

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor, Jr., William H.	2. Court or Organization United States Court of Appeals	3. Date of Report 12/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Visiting Professor	University of Alabama School of Law
2.	Adjunct Professor	Cumberland School of Law, Samford University
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	University of Alabama School of Law	\$12,000.00
2. 2018	Cumberland School of Law, Samford University	\$7,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University Antonin Scalia Law School	March 23 - 24, 2018	Nashville, TN	Keynote Speaker, AGEF Workshop on Federalism and the Economics of Regulation	Transportation, Lodging, and Meals
2. The Federalist Society	April 5 - 6, 2018	Charlottesville, VA	Keynote Speaker, Annual Spring Banquet at the University of Virginia School of Law	Transportation, Lodging, and Meals
3. The Federalist Society	April 19, 2018	Chicago, IL	Meeting and Luncheon w/ Fed Soc Chapter at The University of Chicago Law School	Transportation and Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

4.	The University of Chicago Law School	April 20, 2018	Chicago, IL	Moderator at the Fetschrift for Professor & Scholar Richard A. Epstein	Lodging and Meals
5.	The Federalist Society	September 4 - 5, 2018	Avon, CO	Judges Educational Seminar, The Federalist-Antifederalist Debate Judicial Education	Transportation, Lodging, and Meals
6.	The Federalist Society	October 1 - 2, 2018	New Haven, CT	Deliver Hayek Lecture at Yale Law School	Transportation, Lodging, and Meals
7.	The Federalist Society	November 17, 2018	Washington, DC	Moderator, 2018 National Lawyers Convention	Lodging , and Meals
8.	The University of Alabama School of Law	August 13, 2017 - November 19, 2018	Tuscaloosa, AL	Professor - Legislation: Special Problems in Statutory Interpretation	Transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. -FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	L	T					
3. -FIDELITY OTC PORT	A	Dividend			Sold	08/02/18	L	D	
4. -FIDELITY SOFTWARE & IT SRVC		None			Buy	08/16/18	L		
5.					Sold	10/11/18	L		
6. IRA #2 (H)									
7. -FIDELITY SELECT RETAILING	A	Dividend	K	T	Buy	07/18/18	K		
8. - FIDELITY BLUE CHIP GROWTH	C	Dividend	L	T					
9. - FIDELITY SELECT IT SERVICES PORTFOLIO	C	Dividend	L	T	Buy	07/18/18	K		
10. -CLEARBRIDGE SMALL CAP FUND CLASS A		None			Sold	01/08/18	K	C	
11. -FIDELITY LARGE CAP VALUE ENHANCED INDEX		None			Sold	01/04/18	K	C	
12. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	K	T					
13. -FIDELITY SELECT CHEMICALS	B	Dividend			Sold	07/18/18	K	D	
14. -FIDELITY EMERGING MARKETS		None			Buy	01/04/18	K		
15.					Sold	07/18/18	K		
16. -FIDELITY GROWTH DISCOVERY FUND	B	Dividend	K	T	Buy	01/17/18	K		
17. IRA #3 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -FIDELITY OTC PORT	C	Dividend	K	T					
19. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	K	T					
20. IRA #4 (H)									
21. -FIDELITY CONTRAFUND	A	Dividend			Sold	08/02/18	K	D	
22. -FIDELITY SELECT RETAILING	A	Dividend	K	T	Buy	08/08/18	K		
23.					Buy (add'l)	08/16/18	K		
24. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend			Sold	08/02/18	M	F	
25. -FIDELITY SELECT SEMICONDUCTORS PORT	C	Dividend			Sold	08/02/18	L	E	
26. -FIDELITY GOVERNMENT CASH RESERVES(X)	B	Dividend	M	T					
27. -FIDELITY BLUE CHIP GROWTH	C	Dividend	K	T	Buy	05/07/18	K		
28. -FIDELITY SMALL CAP GROWTH	D	Dividend	L	T	Buy	05/07/18	K		
29.					Buy (add'l)	08/24/18	J		
30. -FIDELITY SELECT CHEMICALS	B	Dividend			Sold	05/07/18	K	C	
31. -FIDELITY SELECT MATERIALS	B	Dividend			Sold	05/07/18	K	C	
32. -FIDELITY STOCK SELECTOR	A	Dividend			Sold	08/02/18	K	D	
33. -FIDELITY TREND	B	Dividend	K	T					
34. -FIDELITY SELECT MEDICAL EQUIP&SYSTEMS	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -DREYFUS SMALL CAP STOCK INDEX		None			Buy	08/08/18	K		
36.					Buy (add'l)	08/24/18	J		
37.					Sold	10/11/18	L		
38. -FIDELITY SMALL CAP INDEX INSTITUTIONAL		None			Buy	08/16/18	K		
39.					Sold	10/11/18	K		
40. IRA #7 (H)									
41. -FIDELITY OTC PORTFOLIO	B	Dividend	K	T					
42. -FIDELITY SELECT RETAILING	A	Dividend	K	T	Buy	06/25/18	K		
43. -FIDELITY TOTAL EMERGING MARKETS	A	Dividend			Sold	06/25/18	K	C	
44. BROKERAGE ACCT #2 (H)									
45. -FIDELITY CASH (X)	A	Dividend	J	T					
46. -FIDELITY SELECT SEMICONDUCTORS PORT	B	Dividend			Sold	05/25/18	K	D	
47. -FIDELITY CAPITAL & INCOME	A	Dividend			Sold	05/08/18	J	A	
48. -FIDELITY LARGE CAP VALUE ENHANCED INDEX	A	Dividend			Sold	05/08/18	J	A	
49. -FIDELITY SELECT TECHNOLOGY	C	Dividend			Sold	05/25/18	K	B	
50. -MORGAN STANLEY GROWTH PORTFOLIO		None			Buy	05/09/18	K		
51.					Sold	05/25/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. WARREN AVERETT, LLC PROFIT SHARING PLAN (H)									
53. -DFA INTERNATIONAL SMALL COMPANY I	B	Dividend	K	T					
54. -DFA INTERNATIONAL VALUE I	C	Dividend	L	T					
55. -MELLON STABLE VALUE FUND	A	Dividend	K	T					
56. -VANGUARD EMERGING MKTS STOCK IDX.	B	Dividend	K	T					
57. -VANGUARD GROWTH INDEX ADM	A	Dividend	L	T					
58. -VANGUARD INTERM-TERM CORPORATE BOND	A	Dividend	K	T					
59. -VANGUARD MID-CAP GROWTH INDEX ADM	A	Dividend	K	T					
60. -VANGUARD MID-CAP VALUE INDEX ADM	B	Dividend	K	T					
61. -VANGUARD SMALL CAP GROWTH INDEX ADM	A	Dividend	K	T					
62. -VANGUARD SMALL CAP VALUE INDEX ADM	A	Dividend	K	T					
63. -VANGUARD TOTAL BOND MARKET INDEX ADM	B	Dividend	K	T					
64. -VANGUARD VALUE INDEX ADM	C	Dividend	L	T					
65. BBVA COMPASS BANK - A/C #1	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Pryor, Jr., William H.

Date of Report

12/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Pryor, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544