

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Simon, Philip P.	2. Court or Organization Northern District of Indiana	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 5400 Federal Plaza Suite 4200 Hammond, IN 46320		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

City of Valparaiso Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Cenlar FSB	Mortgage on rental Property in New Buffalo, Michigan	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Gabelli Global Telecommunications	A	Dividend	J	T					
2. First Midwest Bank Accounts	A	Int./Div.	J	T					
3. College Choice Advisors 529 Plan (H)									
4. - College Choice Advisors Savings Portfolio	A	Interest	K	T	Sold (part)	07/08/15	J		
5.					Sold (part)	12/11/15	J		
6. - Blackrock Large Cap Value Fund	A	Dividend	J	T	Sold (part)	07/30/15	J		
7.					Sold (part)	12/14/15	J		
8. - Artio Intl Equity Portfolio	A	Dividend	J	T	Sold (part)	07/30/15	J		
9.					Sold (part)	12/14/15	J		
10. - International Equity Index	A	Dividend	J	T	Sold (part)	07/30/15	J		
11.					Sold (part)	12/14/15	J		
12. - T.Rowe Price LARge Cap Growth	A	Dividend	K	T	Sold (part)	07/30/15	J		
13.					Sold (part)	12/14/15	J		
14. - Short Term Treasury Index	A	Interest	K	T	Buy	01/12/15	K		
15. - Core Bond Index Portfolio	A	Dividend			Sold	01/12/15	J		
16. - Pimco Total Return Portfolio	A	Dividend	J	T	Sold (part)	07/30/15	J		
17.					Sold (part)	12/14/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. - Large Cap Index Portfolio	A	Dividend			Sold	01/12/15	J		
19. Vanguard Prime Money Market	A	Int./Div.	J	T	Sold (part)	01/07/15	J		
20.					Sold (part)	05/05/15	J		
21.					Sold (part)	08/17/15	L		
22.					Sold (part)	11/12/15	J		
23. Vanguard SHORT Term Bond Fund	A	Interest	J	T					
24. Vanguard International Growth Fund	A	Int./Div.	K	T					
25. Vanguard Total Stock Market Index Fund	B	Int./Div.	L	T					
26. Vanguard European Stock Index Fund	A	Dividend	K	T					
27. HRC Hotels LLC (X)	D	Dividend	O	T					
28. Gas City LLC (X)	C	Distribution	J	T					
29. Ameritrade Spouse's Roth IRA (H)									
30. - SPDR Barclays High Yield Bond ETF	A	Distribution			Sold	07/13/15	J	A	
31. - Powershares DWA Technology Momentum	A	Distribution	J	T	Buy	11/23/15	J		
32. - Powershares DWA Healthcare Momentum	A	Distribution	J	T	Buy	11/23/15	J		
33. - Powershares DWA Consumer Staples	A	Distribution	J	T	Buy	11/23/15	J		
34. - Powershares DWA Consumer Cyclical	A	Distribution	J	T	Buy	11/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - SPDR Barclays Interm Term Corp	A	Interest	J	T	Buy	11/23/15	J		
36. - SPDR Intermediate Term ETF	A	Interest	J	T	Buy	11/23/15	J		
37. - Vanguard Total World ETF	A	Dividend			Sold	09/01/15	J	A	
38. - Vanguard US Mid Cap	A	Dividend	J	T					
39. - Vang Small Cap Index	A	Dividend	J	T					
40. - Vanguard Total Stock Index ETF	A	Dividend	J	T					
41. Nationwide 457(b) Plan (H)									
42. - AmerCent Val Inv	A	Dividend	J	T					
43. - AmFds Gr Fd Am A	A	Dividend	J	T					
44. - Invsco Gr Inc A	A	Dividend	J	T					
45. - NeuBer Genesis Tr	A	Dividend	J	T					
46. - Opp Gbl A	A	Dividend	J	T					
47. - PIMCO Ttl Rtn A	A	Dividend	J	T					
48. - W&R Advisor High Income Y	A	Dividend	J	T					
49. Jackson National Life Perspective Series Fixed & Variable Ann (H)									
50. - JNL/MC Bond index	A	Interest	K	T					
51. - JNL/MC S&p 24	A	Dividend	J	T					

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52. -JNL/MC Intl index	A	Dividend	J	T					
53. -JNL Red Rocks Listed Private Eqty	A	Dividend	J	T					
54. -JNL/MC Val Ln 30	A	Dividend			Merged (with line 24)	04/24/15	J	A	
55. -JNL/MC s&P S mid 60	A	Dividend	J	T					
56. -JNL.Invesco Global real Estate	A	Dividend	J	T					
57. -JNL/Black Rock CommSecStgy	A	Dividend			Sold	09/15/15	J	A	
58. -JNL Blackrock natural resources	A	Dividend	J	T	Buy	09/15/15	J		
59. -JNL/MC Nasdaq 25	A	Dividend	J	T					
60. -JNL/AQR Managed Futures	A	Dividend	J	T	Buy	04/24/15	J		
61. -JNL/MC Global Alpha	A	Dividend			Merged (with line 60)	04/24/15	J	A	
62. -JNL/Lazard Emerging markets	A	Dividend	J	T					
63. -JNL/PPM America High Yld Bond	A	Interest	J	T					
64. -JNL/GS EmergingMarkets Debt	A	Interest	J	T					
65. -JNL/MC Sm Cap Index	A	Dividend	J	T					
66. -JNL/WMC Money market	A	Interest	J	T					
67. The Hartford -- The Director M Variable Annuity (H)									
68. - Htfd Ttl Return Bond HLS	A	Interest	K	T					

