

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Dever, James C.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. District Court, EDNC                                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>05/10/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge - Active                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
| <b>7. Chambers or Office Address</b><br><br>U.S. District Court<br>310 New Bern Ave.<br>Raleigh, NC 27601                                                                                                 |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>            | <u>NAME OF ORGANIZATION/ENTITY</u>              |
|----------------------------|-------------------------------------------------|
| 1. Adjunct Law Professor   | Campbell University, N.A. Wiggins School of Law |
| 2. Senior Lecturing Fellow | Duke University School of Law                   |
| 3. Board of Visitors       | Duke University School of Law                   |
| 4.                         |                                                 |
| 5.                         |                                                 |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                              |
|-------------|-----------------------------------------------------------------------|
| 1. 2018     | Agreement to teach as an adjunct law professor at Campbell University |
| 2. 2018     | Agreement to teach as a senior lecturing fellow at Duke University    |
| 3.          |                                                                       |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>      | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|-----------------------------|----------------------------------------|
| 1. 2018     | Campbell University (wages) | \$6,000.00                             |
| 2. 2018     | Duke University (wages)     | \$10,000.00                            |
| 3.          |                             |                                        |
| 4.          |                             |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                        |
|-------------|-------------------------------------------------------------------------------|
| 1. 2018     | Teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina (wages) |
| 2.          |                                                                               |
| 3.          |                                                                               |
| 4.          |                                                                               |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Northwestern Mutual Life Policy                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Northwestern Mutual Life Policy                                                                                          | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. PNC Bank Account                                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. IRA (H)                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. -Cash Deposit -- Northwestern Mutual<br>Investment Services                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8. -DODFX (Dodge & Cox International Fund)                                                                                  | B                                       | Int./Div.                                     |                                                 |                                           | Sold                                            | 07/27/18                | K                               | A                              |                                                                   |
| 9. -FDAAX (Franklin Floating Rate Daily<br>Access Fund Advisers Class)                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. -AMRFX (American Mutual Fund Class<br>F-2)                                                                              | A                                       | Int./Div.                                     |                                                 |                                           | Sold                                            | 02/07/18                | J                               | A                              |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. -VTAPX (Admiral Class)                                                                                                  | A                                       | Int./Div.                                     | J                                               | T                                         | Buy<br>(add'l)                                  | 03/14/18                | J                               |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/18/18                | J                               | A                              |                                                                   |
| 15. -VICSX(Vanguard International Term Corp<br>Bond Index Fund Admiral Class)                                               | A                                       | Int./Div.                                     | K                                               | T                                         | Sold<br>(part)                                  | 10/11/18                | J                               | A                              |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. -IBAFX (International Bond Fund of<br>America Class F-2)                                                                | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| (See Column C2)         | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
|                         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |                                                            |   |           |   |   |             |          |   |   |
|-----|------------------------------------------------------------|---|-----------|---|---|-------------|----------|---|---|
| 18. |                                                            |   |           |   |   |             |          |   |   |
| 19. | -NEFFX (The New Economy Fund Class F-2)                    | A | Int./Div. | J | T | Buy (add'l) | 03/29/18 | J |   |
| 20. | -BRK B (Berkshire Hathaway Inc. Del Cl B New Stock)        | C | Int./Div. | K | T |             |          |   |   |
| 21. | -GE (General Electric Co Stock)                            | A | Int./Div. |   |   | Sold        | 03/05/18 | J | A |
| 22. |                                                            |   |           |   |   |             |          |   |   |
| 23. | -VSMAX (Vanguard Small-Cap Index Fund Admiral)             | A | Int./Div. | K | T |             |          |   |   |
| 24. |                                                            |   |           |   |   |             |          |   |   |
| 25. | -VIMAX (Vanguard Mid-Cap Fund Admiral)                     | C | Int./Div. | K | T | Buy (add'l) | 03/02/18 | J |   |
| 26. |                                                            |   |           |   |   |             |          |   |   |
