

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Litkovitz, Karen L.	2. Court or Organization U.S. District Court, Southern District of Ohio	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Potter Stewart U.S. Courthouse, Room 716 100 East Fifth Street Cincinnati, OH 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member	Mercy Professional Services
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

self-employed attorney

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	student loan	J
2.	U.S. Department of Education	student loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market Fund	A	Dividend	J	T					
2. Vanguard Wellesley Income Fund Inv	B	Dividend	K	T					
3. Vanguard Total Stock Market Index Fund Admiral Shares	A	Dividend	J	T					
4. IRA#1	A	Dividend	K	T					
5. --Vanguard Inflation-Protected Securities Fund Investor Shar									
6. --Vanguard LifeStrategy Conservative Growth Fund									
7. IRA#2	A	Dividend	J	T					
8. --MICROSOFT CORP									
9. --Invesco Basic Value Fund Class A									
10. Vanguard Prime Money Market Fund		None	J	T					
11. GENERAL ELEC CO stock	A	Dividend	J	T					
12. PROCTER & GAMBLE stock	A	Dividend	J	T					
13. Vanguard Admiral Treasury Money Market Fund #1		None	J	T					
14. Vanguard Total Stock Market Index Fund Admiral Shares	A	Dividend	K	T					
15. IRA#3	C	Int./Div.	M	T					
16. --Vanguard Prime Money Market Fund									
17. --Vanguard Health Care Fund Investor Shares									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Vanguard PRIMECAP Fund Investor Shares									
19. --Vanguard International Growth Fund Investor Shares									
20. --Vanguard Long-Term Treasury Fund Investor Shares									
21. --Vanguard Target Retirement 2025 Fund									
22. --Vanguard Intermediate-Term Bond Index Fund Investor Shares									
23. IRA#4	B	Dividend	K	T					
24. --Vanguard Admiral Treasury Money Market Fund									
25. --Vanguard Prime Money Market Fund									
26. --Vanguard Inflation-Protected Securities Fund Investor Shar									
27. --Vanguard LifeStrategy Growth Fund									
28. IRA#5 (brokerage acct.)	A	Dividend	J	T					
29. --FIFTH THIRD BANCORP									
30. --Invesco Basic Value Fund Class A									
31. Vanguard Admiral Treasury Money Market Fund #2	A	Dividend	M	T					
32. Vanguard Prime Money Market Fund	A	Dividend	J	T					
33. Vanguard Energy Fund Admiral	B	Dividend	L	T					
34. Vanguard 500 Index Fund Investor Shares	A	Dividend	J	T					

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Legal Aid Society Money Purchase Pension Plan	C	Dividend	K	T					
36. SPARTAN 500 INDEX FD INVESTOR CLASS (IRA)	A	Dividend	L	T					
37. FIDELITY EQUITY INCOME II (IRA #1)	A	Dividend	J	T					
38. Fidelity Equity Income II (IRA#2)	A	Dividend	J	T					
39. Vanguard Moderate Age-Based Option	B	Dividend	K	T					
40. Vanguard Prime Money Market Option (529 Plan #1)		None	J	T					
41. Vanguard Prime Money Market Option (529 Plan #2)		None			Sold	08/21/12	J		
42. College Savings Bank (CD)	A	Dividend	J	T					
43. T.RowePrice MM Real Estate Fund	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 40 and 41: reallocated/transferred from [redacted] 529 plan to [redacted] 529 plan on 8/21/12

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Litkovitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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