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69. -Htfd Global Growth HLS	A	Dividend	J	T					
70. - Fidelity VIP Contrafund	A	Dividend	J	T					
71. - Htfd Balanced HLS Fund	A	Dividend	K	T					
72. - Htfd Dividend and Growth	B	Dividend	K	T					
73. - Htfd Growth Opp HLS	A	Dividend	J	T					
74. - LordAbb Gowth & Income	A	Dividend	J	T					
75. Ameritrade Brokerage Account (H)									
76. - IShares Core S&P Small Cap ETF	A	Dividend	J	T	Sold (part)	11/13/15	J	A	
77. - Powershares DWA Technology	A	Dividend	J	T	Buy	11/19/15	J		
78. - Powershares DWA Consumer Staples	A	Dividend	J	T	Buy	11/19/15	J		
79. - Powershares DWA Healthcare	A	Dividend	J	T	Buy	11/19/15	J		
80. - Powershares DWA Consumer Cyclical	A	Dividend	J	T	Buy	11/19/15	J		
81. - Alaska Air Group, Inc.	A	Dividend	J	T	Buy	11/16/15	J		
82. - Expedia Inc	A	Dividend	J	T	Buy	11/20/15	J		
83. - Helen of Troy, LTD	A	Dividend	J	T	Buy	11/19/15	J		
84. - Masco Corp.	A	Dividend	J	T	Buy	11/19/15	J		
85. - Mastercard, Inc.	A	Dividend	J	T	Buy	11/19/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Powershares DWA Developing Markets Momentum Port (X)	A	Dividend			Sold	11/18/15	J	A	
87. - Select Sector SPDR Trust Consumer Dis Select index	A	Dividend			Sold	11/18/15	J	A	
88. - SPDR Nuveen Barclays Build Am BD ETF	A	Dividend			Sold	06/08/15	J	A	
89. - SPDR Barclays Long term Corp Bond ETF	A	Dividend			Sold	07/24/15	J	A	
90. -SPDR transport ETF	A	Dividend			Sold	11/13/15	J	A	
91. - SPDR S&P Aerospace ETF	A	Dividend			Sold	11/13/15	J	A	
92. - SPDR Barclays Long Term treasury ETF	A	Dividend			Sold	11/13/15	J	A	
93. - SPDR S&P Retail ETF	A	Dividend			Sold	11/13/15	J	A	
94. - SPDR S&P Pharmaceuticals ETF	A	Dividend			Sold	10/05/15	J	A	
95. - SPDR S&P Midcap 400 ETF	A	Dividend	K	T	Sold (part)	11/13/15	J	A	
96. - SPDR S&P 500 ETF	A	Dividend			Sold	09/01/15	J	A	
97. Ameritrade Spouse's IRA (H)									
98. - SPDR Barclays High Yield Bond ETF	A	Dividend			Sold	07/24/15	J	A	
99. -Powershares DWA Technology Momentum	A	Dividend	J	T	Buy	11/23/15	J		
100. -Powershares DWA Healthcare	A	Dividend	J	T	Buy	11/23/15	J		
101. -Powershares DWA Consumer Staples	A	Dividend	J	T	Buy	11/23/15	J		
102. -Powershares DWA Consumer Cyclical	A	Dividend	J	T	Buy	11/23/15	J		

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103. - SPDR Barclays Intermediate term Corp	A	Interest	J	T	Buy	11/23/15	J		
104. - SPDR Barclays Short Term Corp. Bond	A	Interest	J	T	Buy	11/23/15	J		
105. - SPDR Barclays Intermediate Term ETF	A	Interest	J	T	Buy	11/23/15	J		
106. - Vanguard Long term Bond Fund	A	Dividend			Sold	05/01/15	J	A	
107. - Vanguard U.S. Mid Cap Index	A	Dividend	J	T					
108. - Vanguard Small Cap Index	A	Dividend	J	T					
109. - Vanguard Total Stock Market ETF	A	Dividend	K	T					
110. Rental Home in New Buffalo, Michigan Purchased on August 28, 2015	A	Rent	N	R	Buy	08/27/15	N		

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Name of Person Reporting

Simon, Philip P.

Date of Report

05/13/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

As I have reported in prior years, I was married in 2014 and this greatly changed my financial disclosure obligation. In that regard, in 2015 I sold the personal residence that I lived in prior to being married and used the proceeds from that sale to purchase a second home in New Buffalo, Michigan. We paid \$335,000 for the second home. The new mortgage on that property is shown in Part VI. I am disclosing this transaction Part VIII because there wasn't enough room in the box on Line 110, Column A. I am disclosing this property because my wife and I periodically rent the home out.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Philip P. Simon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544