

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Jones, Steve C.	2. Court or Organization United States District Court, Northern District of Georgia	3. Date of Report 08/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge -- Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2015 to 12/31/2015
5b. ☒ Amended Report		
7. Chambers or Office Address 1967 United States Courthouse 75 Ted Turner Dr., S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2. Board Member	University of Georgia Board of Visitors
3. Board Member	University of Georgia Athletic Board
4. Managing Trustee	University of Georgia Foundation
5. Member (ex officio), Board of Trustees	Athens Academy
6. Director	Athens Area Community Foundation
7.	
8. Advisory Board Member	National Football Foundation, UGA Hall of Fame Chapter

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Steve C. Jones & Georgia Judicial Retirement Systems, pension upon age 60 and minimum of 10 years of service
2. 1978	Steve C. Jones & Unified Government of Athens-Clarke County, GA, pension upon retirement at age 62, with eligibility for receipt of early benefits at age 56
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Unified Government of Athens-Clarke County, GA, fixed benefits from vested pension plan	\$11,496.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	self-employed seamstress
2. 2015	Clarke County School District (retirement compensation)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Institute of Continuing Legal Education, GA.	05/22/2015 - 05/23/2015	Amelia Island, Florida	Presentation on the Hague Convention	transportation, meals, hotel
2. American Bar Association	04/15/2015 - 04/17/2015	New Orleans, L.A.	Panelist for Litigation Section	transportation, hotel, meals
3. Department of Justice	01/12/2015 - 01/15/2015	Columbia, South Carolina	Training & Evaluations	transportation, meals, hotel
4. IP Inn of Court	04/10/2015 - 04/11/2015	Adairsville, Georgia	Panelist for Patent Litigation	transportation, meals, hotel
5. University of Georgia	12/30/2015 - 01/02/2016	Jacksonville, Florida	Taxslayer Bowl Game	transportation, meals, hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Georgia	Taxslayer Bowl Game Tickets	\$200.00
2.	University of Georgia	NCAA 2015 Basketball Championship Tickets	\$200.00
3.	University of Georgia	Bullova Leather Band Watch (UGA Athletic Board)	\$110.00
4.	University of Georgia	2015 Football Season Tickets	\$630.00
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	mortgage on rental property #1 (Athens, Georgia, Part VII, line 1)	L
2.	Wells Fargo	mortgage on rental property #2 (Athens, Georgia, Part VII, line 2)	K
3.	Athens First Bank & Trust	line of credit (unsecured)	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rental property #1, Athens, GA	D	Rent	M	W					
2. rental property #2, Athens, GA	D	Rent	L	W					
3. rental property # 3, Compton, CA	E	Rent	M	W					
4. rental property #4, Compton, CA	D	Rent	M	W					
5. Athens First IRA (cd holdings)	A	Interest	J	T					
6. rental property #5, Athens, GA. (X)	D	Rent	L	W					
7.									
8. Commercial Bank -- CD	A	Int./Div.	J	T					
9. IRA # 1	D	Interest	N	T					
10. -VALIC Stock Index Fund (VSTIX)									
11. -Vanguard Windsor II (VWNFX)									
12. -VALIC Mid Cap Index Fund (VMIDX)									
13. -VALIC Small Cap Value Fund (VCSVX)									
14. -VALIC Int'l Equities Fund (VCIEX)									
15. -VALIC Fixed Account Plus									
16. -Vanguard LT Inv. Grade Fund (VWESX)									
17. -VALIC Science & Technology Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Clarke Co. Bd. of Ed. 457 Plan - 100 % Fixed Account Plus Fund	A	Interest	M	T					
19. ING ReliaStar - Annuity (now VOYA Financial)	B	Interest	L	T					
20. Invesco American Franchise Fund, Class C, VAFCX	A	Dividend	J	T					
21. IRA # 2	E	Int./Div.	M	T					
22. -Invesco - convertible securities									
23. -BlackRock Strategic, Inc.									
24. -Fidelity Advisor Strategic, Inc.									
25. -Fidelity Cash Reserves									
26. -PIMCO Income Fund CL C									
27. -Ivy High Income CL C									
28.									
29. -JPMorgan Income Builder Fund Class C									
30. -American Capital Income Builder CL C									
31. -Franklin Rising Dividends Class C									
32. -Fidelity Advisor New Insights CL C									
33. Total Systems Services--common stock	A	Dividend			Sold	05/20/15	J	C	
34. Synovus Financial Corp.--common stock	A	Dividend			Sold	05/20/15	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, Item 1, The Chase Bank Mortgage was refinanced through Wells Fargo.

Part VII, Item 19, The VOYA Financial is a fixed rate annuity.

Part VII, Item 32, On August 11, 2014, the Morgan Stanley D W was redeemed and Fidelity Advisor was purchased with the funds on August 26, 2014.

Part V, I inadvertently failed to include in my 2014 Financial Disclosure the following gift: University of Georgia, Belk Bowl Game Tickets, \$170.00.

Part VI, I inadvertently failed to include in my 2014 Financial Disclosure the following reimbursement: University of Georgia, 12/30/2014, Charlotte, N.C., transportation, meals, hotel.

Part I, Item 1, My term as Immediate Past President ended.

Part I, Item 7, I am no longer on the Board.

Part V, I inadvertently failed to include in my 2014 Financial Disclosure Season Football Tickets from the University of Georgia (Valued at \$630.00).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Steve C. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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