

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 07/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court Jackson Federal Courthouse 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citi Bank - Visa	Credit Card	J
2.	USAA Mastercard	Credit Card	J
3.	Bancorp South	Equity Line	K
4.	123 Rewards Citi	Credit Card	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account #1	A	Interest	J	T					
2. Bankplus Checking Account #1	A	Interest	J	T					
3. Solomon Financial Fidelity Investments #1		None							
4. - FCASH Account	A	Dividend	J	T					
5. Charles Schwab Investment Account (IRA) #1		None							
6. - Calatlantic Group, Inc.	A	Dividend			Sold	06/03/16	J	A	
7. Apple, Inc.	A	Dividend			Sold	06/03/16	J	A	
8. 25% interest in Brier Creek Investments, LLC	B	Distribution	N	W					
9. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
10. Stephen's Investment Account [REDACTED] #1		None							
11. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
12. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
13. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
14. Stephen's Investment Account [REDACTED] #2		None							
15. - American Funds Growth Fund of America Class A	A	Dividend	K	T					
16. -American Funds New Perspective Fund Class A	A	Dividend	K	T					
17. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Stephen's Investment Account #3		None							
19. - American Funds Growth Fund of America Class A	A	Dividend	K	T					
20. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
21. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
22. Stephen's Investment Account #4		None							
23. - American Funds Growth Fund of America Class A	A	Dividend	K	T					
24. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
25. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
26. Stephen's Investment Account #5		None							
27. - American Funds Growth Fund of America Class A	A	Dividend	K	T					
28. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
29. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
30. Denbury Onshore Minerals located in Madison County, MS	B	Royalty	J	W					
31. Bancorp South Checking Account #1	A	Interest	J	T					
32. Solomon Financial Advisors - Rollover IRA		None							
33. - Fidelity Govt Cash Reserve	A	Dividend	J	T					
34. - Eaton Vance Floating Rate Fund	A	Dividend	J	T	Sold (part)	03/27/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Conestoga Small Cap Investor Class	A	Dividend	J	T	Buy	03/22/16	J		
36. - Mainstay High Yield Fund	A	Dividend	J	T	Sold (part)	09/26/16	J	A	
37. - Templeton Global Bond Fund	A	Dividend	J	T					
38. - American Cent Equity Growth Fund	A	Dividend	J	T					
39. - Janus Research Fund	A	Dividend	J	T	Buy (add'l)	03/22/16	J		
40. - JPMorgan Disciplined Equity A Fund	A	Dividend	J	T					
41. - T Rowe Price Healthcare Science	A	Dividend			Sold	03/22/16	J	A	
42. - TIAA-CREF Growth & Income Inst Fund	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
43. - Oppenheimer Developing Markets Y Fund	A	Dividend	J	T	Buy (add'l)	03/22/16	J		
44. - Oppenheimer International Growth Y Fund	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
45. - AM Century Real Estate	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
46. - MFS International Value	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
47. - Pioneer Short Term Inc	A	Dividend			Sold	03/22/16	J	A	
48. - Pimco Commodities Plus Strategy	A	Dividend	J	T	Buy (add'l)	03/22/16	J		
49. - Columbia Acorn Emerging Markets	A	Dividend	J	T					
50. - Diamond Hill Small Mid Cap	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
51. - Oppenheimer Intl Small Company	A	Dividend	J	T	Sold (part)	03/22/16	J	A	

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52. - Principal Mid Cap Fund	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
53. - Baird Core Plus Bond Fd Investor Class	A	Dividend	J	T	Buy	05/25/16	J		
54. - Third Avenue Real Estate Value	A	Dividend	J	T					
55. - Fidelity GNMA Fund	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
56. - Voya Small Comp	A	Dividend	J	T	Sold (part)	03/22/16	J	A	
57. Stevens Investments Acct #6		None							
58. - American Funds - Washington Mutual Fund	A	Dividend	K	T					
59. - American Funds - Growth Fund of America	A	Dividend	K	T					
60. - American Funds - New Perspective Fund	A	Dividend	K	T					
61. Bancorp South Ban - Checking account for child #1	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544