

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Michael J.	2. Court or Organization United States District Court, Southern District of Ohio	3. Date of Report 08/28/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 200 West Second Street, Room 505 Dayton, Ohio 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Cincinnati Public Radio, Inc.
2. Board of Directors & President	Federal Bar Association
3. Board of Directors	Federal Bar Association, Dayton Chapter
4. Board of Directors	Law and Leadership Institute
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Bar Association	January 19- January 20, 2017, January 24, 2017	New York	Federal Bar Association Board Meeting	Transportation, meals, hotel
2.	Federal Bar Association	January 24, 2017- January 27, 2017	South Carolina	Federal Bar Association	Transportation, meals, hotel
3.	Federal Bar Association	March 7, 2017-March 10, 2017	San Antonio, TX	Federal Bar Association	Transportation, meals, hotel
4.	Federal Bar Association	March 15, 2017- March 18, 2017	Washington, DC	Federal Bar Association Mid Year Meeting	Transportation, meals, hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

5.	Federal Bar Association	April 6, 2017-April 7, 2017	Scottsdale, AZ	Federal Bar Association-National-Indian Law Conference	Transportation, meals, hotel
6.	Federal Bar Association	April 18, 2017-April 23, 2017	Washington, DC	Federal Bar Association Capitol Hill Day	Transportation, meals, hotel
7.	Federal Bar Association	May 2, 2017	Indianapolis, IN	Federal Bar Association-Seventh Circuit Conference	Transportation, meals, hotel
8.	Federal Bar Association	May 4, 2017-May 6, 2017	Minneapolis, MN	Federal Bar Association	Transportation, meals, hotel
9.	Federal Bar Association	May 10, 2017-May 13, 2017	Salt Lake City and St. George	Federal Bar Association	Transportation, meals, hotel
10.	Federal Bar Association	May 24, 2017-May 25, 2017	Chicago, IL	Federal Bar Association	Transportation, meals, hotel
11.	Federal Bar Association	May 29, 2017-May 30, 2017	Washington, DC	Federal Bar Association -speak at Supreme Court swearing in	Transportation, meals, hotel
12.	Federal Bar Association	June 21, 2017-June 23, 2017	Philadelphia, PA	Federal Bar Association	Transportation, meals, hotel
13.	Federal Bar Association	July 16, 2017-July 19, 2017	San Francisco, California	Federal Bar Association	Transportation, meals, hotel
14.	Federal Bar Association	September 13, 2017-September 17, 2017	Atlanta, GA	Federal Bar Association Annual Meeting	Transportation, meals, hotel
15.	Federal Bar Association	September 17, 2017-September 20, 2017	Burlington, VT	Federal Bar Association	Transportation, meals, hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Primary Mortgage; Rental property #1; Beach Haven N.J. (See Part VII Line 29)	O
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. ishares S&P 500 Value ETF	A	Dividend	J	T					
3. Gabelli Asset Fund	A	Dividend	J	T					
4. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
5. SPDR S&P 500 ETF Trust	A	Dividend	K	T					
6. Vanguard Total stock Market ETF	A	Dividend	J	T					
7. Private Bank Deposit Account	A	Interest	J	T					
8. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
9. SPDR Euro Stoxx 50	A	Dividend	J	T					
10. ishares Russell 1000 Growth etf	A	Dividend	J	T					
11. Brokerage Account #2									
12. ishares S&P 500 Value ETF	A	Dividend	J	T					
13. Gabelli Asset Fund	A	Dividend	J	T					
14. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
15. SPDR S&P 500 ETF Trust	A	Dividend	K	T					
16. Vanguard Total stock Market ETF	A	Dividend	J	T					
17. Private Bank Deposit Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
19. SPDR Euro Stoxx 50	A	Dividend	J	T					
20. iShares Russell 1000 Growth ETF	A	Dividend	J	T					
21. Brokerage Account #3									
22. ishares S&P 500 value etf	A	Dividend	J	T					
23. Gabelli Asset Fund	A	Dividend	J	T					
24. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
25. SPDR S&P 500 ETF Trust	A	Dividend	K	T					
26. Vanguard Total stock Market ETF	A	Dividend	J	T					
27. Private Bank Deposit Account	A	Interest	J	T					
28. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
29. SPDR Euro Stoxx 50	A	Dividend	J	T					
30. iShares Russell 1000 Growth ETF	A	Dividend	J	T					
31. Rental property #1 Beach Haven, New Jersey	F	Rent	P1	W					
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$30,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Assets listed on my 2017 report, Part VII, lines 20 and 30, were inadvertently omitted from the 2015 and 2016 reports under Brokerage Account 2 and Brokerage Account 3. Please consider this an amendment to my prior reports.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Newman, Michael J.

Date of Report

08/28/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544