

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERGER, ROBERT D.	2. Court or Organization U.S. BANKRUPTCY COURT DISTRICT OF KANSAS	3. Date of Report 07/17/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 125 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Northwestern University School of Law Judicial Education Program	03/27/2011-03/29/2011	Chicago, IL	Judicial Symposium on Civil Justice Issues	Airfare, cabfare and tips, airport parking and mileage, hotel, meals
2. Northwestern University School of Law Judicial Education Program	10/16/2011-10/21/2011	Chicago, IL	Economics Institute	Airfare, cabfare and tips, airport parking and mileage, hotel, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A.G. EDWARDS/WELLS FARGO/ WACHOVIA SEC. MONEY MARKET ACCOUNTS	A	Interest	J	T					
2. CAPITOL FEDERAL	A	Interest	J	T					
3. IRON COUNTY, MICHIGAN (LAND, ONE ACRE)		None	J	W					
4. VALLEY VIEW BANK ACCOUNT	A	Interest	J	T					
5. OPPENHEIMER GOLD & SPL A OPGSX**	A	Dividend	J	T			J		
6. OPPENHEIMER DEVELOPING MARKETS A OADMV**	A	Dividend	J	T			J		
7. OPPENHEIMER EQUITY INCOME FUND A OAEIX** (X)	A	Dividend	J	T			J		
8. DREYFUS SMALL CO VALUE DSCVX	A	Dividend			Sold	06/28/11	J	A	
9. GABELLI UTILITIES FD CL AAA SHS GABUX	A	Dividend	J	T			J		
10. HARTFORD MUTUAL FDS FLOATING RATE FUND CL A HFLAX	A	Dividend			Sold	04/04/11	J	A	
11. JP MORGAN TR I LATIN AMERICA FD JLTAX	A	Dividend			Sold	01/12/11	J	A	
12. OPPENHEIMER GOLD & SPL A OPSGX	A	Dividend			Sold	01/21/11	J	A	
13. RYDEX S&P MIDCAP 400 H RYBHX	A	Dividend			Sold	01/21/11	J	A	
14. INVESCO ENDEAVOR FUND ATDYX	A	Dividend			Buy	03/3/11	J		
15. INVESCO ENDEAVOR FUND ATDYX	A	Dividend			Sold	10/20/11	J	A	
16. FIDELITY CANADA FUND FICCX	A	Dividend			Buy	03/03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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17. FIDELITY CANADA FUND FICCX	A	Dividend			Sold	09/21/11	J	A	
18. INVESCO PRECIOUS METALS FGLDX	A	Dividend			Buy	04/04/11	J		
19. INVESCO PRECIOUS METALS FGLDX	A	Dividend			Sold	05/04/11	J	A	
20. HARTFORD FLOATING RATE HFLIX	A	Dividend			Buy	04/04/11	J		
21. HARTFORD FLOATING RATE HFLIX	A	Dividend			Sold	04/20/11	J	A	
22. IVY SMALL GROWTH FUND IYSIX	A	Dividend			Buy	04/20/11	J		
23. IVY SMALL GROWTH FUND IYSIX	A	Dividend			Sold	06/06/11	J	A	
24. RYDEX MID-CAP FUND RYBHX	A	Dividend			Buy	04/20/11	J		
25. RYDEX MID-CAP FUND RYBHX	A	Dividend			Sold	06/06/11	J	A	
26. ARTISAN SMALL CAP ARTSX	A	Dividend			Buy	06/28/11	J		
27. ARTISAN SMALL CAP ARTSX	A	Dividend			Sold	09/28/11	J	A	
28. RYDEX INVERSE 500 RYURX	A	Dividend			Buy	07/22/11	J		
29. RYDEX INVERSE 500 RYURX	A	Dividend			Sold	08/02/11	J	A	
30. FIRST EAGLE GOLD FUND FEGIX	A	Dividend	J	T	Buy	10/27/11	J		
31. GABELI UTILITIES FUND GABUX	A	Dividend	J	T	Sold (part)	10/20/11	J	A	
32. INTEGRITY FUNDS WILLISTON ICAPX	A	Dividend	J	T	Buy	08/29/11	J		
33. OPPENHEIMER SMALL MIDCAP OEGYX	A	Dividend	J	T	Buy	10/12/11	J		

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34. VIRTUS SMALL CAP FUND PXSGX	A	Dividend	J	T	Buy	08/09/11	J		
35. T ROWE GLOBAL TECH FUND PRGTX	A	Dividend	J	T	Buy	10/11/11	J		
36. R S INVT GLOBAL RESOURCES RSNYX	A	Dividend	J	T	Buy	03/03/11	J		
37. RYDEX MID-CAP FUND RYBHX	A	Dividend	J	T	Buy	04/20/11	J		
38. YACKTMAN FOCUSED FUND YAFFX	A	Dividend	J	T	Buy	06/23/11	J		
39. FRANKLIN GOLD FUND FGADX (Y)	A	Dividend			Sold	01/21/11	J	A	
40. IVY SMALL CAP FUND WSCYX (Y)	A	Dividend			Sold	02/24/11	J	A	
41. MFS EMERGING MARKET MEMAX (Y)	A	Dividend			Sold	02/24/11	J	A	
42. OPPENHEIMER GOLD FUND OPGSX	A	Dividend			Sold	01/21/11	J	A	
43. RYDEX INVERSE 100 RYAIX	A	Dividend			Buy	09/28/11	J		
44. RYDEX INVERSE 100 RYAIX	A	Dividend			Sold	10/12/11	J	A	
45. PRUDENT BEAR FUND PBRXX	A	Dividend			Buy	10/03/11	J		
46. PRUDENT BEAR FUND PBRXX	A	Dividend			Sold	10/11/11	J	A	
47. RYDEX MID-CAP 400 RYBHX	A	Dividend	J	T	Buy	10/11/11	J		
48.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSETS MARKED WITH TWO ASTERISKS (**), PART VII, INVESTMENTS AND TRUSTS, LINES 5-7, ARE OWNED BY MEMBERS OF REPORTING PERSON'S FAMILY. ASSETS LISTED ON LINES 39, 40, AND 41 OF PART VII, INVESTMENTS AND TRUSTS, WERE INADVERTENTLY OMITTED FROM MY 2010 REPORT.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT D. BERGER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544