

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 01/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 700 Stewart Street, Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Center for Children and Youth Justice
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	American Bar Association-Business Law Section	April 5-8, 2017	New Orleans, LA	Business Bankruptcy Committee meeting	Transportation, meals, hotel, registration
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2.	American Bar Association-Business Law Section	September 14-16, 2017	Chicago, IL	Business Law Section Annual Meeting	Transportation, meals, hotel, registration
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3.	American Bar Association-Business Law Section	October 7-11, 2017	Las Vegas, NV	Business Bankruptcy Committee meeting	Transportation, meals, hotel, registration
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4.	National Conference of Bankruptcy Judges	October 7-11, 2017	Las Vegas,	Attend judges conference	Hotel
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5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BlackRock Muni Inc Qty Trust (BYM) Closed-End Fund	A	Dividend	J	T	Sold (part)	08/31/17	J		
2. iShares Currency Hedged MSCI EAFE (HEFA) Exchange-Traded Fund	A	Dividend	J	T	Sold (part)	08/31/17	J	B	
3. PIMCO 1-5 Year US TIPS ETF (STPZ) Exchange-Traded Fund		None			Sold	08/31/17	J	A	
4. PIMCO Low Duration Active ETF (LDUR) Exchange-Traded Fund	A	Dividend	K	T	Buy (add'l)	08/31/17	K		
5. Fidelity Municipal Money Market (FTEXX) Money Market Fund	B	Dividend	J	T					
6. Fidelity® Government Cash Reserves (FDRXX) Money Market Fund	A	Dividend	K	T					
7. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund	C	Dividend	L	T					
8. American Beacon Bridgeway Lg Cp Val Inv (BWLIX) Open-End Fund		None			Sold	08/31/17	J	B	
9. American Funds Capital World Bond F1 (WBFFX) Open-End Fund	A	Dividend	J	T	Buy (add'l)	08/31/17	J		
10. American Funds Capital World Bond F2 (BFWFX) Open-End Fund	A	Dividend	K	T					
11. American Funds Europacific Growth F1 (AEGFX) Open-End Fund	A	Dividend	J	T	Sold (part)	08/31/17	J		
12. American Funds Europacific Growth F2 (AEPFX) Open-End Fund	C	Dividend	K	T	Sold (part)	08/31/17	K		
13. Dodge & Cox Income (DODIX) Open-End Fund	A	Dividend	K	T	Sold (part)	08/31/17	K		
14. Fidelity® Contrafund® (FCNTX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	08/31/17	J		
15. Fidelity® Interm Muni Inc (FLTMX) Open- End Fund	A	Dividend	K	T					
16. Fidelity® International Index Premium (FSIVX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	07/10/17	J		
17.					Buy (add'l)	08/31/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P = \$1,000,001 - \$5,000,000 Q = More than \$5,000,000	M = \$100,001 - \$250,000 R = \$250,000.01 - \$500,000.00	P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Sold (part)	12/07/17	J	A	
19.	Harbor International Institutional (HAINX) Open-End Fund	A	Dividend	K	T	Sold (part)	08/31/17	K	
20.	Harbor International Investor (HIINX) Open-End Fund	A	Dividend	J	T	Sold (part)	08/31/17	J	A
21.	Loomis Sayles Bond Instl (LSBDX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	08/31/17	K	
22.	Loomis Sayles Bond Retail (LSBRX) Open-End Fund	A	Dividend	J	T				
