

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 07/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Variable Annuity		None	J	T	Sold (part)	02/07/14	K	A	
3. First Eagle Global CL A		None	J	T					
4. HILLIARD LYONS BROKERAGE ACCT #1									
5. Hilliard Lyons Govt. Fund	A	Dividend	J	T					
6. Bar Harbor Bankshares	A	Dividend	J	T	Buy	06/26/14	J		
7.					Buy (add'l)	09/17/14	J		
8. Connecticut Wtr Svc, Inc	A	Dividend	J	T	Buy	06/26/14	J		
9. CISCO Systems, Inc.	A	Dividend	J	T					
10. Walt Disney Stock	A	Dividend	L	T					
11. Home Depot Stock	A	Dividend	K	T					
12. International Paper	A	Dividend	K	T					
13. Kimberly-Clark Corp Stock	A	Dividend	K	T					
14. Microsoft	A	Dividend	K	T					
15. Pfizer, Inc	A	Dividend	J	T					
16. Colgate-Palmolive Co.	A	Dividend	K	T					
17. Staples, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. General Electric	A	Dividend			Sold	12/30/14	J	A	
19. Comcast Corp Class A	A	Dividend	K	T					
20. Corning, Inc.	A	Dividend	J	T					
21. Janus Cap Group	A	Dividend	J	T					
22. Motorcar Parts of America	A	Dividend	J	T					
23. Summer Infant	A	Dividend	J	T					
24. O Reily Automotive, Inc	A	Dividend	J	T					
25. Zoetis, Inc	A	Dividend	J	T					
26. Hilliard Lyons Brokerage Acct. #2									
27. Walmart	A	Dividend	J	T					
28. Nokia	A	Dividend			Sold	12/30/14	J	A	
29. American Legacy III Variable Annuity	A	Dividend	J	T					
30. Hilliard Lyons Govt Fund	A	Dividend	J	T					
31. Dow Chemical	A	Dividend	J	T					
32. QualComm, Inc.	A	Dividend	J	T					
33. Oracle Corp	A	Dividend	J	T					
34. Pfizer, Inc	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Costa, Inc (formerly known as Cross A T Company)	A	Dividend			Sold	02/03/14	J		
36. Janus Cap Group	A	Dividend	J	T					
37. Landuer Inc.	A	Dividend	J	T					
38. Motorcar Parts of America	A	Dividend	J	T					
39. Stifel Financial Corp	A	Dividend	J	T					
40. Summer Infant Inc.	A	Dividend	J	T					
41. Zoetis, Inc	A	Dividend	J	T					
42. Petsmart, Inc.	A	Dividend	J	T	Buy	03/11/14	J		
43. Hingham Institut for Svg	A	Dividend	J	T	Buy	07/25/14	J		
44. Hilliard Lyons Trust Acct.									
45. Abbott Laboratories	A	Dividend	J	T					
46. Abbvie Inc com	A	Dividend	J	T					
47. Berkshire Hathaway		None	K	T	Sold (part)	01/16/14	J	C	
48. Cisco	A	Dividend	J	T					
49. Disney Walt	A	Dividend	J	T	Sold (part)	01/16/14	J	C	
50. Exxon Mobile	A	Dividend	K	T					
51. Pepsico	A	Dividend	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Proctor & Gamble	A	Dividend	J	T					
53. Walgreens Co.	A	Dividend	J	T					
54. Goldmans Sach Financial Square Federal Fund	A	Dividend	J	T					
55. Johnson & Johnson Co.	A	Dividend	J	T					
56. TJX Companies	A	Dividend	K	T					
57. Harley Davidson Inc.	A	Dividend	J	T					
58. Microsoft Corp	A	Dividend	J	T					
59. Home Depot	A	Dividend	J	T					
60. Chevron Corp	A	Dividend	K	T					
61. CVS Caremark Corp	A	Dividend	J	T					
62. TEVA Pharmaceutical Inds.	A	Dividend			Sold	01/03/14	J	A	
63. US Bancorp Del Com	A	Dividend	J	T					
64. Ishares TR MSCI EAFE Index	A	Dividend			Sold	12/15/14	K	B	
65. Ishares TR S&P 400 Value	A	Dividend	J	T					
66. VanGuard Index Small Cap ETF	A	Dividend	J	T					
67. VanGuard FTSE Emerging Mrkt ETF	A	Dividend	J	T	Buy	01/16/14	J		
68. Southern Co.	A	Dividend	J	T					

