

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schopler, Andrew G.	2. Court or Organization US District Court-Southern District of California	3. Date of Report 11/23/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 10/31/2016
7. Chambers or Office Address US District Court Southern District of California 221 W Broadway Suite 5160 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Chair	Lawyer Representative Committee for the Southern District of California
2. Delegate	National Association of Assistant US Attorneys
3. Secretary, Board of Directors	Federal Bar Association-San Diego Chapter
4. Guest Lecturer	USD Law School
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. Exempt _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Cash Accounts	A	Interest	J	T	Exempt				
2. IRA #1 (H)									
3. Vanguard REIT Index Fund Admiral Shares	A	Dividend	K	T					
4. Vanguard Total Bond Mkt Index Fund Admiral Shares	A	Dividend	K	T					
5. IRA #2 (H)									
6. Vanguard REIT Index Fund Admiral Shares	A	Dividend	K	T					
7. Vanguard Small-Cap Value Index Fund Admiral Shares	A	Dividend	K	T					
8. Vanguard Total Bond Market Index Fund Admiral Shares	A	Dividend	K	T					
9. Roth IRA (H)									
10. Vanguard REIT Index Fund Admiral Shares	A	Dividend	K	T					
11. Vanguard Total Bond Market Index Fund Admiral Shares	A	Dividend	K	T					
12. Brokerage Account #1 (H)									
13. Vanguard Emerging Markets Stock Index Fund Admiral Shares	A	Dividend	J	T					
14. Vanguard European Stock Index Fund Admiral Shares	A	Dividend	J	T					
15. Vanguard Pacific Stock Index Fund Admiral Shares	A	Dividend	J	T					
16. Vanguard Total Stock Market Index Fund Admiral Shares	D	Dividend	N	T					
17. Brokerage Account #2 (H)									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bayerische Motoren Werke Ord Common	A	Dividend	J	T					
19. AFLAC Inc Common	A	Dividend	J	T					
20. Activision Blizzard Inc Common	A	Dividend	J	T					
21. Adobe Systems Inc Common		None	J	T					
22. Alphabet Inc Cl C Common		None	J	T					
23. Amazon Com Inc Common		None	K	T					
24. Anheuser Busch Inbev Spon ADR Common		None	J	T					
25. Apple Inc Common	A	Dividend	K	T					
26. Assurant Inc Common	A	Dividend	J	T					
27. Berkshire Hathaway Inc Cl B Common		None	K	T					
28. C S X Corp Common	A	Dividend	J	T					
29. Canadian Natl Ry Co Common	A	Dividend	J	T					
30. Cintas Corp Common	A	Dividend	J	T					
31. Cognex Corp Common	A	Dividend	J	T					
32. Corning Inc Common	A	Dividend	J	T					
33. Costco Wholesale Corp Common	A	Dividend	J	T					
34. Criteo SA Spon ADR Common		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Cubic Corp Common	A	Dividend	J	T					
36. DSW A Ordinary Common	A	Dividend	J	T					
37. Dassault Pharmacy Inc Common	A	Dividend	J	T					
38. Diplomat Pharmacy Inc Common		None	J	T					
39. Walt Disney Co Common	A	Dividend	J	T					
40. Ecolab Inc Common		None	J	T					
41. Facebook Inc Cl A Common		None	J	T					
42. Ford Motor Co Common	A	Dividend	J	T					
43. Gilead Sciences Inc Common	A	Dividend	J	T					
44. Hasbro Inc Common	A	Dividend	J	T					
45. Interactive Brokers Group Inc Common	A	Dividend	J	T					
46. Kinder Morgan Inc Del Common		None	J	T					
47. Leucadia National Corp Common	A	Dividend	J	T					
48. Linear Technology Corp Common	A	Dividend	J	T					
49. MSC Indl Direct Inc Cl A Common	A	Dividend	J	T					
50. Marriott Intl Inc Cl A Common	A	Dividend	J	T					
51. Match Group Inc Common		None	J	T					

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52. McKesson Inc Common	A	Dividend	J	T					
53. National Instrs Corp Common	A	Dividend	J	T					
54. National-Oilwell Varco Inc Common	A	Dividend	J	T					
55. Natus Med Inc Del Common		None	J	T					
56. Netflix Com Inc		None	K	T					
57. New York Times-Class A Common		None	J	T					
58. Nucor Corp Common	A	Dividend	J	T					
59. Nvidia Corp Common	A	Dividend	J	T					
60. Panera Bread Co Cl A Common		None	J	T					
61. Roper Technologies Inc Common		None	J	T					
62. SVB Finl Group Com		None	J	T					
63. Shopify Inc Cl A Common		None	J	T					
64. Simpson Mfg Inc Common	A	Dividend	J	T					
65. Stamps.com Inc Common		None	J	T					
66. T-Mobile US Inc Common		None	J	T					
67. Teradata Corp Del Com Common		None	J	T					
68. Texas Roadhouse Common		None	J	T					

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69. Tripadvisor Inc Common		None	J	T					
70. Watsco Inc Cl A Common	A	Dividend	J	T					
71. Workday Inc Cl A Common		None	J	T					
72.									
73.									
74.									
75.									
76.									
77.									
78.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andrew G. Schopler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544