23.	Merger Institutional (MERIX) Open-End Fund	A	Dividend	K	T	Sold (part)	08/31/17	J	
24.	Merger Investor (MERFX) Open-End Fund	A	Dividend	J	T				
25.	Metropolitan West Total Return Bd I (MWTIX) Open-End Fund	A	Dividend	K	T	Sold (part)	08/31/17	J	
26.	Metropolitan West Total Return Bd M (MWTRX) Open-End Fund	A	Dividend	J	T				
27.	Northern Small Cap Value (NOSGX) Open-End Fund	B	Dividend	K	T				
28.	Nuveen Large Cap Growth Opps A (FRGWX) Open-End Fund		None		Sold	08/31/17	J	B	
29.	Nuveen Large Cap Growth Opps I (FIGWX) Open-End Fund		None		Sold	08/31/17	K	D	
30.	Oak Ridge Small Cap Growth A (ORIGX) Open-End Fund		None		Sold	08/31/17	J	A	
31.	Oak Ridge Small Cap Growth I (ORIYX) Open-End Fund		None		Sold	08/31/17	J	B	
32.	Oppenheimer Developing Markets A (ODMAX) Open-End Fund		None		Sold	08/31/17	J	B	
33.	Oppenheimer Developing Markets Y (ODVYX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	08/31/17	J	
34.	PIMCO CommoditiesPLUS® Strategy D (PCLDX) Open-End Fund	A	Dividend	J	T				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund	C	Dividend	K	T	Sold (part)	08/31/17	K	B	
36. PIMCO Commodity Real Ret Strat D (PCRD) Open-End Fund (Y)									
37. PIMCO Commodity Real Ret Strat Instl (PCRIX) Open-End Fund	A	Dividend	J	T	Sold (part)	08/31/17	J		
38. Prudential High-Yield A (PBHAX) Open-End Fund		None			Sold	08/31/17	J	A	
39. Royce Total Return Invmt (RYTRX) Open-End Fund	B	Dividend	J	T	Sold (part)	02/03/17	J		
40. T. Rowe Price High Yield Adv (PAHIX) Open-End Fund	A	Dividend	J	T					
41. T. Rowe Price International Discovery (PRIDX) Open-End Fund	B	Dividend	K	T	Sold (part)	08/31/17	K	C	
42. T. Rowe Price Real Estate (TRREX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	08/31/17	K		
43. T. Rowe Price Real Estate Advisor (PAREX) Open-End Fund		None			Sold	08/31/17	J	A	
44. Templeton Global Bond A (TPINX) Open-End Fund		None			Sold	08/31/17	J	A	
45. Templeton Global Bond Adv (TGBAX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	08/31/17	J		
46. Vanguard Inflation-Protected Secs Inv (VIPSX) Open-End Fund	A	Dividend	J	T					
47. Berkshire Hathaway Inc B (BRK.B) Stock		None	K	T	Buy (add'l)	08/31/17	K		
48. CA Scholarshare 529 Plan Passive Age-Based Portfolio 11-12		None	J	T					
49. CA Scholarshare 529 Plan Passive Diversified Equity Portfolio		None	J	T					
50. iPath® S&P 500 VIX ST Futures™ ETN (VXX)		None	J	T	Buy	08/31/17	J		
51.					Buy (add'l)	09/11/17	J		

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52.					Buy (add'l)	09/13/17	J		
53. American Beacon Bridgeway Lg Cp Val Y (BWLXY)	A	Dividend	J	T	Buy	08/31/17	J		
54. iShares 0-5 Year TIPS Bond ETF (STIP)	A	Dividend	J	T	Buy	08/31/17	J		
55. iShares Russell 2000 Growth ETF (IWO)	A	Dividend	K	T	Buy	08/31/17	K		
56. Fidelity® Large Cap Growth Idx Prm (FSUPX)	A	Dividend	L	T	Buy	08/31/17	L		
57. PIMCO Short-Term Instl (PTSHX)	A	Dividend	K	T	Buy	08/31/17	K		
58. Prudential High-Yield Z (PHYZX)	A	Dividend	K	T	Buy	08/31/17	K		
59. Vanguard Global ex-US Real Est ETF (VNQI)	A	Dividend	K	T	Buy	08/31/17	K		
60. Northwestern Mutual: Whole Life Policy	B	Int./Div.	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Alston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544