

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Urbanski, Michael F.	2. Court or Organization Virginia - Western District	3. Date of Report 05/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 210 Franklin Road, SW, Suite 350 Roanoke, VA 24011-2208		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Family Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Part-Time Employee - [REDACTED] / self-employed gardener (occasional)
2. 2013	Part-Time Employee - The Second Yard, Inc.
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Virginia CLE	01/09/2013 - 01/10/2013	Charlottesville, VA	National Trial Advocacy College	Meals, Lodging, Transportation
2. UVA Law School	02/22/2013 - 02/23/2013	Charlottesville, VA	Moot Court Tournament	Meals, Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (accounts)	A	Interest	K	T					
2. Grayson Bankshares, Inc.		None	K	U					
3. Shenandoah Life Insurance Co. - Whole Life	A	Interest	J	T					
4. MetLife Policyholder Trust	A	Dividend	J	T					
5. Real Estate/Carroll Co., VA (1/2 int) (see Part VIII)		None	L	S	Sold (part)	04/16/13	J	C	Va. Depart of Transp.
6. AXA Equitable/ Multimanager Aggressive Equity Fund	B	Dividend	J	T					
7. Northwestern Mutual - Ordinary Life	B	Dividend	K	T					
8. Northwestern Mutual - Custom Comp Life	B	Dividend	K	T					
9. Northwestern Mutual - Term to Age 65	B	Dividend	K	T					
10. Northwestern Mutual - Whole Life	A	Dividend	J	T					
11. Northwestern Mutual - 65 Life (see Part VIII) (y)									
12. Northwestern Mutual - 65 Life (see Part VIII) (y)									
13. USAA Subscriber's Account * (x)	A	Distribution	J	T					
14. Fairpoint Communications, Inc. FRCMQ * (x)		None			Sold	06/26/13	J	A	
15. Dominion Resources, Inc. D * (x)	A	Dividend			Sold	06/06/13	K	E	
16. Frontier Comm. Corp. FTR * (x)	A	Dividend			Sold	06/06/13	J	A	
17. USAA S&P 500 Index Fund USSPX * (x)	A	Dividend			Sold	06/27/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. USAA Tax Exempt Inter. Term Fund USATX * (x)	A	Dividend			Sold	06/27/13	K	A	
19. USAA Tax Exempt Virginia Bond Fund USVAX * (x)	A	Dividend			Sold	06/27/13	K	A	
20. USAA Life Ins. Co. Annuity * (x)	D	Interest	M	T					
21. USAA Life Ins. Co. Annuity * (x)	A	Interest	J	T					
22. USAA Life Ins. Co. Annuity * (x)	A	Interest	K	T					
23. Wells Fargo Advisors Cash Account * (x)	A	Interest	J	T					
24. Invt Mngrs Ctr Coast Ins CCCNX * (x)	B	Dividend	L	T	Buy	07/18/13	K		
25.					Buy (add'l)	09/27/13	J		
26.					Buy (add'l)	11/12/13	K		
27. Federated Strt Flu Instl SVAIX * (x)	A	Dividend	K	T	Buy	07/18/13	K		
28.					Buy (add'l)	11/12/13	K		
29. Goldman Sachs SatlIt Prt GXSIX * (x)	B	Dividend	L	T	Buy	07/18/13	K		
30.					Buy (add'l)	09/27/13	K		
31.					Buy (add'l)	11/12/13	K		
32. Ivy High Income Fd1 IVHIX * (x)	A	Dividend	K	T	Buy	07/18/13	J		
33.					Buy (add'l)	09/27/13	J		
34.					Buy (add'l)	11/12/13	J		

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35. Legg Mason Clbrdg Agg I SAGYX *(x)	A	Dividend	L	T	Buy	07/18/13	K		
36.					Buy	11/12/13	K		
37. Lord Abbett Intl Div Incm Fd C1 LIDFX * (x)	A	Dividend	K	T	Buy	07/18/13	K		
38.					Buy	11/12/13	J		
39. Thornburg Dev. Wrld Inst THDIX * (x)	A	Dividend	K	T	Buy	07/18/13	K		
40.					Buy (add'l)	11/12/13	J		
41. Touchstone Mid Cap Inst. TMCPIX * (x)	A	Dividend	K	T	Buy	07/18/13	K		
42.					Buy (add'l)	11/12/13	J		
43. CUNA Mutual Members Variable Annuity * (x)	D	Int./Div.	M	T					
44. 1st Advantage Federal Credit Union- Accounts * (x)	A	Dividend	J	T					
45. American General Life Insurance - Whole Life * (x)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts, Line 5 -- One-half interest in real estate in Carroll County, Virginia. The assessed value of the entire parcel is \$165,300. Part of the acreage was sold on 04/16/2013 to the Virginia Department of Transportation.

Part VII Investments and Trusts, Lines 11 and 12 -- These items are life insurance policies on [REDACTED]. During 2013, the ownership designation for the policies was changed to their names. I no longer own these policies.

Part VII Investments and Trusts, Lines 13 - 45 -- All of these assets, indicated with an asterisk, are owned by Family Trust #1. I became a trustee of this trust for a member of my family on June 11, 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael F. Urbanski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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