

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dowdell, John E.	2. Court or Organization U.S. District Court, Northern District of Oklahoma	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Dowdell, John E.

Date of Report

05/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Dowdell, John E.

Date of Report

05/15/2018

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Citi	Credit Card	K
2.	Southwest Rapid Rewards	Credit Card	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Dowdell, John E.

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05/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Whole Life		None	M	T					
2.									
3. Tulsa Federal Employee's Credit Union Account		None	J	T					
4.									
5. IRA #1 (H)									
6. -Schwab Govt Money Fund	A	Dividend	K	T	Distributed (part)	02/09/17	J	C	
7.					Distributed (part)	04/17/17	J	A	
8.					Distributed (part)	05/12/17	J	A	
9.					Distributed (part)	07/19/17	J	A	
10.					Distributed (part)	11/20/17	J	A	
11. -Powershares Global ETF Fundamental High YLD USDBond Port	A	Dividend	J	T	Buy (add'l)	01/03/17	J		
12.					Sold (part)	06/30/17	J	A	
13. -The Southern Company Stock (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
14. -Annaly Capital Management	A	Dividend			Sold (part)	06/02/17	J	A	
15.					Sold	08/10/17	J	A	
16. -Ishares MSCI JPN IDEX FD Japan Index Fund		None			Sold	01/03/17	J	A	
17. -Ishares S&P 500 Growth S&P 500 Growth Index FD	A	Dividend	K	T	Buy (add'l)	01/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	06/30/17	J		
19. -Schw Intl EQ ETF	A	Dividend	K	T	Buy (add'l)	06/30/17	K		
20.					Sold (part)	10/02/17	J	A	
21. -Ishares S&P 500 Value S&P 500 Value Index FD	A	Dividend	M	T	Buy (add'l)	03/31/17	J		
22.					Sold (part)	06/30/17	J	A	
23. -Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
24.					Buy (add'l)	01/03/17	J		
25.					Buy (add'l)	10/02/17	J		
26. -Ishares Core S&P ETF Smallcap	A	Dividend	J	T	Sold (part)	10/05/17	K	D	
27. -Ishares Core S&P ETF Midcap	A	Dividend	K	T	Buy (add'l)	01/03/17	K		
28.					Sold (part)	06/30/17	J		
29.					Sold (part)	06/30/17	J	A	
30. -SCH US REIT ETF	A	Dividend	J	T	Sold (part)	01/03/17	K	B	
31. -Williams Companies Stock (common)	A	Dividend	J	T	Buy (add'l)	01/10/17	J		
32.					Sold (part)	06/02/17	J		
33. -Verizon Communications (common)	A	Dividend	J	T	Sold (part)	06/02/17	J		
34.					Buy (add'l)	12/27/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Pattern Energy CL A (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
36. -Guggenheim Exch TRD FD Bulletshares 2018 Corp	A	Dividend			Sold	02/06/17	L		
37. -Lamar Advertising Co. A Class A (common)	A	Dividend	J	T	Sold (part)	01/30/17	J	B	
38.					Sold (part)	06/02/17	J	A	
39. -Vodafone Group New ADR F Sponsored ADF (common)	A	Dividend	J	T	Sold (part)	06/02/17	J		
40. -Peoples United Finl Inc. (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
41. -GEO Group Inc. (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	B	
42. -Ford Motor Company (common)	A	Dividend			Sold	05/22/17	J		
43. -Valley National Banc (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
44. -Doubleline Total Return TTCL ETF	D	Dividend	M	T	Buy (add'l)	06/02/17	L		
45. -PPL Corporation (common)	A	Dividend			Sold (part)	06/02/17	J	B	
46.					Sold	12/26/17	J	A	
47. -NRG Yield Inc. (common)	A	Dividend			Sold (part)	06/02/17	J	A	
48.					Sold (part)	08/08/17	J	A	
49.					Sold	10/02/17	J	A	
50. -Weyerhaeuser Co REIT (common)	A	Dividend	J	T	Buy (add'l)	04/18/17	J		
51.					Sold (part)	06/02/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. -Duke Energy Corp (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	B	
53. -Blackstone MTG TR REIT (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
54. -Pfizer Incorporated (common)	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
55. -Cisco Systems Inc. (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	B	
56.					Buy (add'l)	07/26/17	J		
57. -IBM Corp (common)	A	Dividend			Sold	04/19/17	J	B	
58. -iShares IBonds Dec 2022 CRP ETF: IBDN	B	Dividend	L	T	Buy (add'l)	02/06/17	K		
59.					Buy (add'l)	06/02/17	K		
60. -Qualcomm Inc. (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
61. -AT&T Inc. (common)	A	Dividend			Sold	10/13/17	J		
62. -CME Group Inc. (common)	A	Dividend	J	T	Buy (add'l)	05/24/17	J		
63. -Valero Energy Corp (common)	A	Dividend	J	T					
64. -Paccar Inc. (common)	A	Dividend			Sold	03/20/17	J	B	
65. -Vaneck Vectors Nat Res ETF f/k/a Market Vectors ETF Trusthard Asset	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
66.					Sold (part)	03/31/17	J	A	
67.					Buy (add'l)	10/05/17	K		
68.					Sold (part)	06/30/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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05/15/2018

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69. -iShares IBND Dec 2025 TRM ETF: IBDQ	C	Dividend	M	T	Buy (add'l)	02/06/17	K		
70.					Buy (add'l)	06/02/17	K		
71. -Powershares Senior Loan ETF: BKLN	B	Dividend	L	T	Buy (add'l)	06/02/17	K		
72. -Coca Cola Company (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
73. -Chimera Investment (common)	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
74. -Powershares Variable Rate PRF ETF IV: VRP	A	Dividend	J	T	Sold (part)	06/02/17	J	A	
75.					Sold (part)	06/27/17	J	A	
76. -Virtu Financial Inco (common)	A	Dividend	J	T	Buy	01/30/17	J		
77.					Buy (add'l)	03/24/17	J		
78.					Sold (part)	06/02/17	J		
79.					Sold (part)	07/14/17	J		
80. -Schwab International Small Cap Equity ETF: SCHC	A	Dividend	K	T	Buy	01/03/17	J		
81.					Buy (add'l)	06/30/17	J		
82. -PBF Energy Inc. Class A (common)	A	Dividend	J	T	Buy	03/22/17	J		
83.					Sold (part)	11/08/17	J	B	
84. -HSBC Hldgs PLC F Sponsored ADR: HSBC (common)	A	Dividend	J	T	Buy	06/27/17	J		
85. -Two Harbors Investment Corp REIT (common)	A	Dividend	J	T	Buy	08/10/17	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86.					Buy (add'l)	11/17/17	J		
87. -Iron Mountain US Hol REIT (common)	A	Dividend	J	T	Buy	08/28/17	J		
88. -Macquarie Infrastructure (common)	A	Dividend	J	T	Buy	10/02/17	J		
89. -Park Hotels & Resort (common)		None	J	T	Buy	11/29/17	J		
90. -Dominion Resources I (common)		None	J	T	Buy	12/26/17	J		
91. -HCP Inc. REIT		None	J	T	Buy	11/29/17	J		
92.									
93. TIAA-CREF Retirement (Traditional)	C	Int./Div.	L	T					
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103.									
104.									
105.									
106.									
107.									

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Dowdell, John E.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John E. Dowdell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544