

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alonso, Jorge L.	2. Court or Organization United States District Court, Northern District of Illinois	3. Date of Report 06/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Everett M. Dirksen United States Courthouse 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Daniel Murphy Scholarship Fund
2.	Junior Board Member	Cristo Rey Jesuit High School
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2003	Judges' Retirement System of Illinois
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

self-employed attorney

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Education Services	Education loan	J
2.	Northwestern Mutual	Loan from life insurance policy	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds Bond Fund of America	A	Int./Div.	J	T					
2. American Funds EuroPacific Growth Fund	A	Int./Div.	J	T					
3. American Funds Growth Fund of America	A	Int./Div.	J	T					
4. American Funds Intermediary Fund of America	A	Int./Div.	J	T					
5. American Funds New Economy Fund	A	Int./Div.	J	T					
6. American Funds New Perspective Fund	A	Int./Div.	J	T					
7. American Funds SMALLCAP World Fund	A	Int./Div.	J	T					
8. American Funds The Investment Company of America	A	Int./Div.	J	T					
9. American Funds Washington Mutual Investors Fund	A	Int./Div.	J	T					
10. ASTON/Fairpointe Mid Cap Fund	A	Int./Div.			Sold	02/04/16	J		
11. BlackRock High Yield Bond Fund	A	Int./Div.	L	T					
12. Bright Start Age Based 12 - 14 years Portfolio-529	E	Int./Div.	L	T					
13. Bright Start Age Based 12-14 years Portfolio-529	E	Int./Div.	J	T					
14. Causeway International Value Fund	A	Int./Div.	J	T					
15. Federated Institutional High Yield Bond Fund	A	Int./Div.	J	T					
16. Harbor International Fund	A	Int./Div.			Sold	02/05/16	J		
17. iShares MSCI EAFE ETF	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 R = More than \$5,000,000	M = \$100,001 - \$250,000 Q = \$250,000,001 - \$500,000,000	P = \$500,001 - \$1,000,000 R = More than \$1,000,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. JPMorgan Core Bond Select Fund	A	Int./Div.			Sold	12/19/16	J		
19. JPMorgan High Yield Fund	A	Int./Div.			Sold	01/14/16	J		
20. JPMorgan Liquid Assets Money Market Fund	A	Int./Div.			Sold	09/09/16	J		
21. JPMorgan Mid Cap Equity Fund	A	Int./Div.			Sold	02/05/16	J		
22. JPMorgan Short Duration Bond Fund	A	Int./Div.			Sold	12/19/16	J		
23. JPMorgan US Equity Fund	A	Int./Div.			Sold	03/17/16	J		
24. JPMorgan Value Advantage Fund	A	Int./Div.			Sold	02/05/16	J		
25. MPS Emerging Market Debt Fund	A	Int./Div.	J	T					
26. MFS Massachusetts Investors Trust	A	Int./Div.	J	T					
27. Oakmark Fund	A	Int./Div.	J	T					
28. PIMCO Short Term Fund	A	Int./Div.	J	T					
29. SPDR S&P 500 ETF Trust	A	Int./Div.	J	T					
30. T.Rowe Price New Income Fund	A	Int./Div.	J	T					
31. Vanguard Short-Term Bond Index Fund	A	Int./Div.	J	T					
32. Wells Fargo Advantage Growth Fund	A	Int./Div.			Sold	02/05/16	J		
33. Chase bank Cash Accounts	A	Interest	J	T					
34. Northwestern Mutual Whole Life	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Blackrock FDS	A	Int./Div.	J	T					
36. Blackrock International	A	Int./Div.	J	T					
37. Brown Advisory FDS	A	Int./Div.	J	T					
38. Deutsche X Trackers MSCI	A	Int./Div.			Sold	09/23/16	J		
39. Dodge & Cox Funds	A	Int./Div.	J	T					
40. MFS Research	A	Int./Div.	J	T					
41. Wisdomtree Japan Hedged	A	Int./Div.			Sold	08/17/16	J		
42. Dodge & Cox Income Fund	A	Int./Div.	J	T					
43. iShares Inc.	A	Int./Div.	J	T	Buy	08/12/16	J		
44. Vanguard Charlotte FDS	A	Int./Div.	J	T	Buy	12/16/16	J		
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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jorge L. Alonso**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544