

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Doyle, Carol A.	2. Court or Organization Northern District of Illinois	3. Date of Report 04/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Room 738 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition, room, and board	None
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. The Vanguard Group 500 Index Fund	A	Dividend	K	T					
2. Wells Fargo Montana NA, CD IRA	A	Interest	J	T					
3. Nicholas II Mutual Fund IRA	C	Dividend	K	T					
4. American Cent. Fund IRA (H)									
5. - American Cent. Ultra Fund IRA	D	Dividend	M	T					
6. - American Cent. Growth Fund IRA	D	Dividend	L	T					
7. Federated Capital Appreciation Fund A	B	Dividend	K	T					
8. ICMA Retirement Corp. A 457 Retirement Account (H)									
9. - ICMA VT Vantagepoint Growth Fund	B	Dividend	L	T					
10. - ICMA VT Vantagepoint Milestone 2015	B	Dividend	L	T					
11. - ICMA Vantage Trust PLUS Fund	A	Dividend	M	T					
12. - ICMA VT Vantagepoint Equity Income Fund	C	Dividend	L	T					
13. - ICMA VT Allianz NFJ Div Value Admin Fund	C	Dividend			Sold	12/08/17	L	A	
14. - ICMA VT MFS Value Fund	A	Dividend	L	T	Buy	12/08/17	L		
15. - ICMA VT Fidelity Contra Fund	C	Dividend	M	T					
16. - ICMA VT TRPrice Growth Stock Adv	B	Dividend	K	T					
17. JP Morgan Chase, Checking & Money Market Acc	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fifth Third Bank Checking, Savings and CD Accounts	A	Interest	J	T					
19. Met Life common stock	A	Dividend	J	T					
20. Utah Educational Savings Plan Age-Based Moderate Portfolio	A	Dividend	L	T					
21. Fidelity Investments (H)									
22. - US Bank IRA (FDIC Insured Deposit) (X)	A	Interest	K	T					
23. -Spartan Int'l Index Fidelity Advantage Class	B	Dividend	K	T					
24. -Fidelity Total Bond	B	Dividend	M	T					
25. - Frost Total Return Bond Investor	A	Dividend	K	T					
26. -SPDR S&P 500 ETF Trust	D	Dividend	N	T					
27. -SPDR S&P Mid Cap 400 ETF Trust	B	Dividend	L	T					
28. Morgan Stanley Inherited IRA (H)									
29. -Morgan Stanley Bank N.A. Cash account	A	Interest			Sold	10/27/17	J	A	
30. -American Income FD of America A	A	Dividend			Sold	10/27/17	J	A	
31. -American Inv. Co of Amer C	A	Dividend			Sold	10/27/17	J	A	
32. -American New Perspective C	A	Dividend			Sold	10/27/17	J	A	
33. -Invesco Equal Weighted S&P 500C	A	Dividend			Sold	10/27/17	J	A	
34. -MFS Utilities A	A	Dividend			Sold	10/27/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Pimco Total Return C	A	Dividend			Sold	10/27/17	J	A	
36. Trust No. 1 (H)									
37. -JPMorgan Chase Bank Account	A	Interest			Closed	05/08/17	M	A	
38. Jackson Nat. Life Ins. Fixed Annuity		None	J	T	Distributed (part)	11/07/17	J	A	
39. American Century Ginnie Mae Fund Inherited IRA	A	Dividend	J	T	Distributed (part)	11/15/17	J	A	
40. Vanguard Inherited IRA (H)									
41. -Vanguard Target Retirement	A	Dividend	J	T	Distributed (part)	11/03/17	J	A	
42. -Wellesley Inc. Fund Adm	A	Dividend	K	T					
43. -S-T Investment Great Inv.	A	Dividend	J	T	Distributed (part)	11/01/17	J	A	
44. Fidelity Inherited Ira (H)									
45. -Fidelity Government Money Market	A	Dividend	J	T	Open	10/30/17	J		
46. -Fidelity 500 Index Premium Class (FUSVX)	A	Dividend	K	T	Buy	10/30/17	K		
47. - Fidelity International Index Premium Class (FSIVX)	A	Distribution	J	T	Buy	10/30/17	J		
48. - Fidelity Total Bond (FTBFX)	A	Distribution	J	T	Buy	10/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Doyle, Carol A.

Date of Report

04/18/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carol A. Doyle**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544