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69. JP Morgan Chase	A	Dividend	J	T					
70. CH Robinson Worldwide	A	Dividend			Sold	05/05/14	J	A	
71. Expeditors INTL	A	Dividend	J	T					
72. Allergan Inc	A	Dividend	J	T					
73. International Business Machs Corp	A	Dividend	J	T					
74. Artisan International	A	Dividend	J	T	Buy	01/14/14	J		
75.					Buy (add'l)	12/11/14	J		
76. Dodge & Cox International Stock	A	Dividend	J	T	Buy	01/14/14	J		
77.					Buy (add'l)	12/11/14	J		
78. Pfizer, Inc	A	Dividend	J	T	Buy	05/14/14	J		
79. Hilliard Lyons Retirement Acct.									
80. Washington Mutual Fund	A	Dividend	J	T					
81. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #1									
82. Powershares Water Resources	A	Dividend	K	T					
83. Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
84. Principal Financial Group	A	Dividend			Sold	10/29/14	J	A	
85. Guggenheim	A	Dividend	K	T					

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86. Advisors Asset MGR-Emerging Mkts	A	Dividend	K	T					
87. First Trust Portfolios Do Target 10 Dec 13	C	Dividend	L	T					
88. Sanofi ADR	A	Dividend	J	T	Buy	10/29/14	J		
89. Wells Fargo(formerly Wachovia Securites) Acct. #3									
90. Bank Deposit Sweep	A	Interest	J	T					
91. Goldcorp, Inc.	A	Dividend			Sold	07/31/14	J	A	
92. Monmouth Real Estate Reit	A	Dividend	K	T					
93. UMH Properties, Inc.	B	Dividend	K	T					
94. Alpine Global Dynamic	A	Dividend	J	T					
95. Medtronic, Inc	A	Dividend	K	T					
96. Merk&Co. Inc	A	Dividend	K	T					
97. SYSCO Corp	A	Dividend			Sold	04/03/14	K	A	
98. YUM Brands, Inc.	A	Dividend	K	T					
99. Exxon Mobile	A	Dividend	J	T					
100. Hershey Company	A	Dividend			Sold	07/31/14	J	A	
101. First Trust Dow Target Dvd 2Q14	A	Dividend	K	T	Buy	04/10/14	J		
102. Aetna, Inc.	A	Dividend	K	T	Buy	07/31/14	K		

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103. Wells Fargo(formerly) Wachovia Securities Brokerage Acct. #4									
104. AT & T Inc.	B	Dividend	K	T					
105. Gilat Satellite Networks		None	J	T					
106. Headwaters, Inc.		None	J	T					
107. Merk & Co. Inc.	A	Dividend	K	T					
108. Pfizer Incorporated	B	Dividend	K	T					
109. Tetra Tech Inc.		None	K	T					
110. Verizon Communications	B	Dividend	K	T					
111. Tekla Healthcare Investors (formerly known -H & Q Healthcare FD SBI)	B	Dividend	K	T	Sold (part)	03/14/14	K	A	
112. Tekla Life Sciences (formerly known -H & Q Life Sciences)	D	Dividend	K	T	Sold (part)	03/14/14	K	A	
113. IRA Bank Deposit Sweep	A	Interest	J	T					
114. Frontier Communications, Corp	A	Dividend	J	T					
115. Lazard LTD	A	Dividend			Sold	04/25/14	K	A	
116. Intel Corp	A	Dividend	K	T					
117. Chevron	A	Dividend	K	T					
118. McDonalds Corp	A	Dividend	K	T	Sold (part)	06/03/14	K	B	
119. SYSCO Corp	A	Dividend			Sold	04/03/14	K	A	

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120. CISCO Systems Inc	A	Dividend			Sold	01/28/14	K	A	
121. Apple, Inc.	A	Dividend	K	T	Buy	01/28/14	K		
122.					Buy (add'l)	04/25/14	K		
123.					Sold (part)	06/03/14	K	B	
124. Microsoft Corp	B	Dividend	K	T					
125. Procter & Gamble Co.	B	Dividend	K	T					
126. John Hancock Regional	A	Dividend	K	T	Buy	03/14/14	K		
127. First Trust Financials Select Port	A	Dividend	K	T	Buy	03/14/14	K		
128. First Trust Dow Target DvD 2Q14	C	Dividend	K	T	Buy	04/10/14	K		
129. Broadcom Corp		None			Buy	05/19/14	K		
130.					Sold	06/03/14	K	A	
131. Aetna, Inc	A	Dividend	J	T	Buy	08/01/14	J		
132. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #5									
133. Bank Deposit Sweep	A	Int./Div.	J	T	Sold (part)	01/31/14	K	A	
134. American Strategies Capital, LTD		None			Sold	06/16/14	J	A	
135. Monmouth Real Estate	B	Dividend	K	T					
136. Transocean, LTD		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Fulton, Thomas H.

