

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Diana E.	2. Court or Organization U.S. Court of Appeals (8th Cir)	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 300 S. Fourth Street, 11E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Life Trustee	University of Minnesota Foundation
2. Trustee	University of St. Thomas
3. Director	Hill Museum and Manuscript Library
4. Director	Spencer Williams Foundation
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo NTL Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
2. Wells Fargo Ntl Tax Free MM Fund, Mpls, MN	A	Interest	M	T					
3. Checking Acct, Wells Fargo Bk, Mpls, MN	A	Interest	J	T					
4. Trust, Wells Fargo Bk (mun bds & cash eq)	G	Interest	P2	T					
5. IRA Robt W Baird & Co., Milw, WI (USBonds)	D	Interest	M	T					
6. Bond - Mahtomedi, MN		None			Redeemed	02/01/12	L		
7. Bond - Minnetonka, MN	C	Interest	L	T					
8. Bond - Maple Grove, MN	A	Interest	L	T					
9. Bond - Olmsted County	C	Interest	L	T					
10. Bond - Richfield, MN	C	Interest	L	T					
11. Bond - Richfield, MN	C	Interest	L	T					
12. Bond - Champlin, MN	C	Interest	L	T					
13. Bond - Chaska, MN	C	Interest	L	T					
14. Bond - Lake Elmo, MN	C	Interest	L	T					
15. Bond - Minnetonka, MN	C	Interest	L	T					
16. Bond - Northfield, MN	C	Interest	L	T					
17. Bond - Saint Anthony, MN	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bond - Shakopee, MN	C	Interest	L	T					
19. Bond - Washington Cty, MN	C	Interest	L	T					
20. Bond - West St. Paul, MN	C	Interest	L	T					
21. Bond - Bloomington, MN	C	Interest	L	T					
22. Bond - Edina, MN	C	Interest	L	T					
23. Bond - Golden Valley, MN	B	Interest	L	T					
24. Bond - Anoka, MN	C	Interest	L	T					
25. Bond - Bloomington, MN	C	Interest	L	T					
26. Bond - Dayton, MN	C	Interest	L	T					
27. Bond - Golden Valley, MN	C	Interest	L	T					
28. Bond - Golden Valley, MN	C	Interest	L	T					
29. Bond - Golden Valley, MN	C	Interest	L	T					
30. Bond - Bloomington, MN	B	Interest	L	T					
31. Bond - Spring Lake Park	C	Interest	L	T					
32. Bond - Three Rivers Park, MN	C	Interest	L	T					
33. Bond - Alexandria, MN	C	Interest	L	T					
34. Bond - Bloomington, MN	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. Bond - Brooklyn Ctr, MN	C	Interest	L	T					
36. Bond - Crystal, MN	C	Interest	L	T					
37. Bond - Eden Prairie, MN	C	Interest	L	T					
38. Bond - Sauk Centre, MN	C	Interest	L	T					
39. Bond - Staples, MN	C	Interest	L	T					
40. Bond - Edina, MN	C	Interest	L	T					
41. Bond - Fridley, MN	C	Interest	L	T					
42. Bond - Minneapolis, MN	C	Interest	L	T					
43. Bond - Mound, MN	C	Interest	L	T					
44. Bond - North St. Paul, MN	C	Interest	L	T					
45. Bond - North St. Paul, MN	C	Interest	L	T					
46. Bond - Rochester, MN	C	Interest	L	T					
47. Bond - Waconia, MN	C	Interest	L	T					
48. Bond - Anoka MN	C	Interest	L	T					
49. Bond - Minnesota State	B	Interest			Redeemed	08/01/12	L		
50. Bond - St. Paul MN	C	Interest	L	T					
51. Bond - Minnesota State	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Bond - Mound MN	C	Interest	M	T					
53. Bond - St. Paul MN	C	Interest	L	T					
54. Bond - Hopkins MN		None			Redeemed	02/01/12	L		