| 27. | -VTSAX (Vanguard Total Stock Market Index)                 | D | Int./Div. | L | T | Buy (add'l) | 10/10/18 | J |   |
| 28. |                                                            |   |           |   |   | Buy (add'l) | 12/19/18 | J |   |
| 29. | -DODIX (Dodge & Cox Income Fund)                           | A | Int./Div. | K | T |             |          |   |   |
| 30. | -VSIAX (Vanguard Small Cap Value Index Fund Admiral Class) | A | Int./Div. | K | T |             |          |   |   |
| 31. | -VGSLX (Vanguard REIT Index Fund Admiral)                  | A | Int./Div. |   |   | Sold        | 03/15/18 | J | A |
| 32. |                                                            |   |           |   |   |             |          |   |   |
| 33. |                                                            |   |           |   |   |             |          |   |   |
| 34. |                                                            |   |           |   |   |             |          |   |   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                              |   |           |   |   |             |          |   |   |  |
|--------------------------------------------------------------|---|-----------|---|---|-------------|----------|---|---|--|
| 35.                                                          |   |           |   |   |             |          |   |   |  |
| 36. -DODWX (Dodge & Cox Global Stock Fund)                   | A | Int./Div. | K | T | Sold (part) | 05/16/18 | K | A |  |
| 37.                                                          |   |           |   |   | Buy (add'l) | 07/27/18 | K |   |  |
| 38. -MALOX (Blackrock Global Allocation FD Inc. Inst. Class) | A | Int./Div. |   |   | Sold        | 07/26/18 | K | A |  |
| 39.                                                          |   |           |   |   |             |          |   |   |  |
| 40. -FINFX (Fundamental Investor Class F-2)                  | A | Int./Div. | K | T | Buy         | 02/05/18 | J |   |  |
| 41.                                                          |   |           |   |   | Buy (add'l) | 03/15/18 | J |   |  |
| 42.                                                          |   |           |   |   | Buy (add'l) | 05/15/18 | K |   |  |
| 43. -ABMIX (Aston Fairpointe Midcap Fund Class I)            | A | Int./Div. | K | T |             |          |   |   |  |
| 44.                                                          |   |           |   |   |             |          |   |   |  |
| 45. -AMCFX (AMCAP Fund Class 2)                              | A | Int./Div. |   |   | Sold        | 03/29/18 | J |   |  |
| 46. -SMCFX (Small Cap World Fund Class F@)                   | A | Int./Div. | K | T |             |          |   |   |  |
| 47. -VFIDX (Vanguard Intermediate Term) (See Part VIII)      | A | Int./Div. |   |   | Sold        | 05/11/18 | J | A |  |
| 48.                                                          |   |           |   |   |             |          |   |   |  |
| 49. -GBLFX (American Fund Global Balanced Fund Class F-2)    | A | Int./Div. | J | T | Sold (part) | 03/01/18 | J | A |  |
| 50.                                                          |   |           |   |   |             |          |   |   |  |
| 51. -TTRZX (Templeton Global Return Fund)                    | A | Int./Div. | J | T |             |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 54. -ANWFX (New Perspective Fund Class F-2)                                                                                 | A                                       | Int./Div.                                     | J                                               | T                                         | Sold<br>(part)                                  | 05/16/18                | J                               | A                              |                                                                   |
| 55. -NFFFX (New World Fund Class F-2)                                                                                       | B                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. -VDADX (Vanguard Dividend<br>Appreciation Index)                                                                        | B                                       | Int./Div.                                     | K                                               | T                                         | Sold<br>(part)                                  | 03/02/18                | J                               | A                              |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 58. -ABMIX (AMG Managers Fairpoint Mid<br>Cap Fund Class I)                                                                 | A                                       | Int./Div.                                     | K                                               | T                                         | Buy                                             | 08/22/18                | K                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 60. -BSIIX (Blackrock Strategic Income<br>Opportunities Port Fund Instl Class)                                              | A                                       | Int./Div.                                     | K                                               | T                                         | Buy                                             | 07/25/18                | K                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 62. -CSDIX (Cohen & Steers Real Estate<br>Securities Fund Class I)                                                          | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 03/15/18                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 64. -FINFX (Fundamental Investors Class F-2)                                                                                | A                                       | Int./Div.                                     | K                                               | T                                         | Buy                                             | 02/05/18                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/15/18                | J                               |                                |                                                                   |
| 66.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/15/18                | K                               |                                |                                                                   |
| 67.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. -IGFFX (International Growth & Income<br>Fund Class F-2)                                                                | A                                       | Int./Div.                                     | J                                               | T                                         | Buy                                             | 05/16/18                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000      | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000                                     | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dever, James C.

