

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Constantine, Katherine A.	2. Court or Organization Minnesota Bankruptcy Court	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Suite 206 316 No Robert Street St Paul MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Conservator/Guardian Payee	Twin City Federal Account #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013/2014	Dorsey & Whitney LLP (former law firm) Retirement Payment Agreement, fixed payments/no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Dorsey & Whitney LLP-retirement and pension	\$111,024.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Brocade Communication Systems, Inc. - salary & bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	September 27 - September 30, 2015	Miami Beach, Florida	meetings and educational programs	partial lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1 (H)									
2. Alps (ETF)	A	Dividend	J	T					
3. AT&T (common)	A	Dividend	K	T					
4. Proctor & Gamble (common)	A	Dividend	J	T					
5. Southern Co. (common)	A	Dividend	J	T					
6. Target (common)	A	Dividend	K	T					
7. Teco Energy (common)	A	Dividend	K	T					
8. Xcel Energy (common)	A	Dividend	K	T					
9. Powershares Finl pfd (ETF)	B	Dividend	K	T					
10. GE Cap Finl (C.D.)	A	Interest	K	T					
11. Goldman Sachs Bk (C.D.)	A	Interest	K	T					
12. Freeborn Cnty MN GO (Bond)	A	Interest	K	T					
13. Deer River MN GO (Bond)	A	Interest	K	T					
14. MN Hsg Pub Svc Rev (Bond)	A	Interest	K	T					
15. Mpls MN Library GO (Bond)	A	Interest	K	T					
16. MN Streets/Hwys GO (Bond)	A	Interest	K	T					
17. Mpls MN Spl Sch Dist GO (Bond)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. MN Hsg Res Rev (Bond)	A	Interest	K	T					
19. US Gov't mm NKA RBC Br Sweep Program (money mkt)	A	Interest	J	T					See Section VIII - change
20.									
21.									
22. Brokerage #2 (H)									
23. US Gov't mm NKA RBC Br Sweep Program (m mkt)	A	Interest	J	T					See Section VIII - change
24. (Metropolitan West Ttl Retrn (Mutual Fd)	A	Dividend	K	T	Buy (add'l)	04/17/15	J		
25. MFS Div Income (Mutual Fund)	A	Dividend	K	T					
26. Anoka Cnty MN GO (Bond)	A	Interest	K	T					
27.									
28. Brokerage #3 (H)									
29. RBC Prime mm NKA RBC Br Sweep Program (m mkt)	A	Interest	J	T					See Section VIII - change
30. Activision Blizzard (common)		None	J	T	Buy	11/13/15	J		
31. Actavis PLC NKA Allergan		None			Merged (with line 37)	06/15/15			
32. Acuity Brands (common)	A	Dividend	J	T	Buy	03/06/15	J		
33.					Buy (add'l)	06/15/15	J		
34. Adobe Systems (common)		None	J	T	Buy	03/13/15	J		

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35. Advanced Auto Parts	A	Dividend	J	T					
36. Alexion Pharma (common)		None	J	T	Buy	02/23/15	J		
37. Allergan PLC FKA Actavis (common)		None	J	T	Open	06/15/15			Line 31 merger
38. Alphabet FKA Google (common)		None	J	T	Open	10/05/15			Line 62 merger
39. Amazon (common)		None	J	T	Buy	11/13/15	J		
40. Ametek (common)		None			Sold	01/27/15	J	B	
41. Andarko Pete (common)	A	Dividend	J	T					
42. Apple (common)	A	Dividend	J	T	Sold (part)	02/13/15	J	A	
43.					Sold (part)	11/13/15	J	C	
44. Archer Daniels Midland (common)	A	Dividend	J	T					
45. Avago Tech (common)	A	Dividend	J	T					
46. Biogen Idec (common)		None	J	T					
47. Boeing (common)	A	Dividend	J	T					
48. Bristol Meyers Squibb (common)	A	Dividend	J	T	Buy	02/23/15	J		
49. Celgene Corp (common)		None	J	T					
50. Comcast Corp (class A)	A	Dividend	J	T					
51. Costco Wholesale (common)	A	Dividend	J	T					

