

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TOTENBERG, AMY M.	2. Court or Organization NORTHERN DISTRICT OF GEORGIA	3. Date of Report 06/28/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 2388 Richard Russell Federal Building 75 Spring Street, SW Atlanta, GA 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	SOLE PROPRIETOR	LAW OFFICE OF AMY TOTENBERG
2.	MONITOR	U.S. DISTRICT COURT, DISTRICT OF COLUMBIA
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	LAW OFFICE OF AMY TOTENBERG	\$68,448.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	SELF-EMPLOYED TECHNOLOGY CONSULTANT
2. 2011	SELF-EMPLOYED OFFICE FINANCIAL MANAGER
3. 2011	PENSION FROM ██████████ EMPLOYEES RETIREMENT PLAN
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNT	A	Interest	J	T					
2. BRANDES INTERNATIONAL EQUITY FUND INST	B	Dividend	L	T	Sold (part)	04/12/11	J		
3. CALAMOS GROWTH FUND CLASS A		None			Sold	01/20/11	K		
4. CALAMOS GROWTH FUND CL I		None	K	T	Buy	01/20/11	K		
5.		None			Buy (add'l)	10/25/11	J		
6. CAPMARK BANK ACCOUNTS	A	Interest			Matured (part)	03/18/11	K		
7.					Matured	04/25/11	K		
8. DFA INTL SMALL CAP VALUE PORTFOLIO	A	Dividend	J	T					
9. DFA U.S. SMALL CAP VALUE PORTFOLIO	A	Dividend	L	T					
10. DISCOVER BANK ACCOUNT	A	Interest			Matured	10/24/11	L		
11. DODGE & COX STOCK FUND	B	Dividend	M	T	Sold (part)	01/04/11	J		
12.					Sold (part)	04/12/11	K		
13. FAIRHOLME FUND		None			Sold	07/07/11	K		
14. FIDELITY - VIP CONTRAFUND PORTFOLIO - SERVICE CLASS 2		None	K	T					
15. FIDELITY - VIP MID CAP PORTFOLIO - SERVICE CLASS 2		None	K	T					
16. FIDELITY- VIP VALUE STRATEGIES PORTFOLIO SERVICE CLASS 2		None	J	T					
17. HARBOR INTERNATIONAL FUND INST CL	A	Dividend	K	T	Buy	04/12/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. LONGLEAF PARTNERS FUND	A	Dividend	K	T	Buy	04/12/11	K		
19. LOOMIS SAYLES BOND FUND CL I	A	Dividend	K	T	Buy	07/21/11	K		
20. MERIDIAN GROWTH FUND	A	Dividend	K	T	Buy	06/02/11	K		
21. MERIDIAN VALUE FUND	A	Dividend	K	T					
22. MUTUAL BEACON FUND CL Z	B	Dividend	L	T	Sold (part)	02/22/11	J		
23. MUTUAL GLOBAL DISCOVERY FD CL Z	B	Dividend	L	T	Buy (add'l)	07/07/11	J		
24. NATIONWIDE FIXED ACCOUNT	A	Interest	L	T					
25. PIMCO LOW DURATION FUND INSTL CL		None			Sold	02/22/11	J		
26. PIMCO TOTAL RETURN FUND INSTL CL	C	Dividend	M	T	Sold (part)	07/21/11	K		
27.					Buy (add'l)	10/25/11	J		
28. PIMCO UNCONSTRAINED BD FD INST CL	A	Dividend	L	T	Buy (add'l)	06/02/11	J		
29. ROYCE PREMIER FUND INVESTMENT CL	A	Dividend	L	T	Buy (add'l)	4/12/11	J		
30.					Buy (add'l)	07/07/11	K		
31.					Buy (add'l)	10/25/11	J		
32. SUNTRUST BANK ACCOUNTS	A	Interest	M	T					
33. THIRD AVE FOCUSED CREDIT	B	Dividend	K	T	Buy (add'l)	10/25/11	J		
34. THIRD AVE REAL ESTATE VALUE FUND		None	K	T					

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35. THIRD AVE INTL VALUE FD INSTL CL	A	Dividend	K	T					
36. TA CLARION GLOBAL REAL ESTATE SECURITIES VP		None	J	T					
37. TA U.S. GOVERNMENT SECURITIES VP		None	J	T					
38. VANGUARD 500 INDEX SIGNAL	A	Dividend	K	T					
39. VANGUARD INTERM TERM TAX EXEMPT FD ADMIRAL SHARE	C	Dividend	M	T					
40. VANGUARD LTD TERM TAX EXEMPT ADMIRAL SHARE	C	Dividend	M	T					
41. VANGUARD PRIMECAP CORE FUND	A	Dividend	K	T	Sold (part)	06/02/11	J		
42. VANGUARD PRIMECAP FUND	A	Dividend	L	T					
43. WELLS FARGO BANK ACCOUNT	A	Interest	J	T					
44. WINTERGREEN FUND		None	K	T	Buy (add'l)	04/12/11	J		
45. CHARLES SCHWAB - CASH ACCOUNTS		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ AMY M. TOTENBERG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544