Date of Report

05/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 70.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71. Roman Catholic Diocese of Raleigh 403(b) Ret Plan (H)                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72. -T Rowe Retirement I 2030 Fund                                                                                          | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. Duke Faculty and Staff 403(b) Ret Plan (H)                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. -Vanguard Institution Target Retirement 2025 mutual fund 2025                                                           | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. Trust (H)                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. -Wachovia (now Wells Fargo) checking account                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. -Wachovia (now Wells Fargo) savings account                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. -Kinecta Federal Savings & Loan Checking account                                                                        | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. -Kinecta Federal Savings & Loan savings account                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. -Kinecta Federal Savings & Loan Certificate of Deposit                                                                  | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. -New York Life whole life insurance policy                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. -New York family life insurance policy                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. -New York Life variable annuity (H)(See part VII)                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 85. Mainstay VP Janus Henderson Balanced Initial Class 159                                                                  | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dever, James C.

Date of Report

05/10/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |           |   |   |      |          |   |   |  |
|---------------------------------------------|---|-----------|---|---|------|----------|---|---|--|
| 86. -USAA whole life insurance policy       | A | Interest  | L | T |      |          |   |   |  |
| 87.                                         |   |           |   |   |      |          |   |   |  |
| 88. -Comcast Corp C1 A stock                | A | Dividend  | K | T |      |          |   |   |  |
| 89. -General Electric Co stock              | A | Dividend  |   |   | Sold | 12/20/18 | L | A |  |
| 90. -Twenty First Century Fox Class A stock | A | Dividend  | L | T |      |          |   |   |  |
| 91. -Northrop Grumman Corp stock            | C | Dividend  | M | T |      |          |   |   |  |
| 92. -Raytheon Company New stock             | D | Dividend  | O | T |      |          |   |   |  |
| 93. -3M Company stock                       | B | Dividend  | L | T |      |          |   |   |  |
| 94. -Wells Fargo checkin                    | A | Interest  | J | T |      |          |   |   |  |
| 95. -Fidelity US Treasury Money Mkt         | A | Interest  | M | T |      |          |   |   |  |
| 96. -Huntington Ingalls Indus stock         | A | Dividend  | K | T |      |          |   |   |  |
| 97. -Chevron Corp stock                     | D | Dividend  | M | T |      |          |   |   |  |
| 98. -Fidelity Portfolio Advisory Service    | D | Int./Div. | N | T |      |          |   |   |  |
| 99. -United Technologies Corp stock         | A | Dividend  | K | T |      |          |   |   |  |
| 100. -Johnson and Johnson stock             | B | Dividend  | L | T |      |          |   |   |  |
| 101. -News Corp New Class A stock           | A | Dividend  | J | T |      |          |   |   |  |
| 102. -Morgan Stanley & Co. stock            | A | Dividend  | K | T |      |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

## FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dever, James C.

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05/10/2019

### VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. As mentioned in the notes of last year's report, there are no underlying investments for the paid up whole life policies.
2. As mentioned in the notes of last year's report, the asset allocation for the New York Life variable annuity is 100% invested in the Mainstay VP Janus HendersonBalanced - Initial Class 159.
3. For VFIDX on line 47, I sold all of that mutual fund on 5/11/17. I mistakenly forgot to include that sale on last year's report. In order for the software to work, I put the sale date on line 47 as 5/11/18. The actual date was 5/11/17. Last year's report was correct, however, that I did not hold any of VFIDX at the end of the 12/31/17 reporting period.

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Name of Person Reporting

Dever, James C.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. Dever**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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