

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) QUIST, GORDON J.	2. Court or Organization DISTRICT CT-WESTERN DIST. MICH	3. Date of Report 05/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 482 GRAND RAPIDS FORD FEDERAL COURTHOUSE 110 MICHIGAN NW GRAND RAPIDS MI 49503-2363		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 9/4/13	CHARLES SCHWAB & CO.-IRA DISTRIBUTION-NORMAL	\$10,310.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Liberty University School of Law	9/28/2013	Lynchburg, VA	Moot Court	Food and mileage
2. Michigan State University School of Law	5/16/2013	Glen Arbor, MI	Seminar for Law Professors	Lodging, food and mileage
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE BANK	A	Interest	J	T					
2. CHASE BANK	A	Interest	J	T					
3. NORTHWEST MUTUAL LIFE INS (WHOLE LIFE)		None	M	T					
4. INDEPENDENT BANK	A	Interest	J	T					
5. (H) BROKERAGE ACCT #2									
6. - SCHWAB DEPOSIT ACCOUNT	A	Interest	K	T					
7. - SCHWAB GNMA FUND SELECT SHARES	B	Dividend	L	T					
8. - SCHWAB INTERM-TERM BD FD	A	Dividend			Sold	08/05/13	K	A	
9. - SOUTHWEST GAS CORP COM	A	Dividend	K	T					
10. - MACATAWA BANK CORP		None	J	T					
11. - VERIZON COMMUNICATIONS	A	Dividend	K	T	Buy (add'l)	05/20/13	J		
12.					Buy (add'l)	08/06/13	J		
13. - AT&T INC NEW		None	J	T	Buy	10/28/13	J		
14. - DTE ENERGY COMPANY		None	J	T	Buy	11/29/13	J		
15. - VANGUARD DIV GROWTH FD	A	Dividend	K	T	Buy	07/09/13	J		
16.					Buy (add'l)	07/22/13	J		
17.					Buy (add'l)	08/05/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	08/29/13	J		
19.					Buy (add'l)	09/24/13	J		
20.					Buy (add'l)	10/14/13	J		
21. - RESPONSE GENETICS INC		None			Sold	11/12/13	J		
22.					Buy	07/22/13	J		
23. - DUKE ENERGY CORP NEW	A	Dividend			Buy	08/05/13	J		
24.					Sold	09/05/13	J		
25. (H) BROKERAGE ACCT #1									
26. -SCHWAB MUNI MONEY FUND	A	Dividend	N	T					
27. (H) BROKERAGE ACCT #3									
28. - SCHWAB DEPOSIT ACCOUNT	A	Interest	J	T					
29. - SCHWAB US DIV EQUITY ETF	A	Dividend	J	T					
30. (H) BROKERAGE ACCT #4									
31. -SCHWAB DEPOSIT ACCOUNT	A	Interest	J	T					
32. - SCHWAB GNMA FUND SELECT SHARES	A	Dividend			Sold	08/06/13	J		
33. -VERIZON COMMUNICATIONS	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ GORDON J. QUIST**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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