX) Open-End Fund		None			Sold	02/03/16	J		
70. T. Rowe Price High Yield Adv (PAHIX) Open-End Fund	A	Distribution	J	T					
71. T. Rowe Price International Discovery (PRIDX) Open-End Fund	B	Distribution			Sold	02/03/16	K	D	
72. T. Rowe Price International Discovery (PRIDX) Open-End Fund		None			Sold	02/03/16	J		
73. T. Rowe Price Real Estate (TRREX) Open-End Fund	A	Distribution	K	T					
74. T. Rowe Price Real Estate Advisor (PAREX) Open-End Fund	A	Distribution			Sold	02/03/16	J		
75. T. Rowe Price Real Estate Advisor (PAREX) Open-End Fund		None			Sold	06/03/16	J		
76. T. Rowe Price Small-Cap Value (PRSVX) Open-End Fund	A	Distribution			Sold	02/03/16	J	B	
77. T. Rowe Price Small-Cap Value Adv (PASVX) Open-End Fund	A	Distribution			Sold	02/03/16	J	A	
78. Templeton Global Bond A (TPINX) Open-End Fund	A	Distribution	J	T	Sold (part)	02/03/16	K		
79. Templeton Global Bond A (TPINX) Open-End Fund		None			Sold (part)	02/03/16	J		
80. Templeton Global Bond Adv (TGBAX) Open-End Fund	A	Distribution	K	T	Buy	02/03/16	K		
81. Vanguard Inflation-Protected Secs Inv (VIPSX) Open-End Fund	A	Distribution	J	T					
82. Berkshire Hathaway Inc B (BRK.B) Stock		None			Sold	05/25/16	K	D	
83. Berkshire Hathaway Inc B (BRK.B) Stock		None			Buy	06/03/16	J		
84. CA Scholarshare 529 Plan Passive Age-Based Portfolio 11-12		None	J	T					
85. CA Scholarshare 529 Plan Passive Diversified Equity Portfolio		None	J	T					

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86. Northwestern Mutual: Whole Life Policy	B	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I: In 2015, resigned from three of the four positions previously reported.

Section III: No longer required to report income from prior years. Ceased being a member of Foster Pepper PLLC in 2015.

Section VI: Refinanced mortgage and paid down revolving accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher M. Alston

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544