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52. Delphi Automotive PLC	A	Dividend	J	T					
53. Delta Airlines (common)	A	Dividend	J	T					
54. Edwards Lifesciences Corp (common)		None	J	T					
55. Eli Lilly (common)	A	Dividend	J	T					
56. EOG Res (common)	A	Dividend	J	T					
57. Exelon Corp	A	Dividend	J	T	Buy	01/28/15	J		
58. FS Networks (common)		None	J	T					
59. Facebook (common)		None	J	T	Buy	01/08/15	J		
60. FedEx Corp	A	Dividend	J	T					
61. FMC Technologies (common)		None			Sold	01/08/15	J		
62. Google Class A NKA Alphabet (common)		None			Buy (add'l)	07/20/15	J		
63.					Merged (with line 38)	10/05/15			
64. Henry Schein (common)		None	J	T					
65. Hewlett Packard (common)	A	Dividend			Sold	10/19/15	J		
66. Home Depot (common)	A	Dividend	J	T					See Section VIII
67. Hospira Inc		None			Sold	02/23/15	J	C	
68. Intel Corp (common)	A	Dividend			Sold	03/30/15	J	B	

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69. Johnson & Johnson (common)	A	Dividend			Sold (part)	02/13/15	J	A	
70.					Sold (part)	02/23/15	J	B	
71.					Sold	03/06/15	J	A	
72. Lear Corp (common)	A	Dividend	J	T	Buy	05/18/15	J		
73. Marathon Oil (common)	A	Dividend			Buy	04/13/15	J		
74.					Sold	07/02/15	J		
75. Merck & Co (common)	A	Dividend	J	T					
76. Michael kors Hldgs (ordinary)		None			Sold	05/18/15	J	A	
77. Michron Technology (common)		None			Buy (add'l)	02/23/15	J		
78.					Sold	06/12/15	J		
79. NXP Semiconductors (common)		None	J	T					
80. Newell Rubbermaid (common)	A	Dividend	J	T					
81. Nextera Energy (common)	A	Dividend	J	T					
82. Norfolk Southern Corp (common)	A	Dividend			Sold	04/16/15	J		
83. Occidental Pete (common)	A	Dividend	J	T					
84. Parker Hannifin (common)	A	Dividend			Sold (part)	01/26/15	J	A	
85.					Sold	04/13/15	J	A	

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86. Pepsico (common)	A	Dividend	J	T					
87. Phillips 66 (common)	A	Dividend			Sold	02/20/15	J	A	
88. Range Resources (common)	A	Dividend			Buy	04/16/15	J		
89.					Buy (add'l)	07/02/15	J		
90.					Sold	08/17/15	J		
91. Rock Tenn Co NKA Westrock (common)	A	Dividend			Buy	03/13/15	J		
92.					Merged (with line 108)	07/06/15			
93. RPM International (common)	A	Dividend			Sold	08/27/15	J	A	
94. Salesforce.com (common)		None	J	T	Buy	06/18/15	J		
95. Sandisk Corp (common)		None			Sold (part)	01/16/15	J	A	
96.					Sold	02/23/15	J	A	
97. Sector Spdr (ETF)	A	Dividend	K	T	Sold (part)	02/13/15	J	A	
98. Snap-On Inc (common)	A	Dividend	J	T					
99. Stryker Corp (common)	A	Dividend	J	T	Buy	02/23/15	J		
100. Texas Instruments (common)	A	Dividend			Buy	03/30/15	J		
101.					Sold	07/08/15	J		
102. TJX Companies (common)	A	Dividend	J	T					

