

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thomas, John J.	2. Court or Organization U.S. Bankruptcy Court, Middle District of PA	3. Date of Report 5/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address Suite 150, Max Rosenn U.S. Courthouse 197 South Main Street Wilkes-Barre, PA 18701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee Charitable Foundation (no beneficial interest)

2. _____

3. _____

4. _____

5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Luzerne County Child Development Council - Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Eastern District of PA
Bankruptcy Conference01/24/2013 to
01/25/2013

Atlantic City, NJ

Annual Bankruptcy Forum

Transportation; meals; lodging;
registration fees

2. Pennsylvania Bar Institute

09/17/2013 to
09/18/2013

Mechanicsburg, PA

18th Annual Bankruptcy
InstituteTransportation; meals; lodging;
registration fees

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prefer Stock-TCT Co. [REDACTED] closed corp.)	B	Dividend	J	W					
2. Common Stock-TCT Co. [REDACTED] closed corp.)		None	N	W					
3. IRA #1-INVESCO (CHTRX)	A	Dividend	J	T					
4. IRA #1-Oppenheimer Main Street Growth & Income Fund (MSIGX)	A	Dividend	J	T					
5. Roth IRA #1 Investment Co.	B	Dividend	K	T					
6. --Am Growth Fund-A (AGTHX)									
7. --Capital World (CWGIX)									
8. --Am Growth Fund-B (AGRBX) (X)									
9. --Munder Funds-A (MNNAX)									
10. Roth IRA #2 Investment Co.	C	Dividend	L	T					
11. --Am Growth Fund-B (AGRBX) (X)									
12. --Income FD-A (AMECX)									
13. --Munder Growth-A (MNNAX)									
14. --Am Growth Fund A (AGTHX)									
15. Personal Accounts-PNC Bank	A	Interest	K	T					
16. Charitable Foundation A (no beneficial interest)	E	Int./Div.	P1	T					
17. --Perkins Midcap (JMVAX)					Sold	05/06/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. --Royce Opportunity Fund Investment Class FD (RYPNX)									
19. --ISHARES Barclays TR US Tip (TIP)									
20. --ISHARES Barclays AGG Bond Fund (AGG)					Sold (part)	05/06/13	J	B	
21. --Prudential Jennison 20/20 Focus Fund Class Z (PTWZX)					Sold (part)	05/06/13	K	C	
22. --T Rowe Price Growth FD (PRGFX)					Sold (part)	12/09/13	J	E	
23. --Blackrock Funds Fund (BFMCX)									
24. --Harbor Int'l Fund Class Ins. (HAINX)									
25. --ISHARES IBOXXS INVESTOP (LQD) Inv. Grade Corp. BD FD ETF					Buy (add'l)	05/06/13	J		
26. --Eaton Vance Fund (EIBLX)					Buy (add'l)	05/06/13	K		
27. --ISHARES TR DJ SELECT (DVY)									
28. --Calamos Growth Fund CL-1 (CGRIX) (X)					Sold (part)	05/07/13	J	A	
29. --Templeton Global					Buy	05/06/13	K		
30. --Principal Midcap					Buy	05/06/13	K		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

>>Lines 8 and 11 - Am Growth Fund-B (AGRBX) converted to Am Growth Fund-A (AGTHX) on 4/10/2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John J. Thomas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544