

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sontchi, Christopher S.	2. Court or Organization Delaware - Bankruptcy Court	3. Date of Report 7/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address 824 Market Street 5th Floor Wilmington, Delaware 19899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Chicago Law School, Lecturer; Spring semester	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. INSOL International	03/23/2015 - 3/25/2015	San Francisco, CA	Panel member for seminar	Meals, lodging, tuition and travel
2. The University of Chicago Booth School of Business	04/09/2015 - 04/10/2015	Chicago, Illinois	Panel member for seminar	Meals, lodging, tuition, and travel
3. American Bankruptcy Institute: Annual Meeting	04/17/2015 - 04/18/2015	Washington, DC	Panel member for seminar	Meals, lodging, tuition, and travel
4. American Bankruptcy Institute: Mid-Atlantic Conference	08/06/2015 - 08/08/2015	Hershey, PA	Judicial Co-Chair for seminar	Meals, lodging, tuition, and travel
5. Turnaround Management Association: Annual Meeting	10/05/2015 - 10/07/2015	Scottsdale, AZ	Panel member for seminar	Meals, lodging, tuition, and travel

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

6.	ABI and Georgetown Law School: Views from the Bench	10/09/2015 - 10/09/2015	Washington, DC	Panel member for seminar	Meals, tuition, and travel
7.	Association of Insolvency and Restructuring Advisors: Advanced Restructuring Conf.	11/01/2015 - 11/02/2015	New York, NY	Panel member for seminar	Meals, lodging, tuition, travel
8.					
9.					
10.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wachovia Educaion Services	Spouse's Education Loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WSFS Bank	A	Interest	M	T					
2. DEXSTA Federal Credit Union	A	Interest	L	T					
3. Brokerage Account #1		None	J	T					
4. 529 Plan #1									
5. - John Hancock, Freedom 529 College 2013-2016		None	M	T					
6. 529 Plan #2									
7. - John Hancock, Freedom 529 College 2017-2020		None	M	T					
8. IRA #1									
9. - RJ Bank Deposit Program	A	Interest	J	T					
10. - American Funds, Europacific Growth Fund	A	Dividend	K	T					
11. - Neuberger Berman Genesis Fund	A	Dividend			Sold	11/18/15	J		
12. - Deutsche Global Real Estate Securities Fund	A	Dividend	J	T					
13. - Wells Fargo Advantage International Value Fund	A	Dividend	J	T					
14. - MFS Research Fund	A	Dividend	K	T	Buy (add'l)	11/20/15	J		
15. - JP Morgan Core Bond Fund	A	Dividend			Sold	11/20/15	K		
16. - Aston/River Road Small Cap Value Fund	A	Dividend	J	T					
17. - Columbia Acorn International Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Prudential Jennison Mid Cap Growth Fund	A	Dividend	J	T	Sold (part)	02/20/15	J		
19. - JP Morgan U.S. Large Cap Core Plus Fund	A	Dividend	K	T	Buy (add'l)	02/20/15	J		
20.					Buy (add'l)	11/18/15	J		
21. - Manning & Napier Equity Series Fund	A	Dividend			Sold	11/18/15	K		
22. - John Hancock Disciplined Value Fund	A	Dividend	K	T					
23. - JP Morgan Mid Cap Value Fund	A	Dividend	J	T	Sold (part)	02/18/15	J		
24. - Brown Advisory Growth Equity Fund	A	Dividend	K	T					
25. - MFS International Growth Fund	A	Dividend	J	T					
26. - AMG Times Square Small Cap Growth Fund	A	Dividend	J	T					
27. - Virtus Emerging Markets Opportunities Fund	A	Dividend	J	T					
28. - AQR Managed Futures Strategy Fund	A	Dividend	K	T					
29. - Dodge & Cox Income Fund	A	Dividend			Sold	11/18/15	K		
30. - Baird Aggregate Bond Fund	A	Dividend	K	T	Buy	11/18/15	K		
31. - Clarkston Partners Fund	A	Dividend	J	T	Buy	11/18/15	J		
32. - Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Buy	11/20/15	K		
33. IRA #2									
34. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

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35. - RJ Bank Deposit Program	A	Interest	J	T					
36. IRA #3									
37. - RJ Bank Deposit Program	A	Interest	J	T					
38. - American Funds, Europacific Growth Fund	A	Dividend	J	T					
39. - Neuberger Berman Genesis Fund	A	Dividend			Sold	11/18/15	J		
40. - Deutsche Global Real Estate Securities Fund Securities	A	Dividend	J	T					
41. - Wells Fargo Advantage International Value Fund	A	Dividend	J	T					
42. - MFS Research Fund	A	Dividend	J	T	Buy (add'l)	11/20/15	J		
43. - JP Morgan Core Bond Fund	A	Dividend			Sold	11/20/15	J		
44. - Aston/River Road Small Cap Value Fund	A	Dividend	J	T					
45. - Columbia Acorn International Fund	A	Dividend	J	T					
46. - Prudential Jennison Mid Cap Growth Fund	A	Dividend	J	T	Sold (part)	02/12/15	J		
47. - JP Morgan U.S. Large Cap Core Plus Fund	A	Dividend	J	T	Buy (add'l)	02/10/15	J		
48.					Buy (add'l)	11/18/15	J		
49. - Manning & Napier Equity Series Fund	A	Dividend			Sold	11/18/15	J		
50. - John Hancock Disciplined Value Fund	A	Dividend	J	T					
51. - JP Morgan Mid Cap Value Fund	A	Dividend	J	T	Sold (part)	02/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