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103. Valero Energy (common)		None			Buy	02/20/15	J		
104.					Sold	04/15/15	J		
105. Vulcan Materials (common)	A	Dividend	J	T					
106. Wabtec Corp (common)	A	Dividend	J	T					
107. Walt Disney (common)	A	Dividend	J	T					
108. Westrock Co FKA Rock Tenn (common)	A	Dividend	J	T	Open	07/06/15			Line 92 Merger
109. Whirlpool Corp (common)	A	Dividend	J	T					
110. Whitewave Foods Co (common)		None	J	T	Buy	02/23/15	J		
111.					Buy (add'l)	07/20/15	J		
112. Zimmer Holdings (common)	A	Dividend	J	T					
113.									
114. Brokerage #4 (H)									
115. RBC Prime mm NKA RBC Br Sweep Program (munk)	A	Interest	J	T					See section VIII - change
116. Amer Fds Euro Pacific Gr (mutual fund)	A	Dividend	J	T					
117. Amer Fds Capital Income Bldr (mutual fund) Class C	A	Dividend	J	T					
118. Amer Fds Capital Income Bldr (mutual fund) Class F	A	Dividend	J	T					
119. Thornburg Int'l Value (mutual fund)	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AXA Variable Annuity-See VIII		None	M	T					See Part VIII
121.									
122. Brokerage #5 (H)									
123. RBC Prime mm NKA RBC Br Sweep Program (mmkt)	A	Interest	J	T					See Section VIII - change
124. Blackrock Eq Div Tr (ETF)	A	Dividend	K	T	Buy	02/20/15	J		
125.					Buy (add'l)	09/15/15	J		
126. Delaware MN Muni (ETF)	A	Dividend			Buy	04/17/15	J		
127.					Sold	09/15/15	J		
128. Kayne Anderson (common)	B	Dividend	J	T					
129. MN Higher Ed St. Ben (Bond)	A	Interest			Redeemed	03/02/15	K		
130. MN Hsg Pub Human Svc (Bond)	A	Interest	K	T	Buy	02/20/15	K		
131. Nuveen AMT Value Fd (ETF)	A	Interest	K	T	Buy	04/17/15	K		
132.									
133. Xcel Energy (common)	A	Dividend	K	T					
134.									
135. Brokerage Account #6 Dorsey 401k (H) Closed									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Brokerage Acct #7 (H)									
138.									
139. Federated Money Market (money mkt mutual fund)	A	Dividend	J	T					
140. Amer Fds Euro Pac Gr (mutual fund)	A	Dividend	K	T					
141. Buffalo Fds Mid Cap (mutual Fund)	D	Dividend	L	T					
142. Dodge & Cox Fund Int'l Stock (mutual fund) Class F	B	Dividend	L	T					
143. Dodge & Cox Income (mutual fund) Class I	B	Dividend	L	T					
144. Fidelity New Insights (cl i mutual fund)	C	Dividend	L	T					
145. iShares Russell 1000 Gr (ETF)	A	Dividend	L	T					
146. iShares Russell 1000 Value (ETF)	C	Dividend	M	T					
147. iShares S&P Sm Cap 600 (ETF)	A	Dividend	L	T					
148. Metropolitan West Total Return Bond(mutual fund)	D	Dividend	M	T	Buy (add'l)	04/17/15	J		
149.					Buy (add'l)	07/15/15	J		
150. MFS Div Inc (mutual fund)	B	Dividend	L	T					
151. Parnassus Core Equity Fd (mutual fund)	D	Dividend	M	T					
152. T Rowe Price Gr Stk (mutual fund)	D	Dividend	M	T					
153. Vanguard Total Bond Market ETF	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. American Express Centurion BK	A	Interest	K	T					
155. Sallie Mae Bk Slt Lake City (C.D.)	A	Interest	K	T					
156. GE Cap Retrail Bk Utah (C.D.)	B	Interest	L	T					
157. GE Cap Retail Bk Draper Utah(C.D.)	A	Interest	L	T					
158. Goldman Sachs Bk USA NY (C.D.)	B	Interest	L	T					
159. AT & T unsecured OID	B	Interest	K	T					
160.									
161. Brokerage #8 (H)									
162. RBC Prime mm NKA RBC Br Sweep Program (mmkt)	A	Interest	K	T					See Section VIII - change
163. Amer Fds Capital Inc Bldr (mutual fund)	A	Dividend	J	T					
164. Amer Fds Euro Pac Gr (cl c mutual fund)	A	Dividend	K	T					
165. Amer Fds Euro Pac Gr (cl f mutual fund)	A	Dividend	K	T					
166. Brocade Commun Syst (common)	A	Dividend	J	T					
167. Columbia Acorn Tr (mutual fund)	B	Dividend			Sold	09/15/15	K		
168. Fidelity New Insights (mutual fund)	D	Dividend	M	T					
169. Fundamental Invs (mutual fund)	D	Dividend	L	T	Buy (add'l)	07/13/15	J		
170. Ishares Russell Mid Cap Gr (ETF)	A	Dividend	K	T	Buy	09/15/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Constantine, Katherine A.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Keeley Sm Cap Value (mutual fund)	C	Dividend	K	T					
172. Lord Abbett Sec Tr Value Opp (mutual fund)	B	Dividend	K	T					
173. Metropolitan West Total Return Bond (mutual fund)	A	Dividend	K	T					
174. MFS Ser Tr XIII (mutual fund)	A	Dividend	K	T					
175. MFS Growth (mutual fund)	B	Dividend	K	T					
176. MFS Utilities Fd (mutual fund)	C	Dividend	K	T					
177. Tr for Prof Mgrs Snow Cap Small Cap Value (mutual fd)	A	Dividend	J	T					
178.									
179. Brokerage #10 (H)									
180. RBC Branch Sweep (Money Market Program)	A	Interest	J	T					
181. Ally Bk Midvale Utah (C.D.)	B	Interest	M	T					
182. GE Cap Bk Retail (C.D.)	A	Interest	K	T					
183. American Express Cent Bk (C.D.)	A	Interest	L	T					
184.									
185. Trust #1 (H)									
186. RBC Prime mm NKA RBC Br Sweep (money mkt fund)		None	J	T					See Section VIII - change
187. MFS Ser Tr XIII (mutual fund)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Constantine, Katherine A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. American Enterprise Inv. Svcs. (mutual fund)	B	Dividend	K	T					
189. Discover Financial Svcs. (common)	A	Dividend	K	T					
190. Honeywell Int'l. (common)	A	Dividend	K	T					
191. Morgan Stanley (common)	A	Dividend	J	T					
192. Allstate Corp. (common)	A	Dividend	K	T					
193. Sears Holding Corporation (common)	A	Interest	K	T					
194. Sears Canada (common)	A	Dividend	J	T					
195. U.S. Bank N.A. Accounts (cash & CDs)	A	Interest	M	T					
196. Twin City Federal Account (cash)		None	M	T					See Part VIII
197. Brokerage #9 (H)									
198. -E Trade cash reserve account		None	L	T					See Part VIII
199. -Brocade (common)		None	M	T	Sold (part)	01/08/15	K	E	
200.					Sold (part)	01/08/15	J	D	
201.					Sold (part)	01/08/15	K	E	
202. Twin City Federal Account #1 (Conservator/Guardian)		None	J	T					See Part VIII
203. Franklin Templeton Investments Account #1 (Custodian)(Y)									
204. -Franklin Founding Funds Allocation Fund-Class A(Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following references to Lines are all Lines contained in Part VII

