

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seabright, J. Michael	2. Court or Organization District Court - Hawaii	3. Date of Report 05/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 300 Ala Moana Blvd. Room C-435 Honolulu, HI 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

State of Hawaii Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Honolulu Federal Credit Union Accounts	A	Interest	M	T					
2. State of Hawaii Deferred Compensation Plan	A	Dividend	K	T					
3. Pershing Federated Treasury Obligations (sweep account)	A	Dividend	N	T					
4. Ishares Russell 1000 Value ETF (IWD)		None			Sold	01/08/16	L	C	
5. Ishares Russell 2000 Growth ETF (IWO)		None			Sold	01/08/16	K	A	
6. Ishares Russell 2000 Value ETF (IWN)		None			Sold	01/08/16	K		
7. Ishares Russell Midcap Growth ETF (IWP)		None			Sold	01/08/16	K	B	
8. Ishares Russell Midcap Value ETF (IWS)		None			Sold	01/08/16	K	B	
9. Acadia Pharmaceuticals, Inc. (ACAD)		None	J	T	Buy	01/06/16	J		
10.					Sold (part)	06/21/16	J		
11. Alibaba Group Holding (BABA)		None			Buy	09/22/16	K		
12.					Buy (add'l)	09/29/16	K		
13.					Sold	11/02/16	K		
14. Canadian Solar Inc. (CSIQ)		None			Buy	01/06/16	K		
15.					Buy (add'l)	01/25/16	K		
16.					Sold	02/11/16	K		
17. David's Tea Inc. (DTEA)		None			Buy	07/25/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	08/29/16	K		
19.					Sold	09/07/16	J		
20. Callaway Golf Co. (ELY)		None			Buy (add'l)	01/06/16	K		
21.					Sold	02/11/16	K		
22. Express Scripts Holding (ESRX)		None			Buy	09/22/16	K		
23.					Buy (add'l)	10/03/16	J		
24.					Sold	10/25/16	K	A	
25. Mobileye NV (MBLY)		None			Buy	10/07/16	K		
26.					Sold	11/10/16	K		
27. Mazor Robotics Ltd (MZOR)		None			Buy	04/12/16	K		
28.					Buy (add'l)	04/21/16	J		
29.					Sold	05/18/16	K	B	
30. Nike Inc. (NKE)		None			Buy	04/12/16	L		
31.					Sold	06/16/16	L	C	
32. Oclaro, Inc. (OCLR)		None			Buy	02/26/16	K		
33.					Sold	03/16/16	K		
34. Pacific Biosciences of Ca. (PACB)		None			Buy	04/12/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	06/29/16	K		
36. Sempra Energy (SRE)		None			Buy	01/08/16	K		
37.					Buy (add'l)	01/25/16	K		
38.					Sold	04/04/16	M		
39. SM Energy Co. (SM)		None			Buy	12/02/16	K		
40.					Sold	12/29/16	K		
41. Republic Airways Holding, Inc. (RJETQ)		None			Buy	03/03/16	J		
42.					Buy (add'l)	03/28/16	J		
43.					Sold	10/19/16	J		
44. ProShares Short QQQ ETF (PSQ)		None			Buy	02/12/16	J		
45.					Buy (add'l)	04/07/16	J		
46.					Sold (part)	04/13/16	J		
47.					Sold	07/26/16	J		
48. Pfizer Inc. (PFE)		None			Buy	03/30/16	L		
49.					Sold	04/06/16	L	C	
50. Synergy Resources Corp. (SYRG)		None			Buy	07/25/16	K		
51.					Sold	08/17/16	K	B	

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52. FireEye Inc. (FEYE)		None	K	T	Buy (add'l)	01/06/16	K		
53. Nuveen Preferred Income Opportunities (JPC)	A	Dividend			Sold	02/12/16	K		
54. Par Pacific Holdings, Inc. (PARR)		None	K	T	Sold (part)	03/14/16	J		
55.					Sold (part)	03/18/16	K		
56.					Buy (add'l)	06/16/16	K		
57. Mountain Province Diamonds, Inc. (MDM)		None	K	T	Buy	08/05/16	K		
58.					Buy (add'l)	09/29/16	K		
59. PowerShare Buyback Achievers Fund (PKW)		None			Sold	01/06/16	M	E	
60. SPDR S&P 500 ETF (SPY)		None			Sold (part)	01/06/16	K	B	
61.					Sold (part)	01/11/16	L	C	
62.					Buy (add'l)	02/11/16	L		
63.					Buy (add'l)	02/12/16	K		
64.					Buy (add'l)	02/18/16	K		
65.					Sold (part)	06/27/16	L		
66.					Sold	07/26/16	M		
67. Sarepta Therapeutics, Inc. (SRPT)		None	L	T	Buy (add'l)	01/15/16	K		
68.					Buy (add'l)	01/19/16	K		

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69.					Buy (add'l)	03/14/16	K		
70.					Buy (add'l)	03/21/16	J		
71.					Sold (part)	05/25/16	K		
72.					Sold (part)	07/25/16	K	D	
73.					Sold (part)	09/19/16	K	E	
74.					Sold (part)	09/22/16	J	D	
75.					Buy (add'l)	10/18/16	K		
76.					Buy (add'l)	10/25/16	J		
77.					Buy (add'l)	10/27/16	J		
78. Del Taco Restaurants Warrant fka Levy Acquisition (TACOW)		None	J	T					
79. TeleTech Holdings, Inc. (TTEC)		None	L	T	Sold (part)	03/11/16	K	A	
80.					Buy (add'l)	11/02/16	K		
81. Vonage Holdings Corp. (VG)		None	L	T	Buy	09/30/16	K		
82.					Buy (add'l)	10/28/16	K		
83. JP Energy Partners LP (JPEP)	C	Distribution			Buy	04/14/16	K		
84.					Buy (add'l)	04/20/16	K		
85.					Sold (part)	09/06/16	J	B	

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86.					Sold (part)	09/07/16	J	A	
87.					Sold (part)	09/08/16	J	A	
88.					Sold (part)	10/20/16	J	B	
89.					Sold (part)	10/26/16	J	B	
90.					Sold (part)	11/01/16	J	A	
91.					Sold (part)	11/02/16	J	A	
92.					Sold	11/03/16	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Michael Seabright**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544