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52. - Brown Advisory Growth Equity Fund	A	Dividend	J	T					
53. - MFS International Growth Fund	A	Dividend	J	T					
54. - AMG Times Square Small Cap Growth Fund	A	Dividend	J	T					
55. - Virtus Emerging Markets Opportunities Fund	A	Dividend	J	T					
56. - AQR Managed Futures Strategy Fund	A	Dividend	J	T	Sold (part)	02/10/15	J		
57. - Dodge & Cox Income Fund	A	Dividend			Sold	11/18/15	J		
58. - Baird Aggregate Bond Fund	A	Dividend	J	T	Buy	11/18/15	J		
59. - Clarkston Partners Fund	A	Dividend	J	T	Buy	11/18/15	J		
60. - Metropolitan West Total Return Bond Fund	A	Dividend	J	T	Buy	11/18/15	J		
61. IRA #4									
62. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					
63. - RJ Bank Deposit Program	A	Interest	J	T					
64. 401(k) Plan #2									
65. - Franklin Templeton Inv., Franklin Income Fund	A	Dividend	J	T					
66. - The Hartford, Hartford Capital Appreciation Fund	A	Dividend	J	T					
67. - Henderson International Opportunities Fund	A	Dividend	J	T					
68. - Quaker Funds, Quaker Strategic Growth Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

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69. - Henderson European Focus Fund	A	Dividend	J	T					
70. Nationwide Flexible Prem. Variable Universal Life Policy #1									
71. - NW NVIT Inv Dest Aggr II		None	K	T					
72. Nationwide Flexible Prem. Variable Universal Life Policy #2									
73. - NW NVIT Inv Dest Aggr II		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/15/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part III-A, page __, line 1 (2014 report). I did not teach at Widener University Delaware Law School in calendar year 2015. I taught in the Fall of 2014 and the Spring of 2016.
- 2) Part VII, page __, line 3: The entire balance in this account was withdrawn in 2007. This account remains open but had a balance of \$0.00 throughout the reporting period.
- 3) Part VII, page __, 11: The entire balance of Neuberger Berman Genesis Fund was sold on November 18, 2015.
- 4) Part VII, page 5, line 14 (2014 report): The entire balance of PIMCO Total Return Fund was sold on October 16, 2014.
- 5) Part VII, page __, line 15: The entire balance of JP Morgan Core Bond Fund was sold on November 20, 2015.
- 6) Part VII, page __, line 21: The entire balance of Manning & Napier Equity Series Fund was sold on November 18, 2015.
- 7) Part VII, page 6, line 24 (2014 report): The entire balance of Prudential High Yield Fund was sold on August 21, 2014.
- 8) Part VII, page __, line 29: The entire balance of Dodge & Cox Income Fund was sold on November 18, 2015.
- 9) Part VII, page __, line 30: Baird Aggregate Bond Fund was initially purchased on November 18, 2015.
- 10) Part VII, page __, line 31: Clarkston Partners Fund was initially purchased on November 18, 2015.
- 11) Part VII, page __, line 32: Metropolitan West Total Return Bond Fund was initially purchased on November 20, 2015.
- 12) Part VII, page __, line 39: The entire balance of Neuberger Berman Genesis Fund was sold on November 18, 2015.
- 13) Part VII, page 7, line 49 (2014 report): The entire balance of PIMCO Total Return Fund was sold on October 16, 2014.
- 14) Part VII, page __, line 43: The entire balance of JP Morgan Core Bond Fund was sold on November 20, 2015.
- 15) Part VII, page 8, line 57 (2014 report): The entire balance of Prudential High Yield Fund was sold on August 21, 2014.
- 16) Part VII, page __, line 49: The entire balance of Manning & Napier Equity Series Fund was sold on November 18, 2015.
- 17) Part VII, page __, line 57: The entire balance of Dodge & Cox Income Fund was sold on November 18, 2015.
- 18) Part VII, page __, line 58: Baird Aggregate Bond Fund was initially purchased on November 18, 2015.
- 19) Part VII, page __, line 59: Clarkston Partners Fund was initially purchased on November 18, 2015.
- 20) Part VII, page __, line 60: Metropolitan West Total Return Bond Fund was initially purchased on November 20, 2015.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Sontchi, Christopher S.

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7/15/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher S. Sontchi

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544