

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Borman, Paul D.	2. Court or Organization U.S. District Court, EDMJ	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Theodore Levin U.S. Courthouse 231 W. Lafayette, Rm. 740 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Borman Foundation
2.	Co-Trustee	Family Trust (Assets on Pp. 6-7)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PSaving/Checking Account Morgan/Chase	E	Interest	P2	T					
2. Bristol-Myers Squibb Co.	C	Dividend	M	T					
3. Campbell Soup Co.	B	Dividend	L	T					
4. Estee Lauder	C	Dividend	N	T					
5. Kellogg Company	D	Dividend	N	T					
6. Kelly Services	C	Dividend	M	T					
7. Merck & Company, Inc.	C	Dividend	M	T					
8. JP Morgan/Chase	E	Dividend	P1	T					
9. Proctor & Gamble Co. (P&G)	E	Dividend	P1	T					
10. Pfizer	D	Dividend	N	T					
11. 4% Int. Village Green Apt. Ltd. Partner, Southgate, MI	E	Rent	L	W					
12. 11% Int. Kendallwood Apt. Ltd. Partner Farmington Hills, MI	G	Rent	O	W					
13. Northwestern Mutual Life (Retirement Acct.)	A	Interest	J	T	Redeemed (part)	12/30/16	J		
14. Teachers Ins. & Annuity Ass'n College Retirement Acct.	E	Interest	N	T	Redeemed (part)	12/30/16	J		
15. IRA Cash Equivalent Chase/Morgan Bank	A	Interest	J	T	Redeemed (part)	12/30/16	J		
16. IRA Stock Proctor & Gamble	C	Dividend	M	T	Sold (part)	11/29/16	J	C	
17. IRA Stock Sally Beauty		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. (AXA) Equitable Life Ins. Co. Retirement Account	B	Interest	K	T	Redeemed (part)	12/30/16	J		
19. PNC Bank - Money Market	B	Interest	N	T					
20.									
21.									
22.									
23.									
24.									
25.									
26.									
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. TRUST									
36. Savings/Checking Account - Chase	D	Interest	P1	T					
37. Abbott Lab	B	Dividend	K	T					
38. Abbvie	B	Dividend	K	T					
39. ALCOA	A	Dividend	J	T					
40. ARCONIC (Alcoa spinoff)	A	Dividend	K	T					
41. Adobe		None	L	T					
42. Allergan	B	Dividend	M	T					
43. Campbell Soup Company	C	Dividend	M	T					
44. Caterpillar	B	Dividend	K	T					
45. Cisco	B	Dividend	K	T					
46. Coca Cola	B	Dividend	L	T					
47. Danaher	A	Dividend	K	T					
48. Dentsply	A	Dividend	L	T					
49. Diageo	A	Dividend	K	T					
50. EBAY		None	K	T					
51. Facebook		None	M	T					

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52. Fortive (DanaHER spinoff)	A	Dividend	J	T					
53. General Electric	B	Dividend	L	T					
54. Harman	A	Dividend	L	T					
55. INTEL	B	Dividend	L	T					
56. Johnson & Johnson	B	Dividend	L	T					
57. Kellogg Company	C	Dividend	M	T					
58. McCormick	B	Dividend	L	T					
59. Merck	C	Dividend	L	T					
60. NESTLE	B	Dividend	L	T					
61. Novartis	B	Dividend	L	T					
62. PayPal (Ebay spinoff)		None	K	T					
63. Proctor & Gamble	B	Dividend	K	T					
64. Qiagen NV		None	K	T					
65. Schlumberger	B	Dividend	L	T					
66. Stryker Corporation	B	Dividend	L	T					
67. Synchrony (G.E. spinoff)	A	Dividend	K	T					
68. Thompson/Reuters	B	Dividend	L	T					

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69. Verisk		None	L	T					
70. 3M	B	Dividend	L	T					
71. Yahoo		None	K	T					
72. Zoetis	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I, Paul D. Borman, am one of a class of potential beneficiaries of the Trust. I have never received any distributions from this Trust. The Trust Assets are listed in category VII, pages 6-7.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul D. Borman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544