Date of Report

07/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UMH Properties, Inc	A	Dividend	J	T					
138. Williams Companies, Inc.	A	Dividend			Sold	06/16/14	K	A	
139. Cano WIT (formerly known as Enervest Diversified Income Trust)	B	Dividend			Sold	11/25/14	J	A	
140. WPX Energy, Inc.		None	J	T					
141. General Electric	A	Dividend	K	T					
142. Vodafone Group	A	Dividend	J	T					
143. Verizon Communications	A	Dividend	J	T	Spinoff (from line 142)	02/24/14	J		
144. First Trust Portfolios Diversfd Equity Strat	B	Dividend			Redeemed	10/20/14	L	A	
145. Entergy LA LLC	A	Dividend	K	T					
146. First Trust Portfolios Int. Rate Hedge Fund	C	Dividend	L	T					
147. First Trust Portfolios Nasdaq TGT	A	Dividend			Redeemed	10/15/14	K	A	
148. First Trust Portfolios Capital Strength series 22	A	Dividend	L	T					
149. First Trust Homebuilders Recovery	A	Dividend	L	T	Buy	01/15/14	K		
150.					Buy (add'l)	01/21/14	K		
151. Guggenheim	B	Dividend	K	T	Buy	06/16/14	K		
152. First Trust Dow Target 10 14	B	Dividend	K	T	Buy	10/15/14	K		
153. First Trust Dvrsfd EQ Strtgc	A	Dividend	L	T	Buy	10/16/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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07/13/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. First Trust Energy Select Portfolio	A	Dividend	K	T	Buy	11/25/14	K		
155. Wells Fargo(formerly Wachovia Securities) Brokerage Acct # 6									
156. Bank Sweep Deposit	A	Interest	J	T					
157. Mutual Series FD, Inc.	C	Dividend	K	T					
158. First Trust Value Target 25 13	A	Dividend			Redeemed	07/14/14	K	A	
159. First Trust Value Target 25 3Q 14	A	Dividend	K	T	Buy	07/14/14	K		
160. Pro Cash Plus #1									
161. Federated Capital Reserves		None	L	T					
162. Target Corp	A	Dividend	K	T					
163. MFS Municipal Income Fund	A	Dividend	K	T					
164. Vanguard Whitehall FDS High Divident	A	Dividend	K	T					
165. Wisdom Tree TR Div. Ex Financials	B	Dividend	K	T					
166. Washington Mutual Investors Fund	B	Dividend			Sold	10/02/14	L	D	
167. Altria Group Inc Com	A	Dividend	K	T					
168. AT&T Inc.	A	Dividend	K	T					
169. Firstenergy Corp	A	Dividend	J	T					
170. Procter & Gambe Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Verizon Communications	B	Dividend	K	T					
172. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T					
173. Bristol Myers Squibb	A	Dividend	J	T					
174. American Short Term Tax-Exempt Bond Fund	A	Int./Div.	L	T	Buy	10/02/14	L		
175. Pro Cash #2									
176. Ventas, Inc.	B	Dividend	K	T	Buy	02/12/14	J		
177.					Buy (add'l)	11/07/14	J		
178. Comcast Corp	A	Dividend	K	T	Buy	02/13/14	J		
179. Exxon Mobil Corp	A	Dividend	K	T	Buy	02/14/14	K		
180. General Electric Co.	A	Dividend	K	T	Buy	02/14/14	J		
181.					Buy (add'l)	11/07/14	J		
182. Seadrill limited Shs	B	Dividend	J	T	Buy	05/22/14	J		
183. 3d Sys Corp	A	Dividend	J	T	Buy	05/22/14	K		
184. Wesport Innovations Inc.	A	Dividend	K	T	Buy	05/22/14	K		
185.					Buy (add'l)	11/07/14	J		
186. American Short-Term Tax-Exemt Bond Fund	B	Dividend	L	T	Buy	10/02/14	L		
187. Pershing Govt. Acct	A	Interest	L	T	Buy (add'l)	06/26/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. American Funds MM Acct	C	Dividend			Sold	02/14/14	L		
189. FT Unit 2936 Tactical Port	B	Interest	K	T					
190. American Mutual Fund Class A	C	Dividend	M	T					
191. Ishares TR MSCI EAFE	A	Dividend	K	T	Buy	11/07/14	K		
192. The Income Fund of America Class A	D	Dividend	M	T					
193. The Investment Company of America	A	Dividend			Buy (add'l)	02/14/14	L		
194.					Sold	10/02/14	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Fulton, Thomas H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Fulton, Thomas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544