55. Bond - St. Paul MN	C	Interest	L	T					
56. Bond - Alaska	D	Interest	M	T					
57. Bond - Missouri State	C	Interest	L	T					
58. Bond - Omaha	C	Interest	L	T					
59. Bond - Minnesota	C	Interest	L	T					
60. Bond - So. MN Municipal	B	Interest	L	T					
61. Bond - Centennial Sch	C	Interest	L	T					
62. Bond - Brooklyn Park	C	Interest	L	T					
63. Bond - Rosemount	C	Interest	L	T					
64. Bond - Andover	C	Interest	L	T					
65. Bond - Moundsview	C	Interest	L	T					
66. Bond - Minnesota	C	Interest	L	T					
67. Bond - Hopkins		None			Redeemed	02/01/12	L		
68. Bond - Three Rivers Park	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Bond - Eden Prairie	C	Interest	L	T					
70. Bond - Prior Lake	C	Interest	L	T					
71. Bond Robbinsdale		None			Redeemed	02/01/12	L		
72. Bond -St. Cloud	C	Interest	L	T					
73. Bond - St. Michael	C	Interest	L	T					
74. Bond Minnesota	C	Interest	L	T					
75. Bond - Spring Lake Park	C	Interest	L	T					
76. Bond - Canadian Govt	D	Interest	N	T					
77. Bond - Canadian Govt	D	Interest	O	T					
78. Bond - Canadian Govt	D	Interest	O	T					
79. Bond - Canadian Govt	D	Interest	N	T					
80. Bond - Hennepin County	A	Interest	M	T					
81. Bond - Hopkins, MN	B	Interest	L	T					
82. Bond - Minneapolis, MN	B	Interest	L	T					
83. Bond - Minnesota State	B	Interest	M	T					
84. Bond - Moundsview, MN	C	Interest	M	T					
85. Bond - Omaha, NE	B	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Bond - Orono, MN	B	Interest	L	T					
87. Bond - Texas State	B	Interest	M	T					
88. Bond - West Fargo, ND	B	Interest	M	T					
89. U.S. Treasury Bill		None			Redeemed	03/15/12	O		
90. U.S. Treasury Note	E	Interest			Redeemed	11/01/12	O		
91. Bond - Alexandria, MN	A	Interest	L	T					
92. Bond - Canadian Govt	D	Interest	N	T					
93. Bond - Canadian Govt	D	Interest	N	T					
94. Bond - Canadian Govt	C	Interest	O	T					
95. Bond - Canadian Govt	C	Interest	O	T					
96. Bond - Canadian Govt	C	Interest	O	T					
97. Bond - Canadian Govt	D	Interest	P1	T					
98. Bond - Hennepin County, MN	B	Interest	L	T					
99. Bond - Hennepin County, MN	B	Interest	L	T					
100. Bond - Hennepin County, MN	B	Interest	M	T					
101. Bond - Hopkins, MN	C	Interest	L	T					
102. Bond - Minneapolis, MN	A	Interest			Redeemed	12/03/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

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103. Bond - Moundview, MN	B	Interest	L	T					
104. Bond - Omaha, NE	C	Interest	M	T					
105. Bond - Orono, MN	B	Interest	L	T					
106. Bond - Ramsey County, MN	B	Interest	L	T					
107. Bond - Ramsey County, MN	B	Interest	L	T					
108. Bond - St. Paul, MN	B	Interest	L	T					
109. Bond - Washington County, MN	B	Interest	L	T					
110. Bond - Washington County, MN	C	Interest	L	T					
111. Bond - West Fargo, ND	B	Interest	M	T					
112. U.S. Treasury Bill		None			Redeemed	03/15/12	P1		
113. U. S. Treasury Bill		None			Redeemed	03/29/12	N		
114. U. S. Treasury Note	F	Interest	P1	T					
115. U.S. Treasury Bill		None	O	T	Buy	11/06/12	O		

1 Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diana E. Murphy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544