Lines 19, 23, 29, 115, 123, 162, 186: Internal exchange between money markets.

Lines 31 and 37: 6/15/15 Merger/Name change - Actavis PLC to Allergan PLC.

Line 65: Hewlett Packard Sale - No Gain

Line 66: Home Depot inadvertently reported that "All" shares were sold in 2014 when it was actually just "Sold Part".

Line 74: Marathon Oil Sale - No Gain

Line 78: Micron Tech Sale - No Gain

Line 82: Norfolk Southern Sale - No Gain

Line 90: Range Resources - No Gain

Line 94: Salesforce - No income rec'd during this reporting period

Line 95: Sandisk - No income rec'd during this reporting period

Line 101: Texas Instruments Sale - No Gain

Line 104: Valero Sale - No Gain and no interest rec'd during this reporting period

Line 115: (continued) Prime Money Market - No income rec'd during this reporting period

Line 120: This annuity is invested in a model portfolio 50% moderate allocation/50% conservative allocation. I am only able to select the asset allocation and the annuity makes all investment decisions without consulting me.

Line 127: Delaware MN Muni Fd Sale - No Gain

Line 167: Columbia Acorn Sale- No Gain

Line 186: No income rec'd for this reporting year

Lines 196 and 202: These are checking accounts that do not pay any interest or any other form of income.

Line 198: This is a cash holding account that does not generate income.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katherine A. Constantine**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544