

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 07/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Courts U.S. Court House 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Federal Judge	U.S. District Court for Southern District of Mississippi
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2002	Currie Johnson Griffin Gaines 401(k) Plan was rolled over to IRA on 6-12-12
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citi Bank - Visa	Credit Card	J
2.	Discover Card	Credit Card	J
3.	USAA Mastercard	Credit Card	J
4.	Bankplus	Line of Credit	K
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account #XXXXXX6225	A	Interest	J	T					
2. Currie Johnson Griffin Gaines - ING Retirement Account		None							
3. - American Growth Fund F	A	Dividend			Sold	06/12/12	J	A	
4. - American Euro Growth Fund	A	Dividend			Sold	06/12/12	J	A	
5. - Davis NY Venture Fund A	A	Dividend			Sold	06/12/12	J	A	
6. - Baron Growth Fund	A	Dividend			Sold	06/12/12	J	A	
7. - American Central Intl Bond A	A	Dividend			Sold	06/12/12	J	A	
8. - Lazard Emrg Mkts Port - O	A	Dividend			Sold	06/12/12	J	A	
9. - Columbia Intermed Bond Z	A	Dividend			Sold	06/12/12	J	A	
10. - Jan Perk Small Cap T	A	Dividend			Sold	06/12/12	J	A	
11. Solomon Financial Fidelity Investments (Joint **918)		None							
12. - FCASH Account	A	Dividend	J	T					
13. Charles Schwab Investment Account (IRA) owned by wife		None							
14. - Intel Corporation	A	Dividend	J	T					
15. - LSI Corporation	A	Dividend	J	T					
16. - Standard PAC Corp New	A	Dividend	J	T					
17. Charles Schwab Investment Account (Regular Acct) Wife Owned		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Euro Disney SCA Ord NewF	A	Dividend			Sold	05/10/12	J	A	
19. 25% interest in Brier Creek Investments, LLC (Owned by Wife)	B	Int./Div.	J	U					
20. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
21. Stephen's Investment Account For Child #1(ACB)		None							
22. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
23. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
24. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
25. Stephen's Investment Account for Child #2 (SMB)		None							
26. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
27. -American Funds New Perspective Fund Class A	A	Dividend	J	T					
28. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
29. Stephen's Investment Account for Child #3 (CKB)		None							
30. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
31. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
32. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
33. Stephen's Investment Account for Child #4 (IVB)		None							
34. - American Funds Growth Fund of America Class A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Ameriica Funds New Perspective Fund Class A	A	Dividend	J	T					
36. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
37. Stephen's Investment Account for Child #5 (WCB)		None							
38. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
39. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
40. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
41. Denbury Onshore Minerals located in Madison County, MS	B	Royalty	J	W					
42. Bankplus Checking Account (****0744)	A	Interest	J	T					
43. Solomon Financial Advisors - Rollover IRA (Filer)		None							
44. - Fidelity Cash Reserve	A	Dividend	J	T					
45. Fidelity Convertible Securities	A	Dividend			Buy	01/12/12	J		
46.					Sold	03/07/12	J	A	
47. Janus Overseas Fund	A	Dividend			Buy	01/12/12	J		
48.					Sold	05/18/12	J	A	
49. Columbia Technology	A	Dividend			Buy	01/12/12	J		
50.					Sold	06/22/12	J	A	
51. Janus Overseas Fund	A	Dividend			Buy	03/07/12	J		

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52.					Sold	06/22/12	J	A	
53. Pioneer Cullen Value A Fund	A	Dividend			Buy	02/22/12	J		
54.					Sold	06/22/12	J	A	
55. Janus Overseas Fund	A	Dividend			Buy	05/01/12	J		
56.					Sold	07/18/12	J	A	
57. PIMCO Developing Local Markets Fund	A	Dividend			Buy	01/12/12	J		
58.					Sold	08/24/12	J	A	
59. Columbia Income Z Fund	A	Dividend			Buy	06/25/12	J		
60.					Sold	12/12/12	J	A	
61. Janus Research Fund	A	Dividend			Buy	01/12/12	J		
62.					Sold	12/12/12	J	A	
63. Jensen	A	Dividend			Buy	02/22/12	J		
64.					Sold	12/12/12	J	A	
65. T Rowe Price Blue Chip Growth Fund	A	Dividend			Buy	01/12/12	J		
66.					Sold	12/12/12	J	A	
67. Eaton Vance Floating Rate Fund	A	Dividend	J	T	Buy	01/12/12	J		
68. Mainstay High Yield Fund	A	Dividend	J	T	Buy	04/12/12	J		

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69. Templeton Global Bond Fund	A	Dividend	J	T	Buy	01/12/12	J		
70. American Cent Equity Growth Fund	A	Dividend	J	T	Buy	12/13/12	J		
71. Janus Research Fund	A	Dividend	J	T	Buy	02/22/12	J		
72. JPMorgan Disciplined Equity A Fund	A	Dividend	J	T	Buy	12/13/12	J		
73. T Rowe Price Healthcare Fund	A	Dividend	J	T	Buy	02/22/12	J		
74. TIAA- CREF Growth & Income Inst Fund	A	Dividend	J	T	Buy	12/13/12	J		
75. Oppenheimer Developing Markets Y Fund	A	Dividend	J	T	Buy	01/12/12	J		
76. Oppenheimer International Growth Y Fund	A	Dividend	J	T	Buy	05/21/12	J		
77. Thornburg Int'l Value A Fund	A	Dividend	J	T	Buy	01/12/12	J		
78. Columbia Acorn Fund	A	Dividend	J	T	Buy	01/12/12	J		
79. Rainier Mid Cap Equity Fund	A	Dividend	J	T	Buy	01/12/12	J		
80. Pimco Commodity Real Return Fund	A	Dividend	J	T	Buy	01/12/12	J		
81. ING Global Real Estate Fund	A	Dividend	J	T	Buy	01/12/12	J		
82.									
83.									
84.									
85.									

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86.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544