

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Amon, Carol B.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 07/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 225 Cadman Plaza East Room 9085 Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

Self-employed attorney - law partnership distribution

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Her Honor, Inc.

2/15/15 - 2/20/15

Los Angeles, California

Business

Transportation, lodging and food

2. Pepperdine University School
of Law

3/12 /15 - 3/13/15

Malibu, California

Lecturer

Transportation, lodging and food

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	New York Intellectual Property Law Association	Dinner - 3/27/15	\$500.00
2.	Federal Bar Council	Law Day Dinner - 5/5/15	\$500.00
3.	Nassau County Bar Association	Dinner - 5/9/15	\$500.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Passumpic Bank	Mortgage of rental property - Newport (Part VII (Line 16)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RA Accts Citibank, B'klyn, NY FidelityAd.DynamicCapAppFud	B	Dividend	K	T					
2. Law Partnership, Cap Acct.		None	M	T					
3. Thomas G. Amon Pension Plan	A	Interest	J	T					
4. Thomas G. Amon PrfShr Plan	A	Interest	J	T					
5. Citibank N.A., Money Market	B	Interest	M	T					
6. Fidelity ContraFund II	A	Dividend	J	T					
7. Fidelity Independence Fund	A	Dividend	J	T					
8. Aim/Dynamics FD Class B (Name change Invesco/Aim)	A	Dividend	J	T					
9. Fidelity Ad. Equity Growth	A	Dividend	K	T					
10. Fidelity Ad. Tech Fund	A	Dividend	J	T					
11. Legg Mason Partners Financial Services Fund B	A	Dividend	J	T					
12. Legg Mason Partners Large Cap Value Fund N	A	Dividend	J	T					
13. MFS Moderate Allocation	B	Dividend	M	T					
14. Chase Select Checking	A	Interest	J	T					
15. Encore Networks, Inc. (See VIII)		None	M	T					
16. Rental Property Newport, VT (See VIII)	D	Rent	M	R					
17. AXA Equitable Variable Life Policy (See VIII)	B	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Aim Technology	A	Dividend	J	T					
19. Sonic Mountain, Inc.		None	K	U					
20. Citibank CD	A	Interest	J	T					
21. Johnson & Johnson	A	Dividend	J	T					
22. Spoleto Corp. (See VIII)	C	Interest	M	T					
23. Pimco Total Return Fund (Bonds)	C	Interest	M	T					
24. Franklin Rising Dividends FD Class A	A	Dividend	L	T					
25. JP Morgan Chase Brokerage Account # 1 Header (See VIII)									
26. -Altria	A	Dividend	J	T	Sold (part)	07/13/15	J	A	
27. -Exxon Mobil	A	Dividend			Sold	02/25/15	J	A	
28. -CMS Energy	A	Dividend			Sold	02/17/15	J	A	
29. -MetLife	A	Dividend	J	T	Buy	08/19/15	J		
30. -Xcel Energy	A	Dividend	J	T	Buy	09/03/15	J		
31. -VF Corp.	A	Dividend	J	T	Buy	10/28/15	J		
32. -ACE	A	Dividend	J	T	Buy	07/21/15	J		
33. -Kimberly Clark Corp.		None	J	T	Buy	09/23/15	J		
34. -William Companies		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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35. -3M Co.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
36. -Arthur Gallagher Co.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
37. -Automatic Data Processing, Inc. (previously ADP)	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
38. -Chevron Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
39. -Cincinnati Financial Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
40. -Cinemark Holdings Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
41. -CME Group, Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
42. -Coca-Cola Co.	A	Dividend	J	T	Sold (part)	07/13/15	J	A	
43. -Conoco Phillips	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
44. -Cullen Frost	A	Dividend	J	T	Sold (part)	02/25/15	J	A	
45. -Hershey Company	A	Dividend	J	T	Sold (part)	11/30/15	J	A	
46. -Home Depot, Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	B	
47. -Johnson & Johnson	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
48. -KLA-Tencor Corp.	A	Distribution	J	T	Sold (part)	02/17/15	J	A	
49. -L Brands Inc. (previously Limited Brands Inc.)	A	Distribution	J	T	Sold (part)	02/17/15	J	A	
50. -M&T Bank Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
51. -Merck & Co. Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	

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52. -Microsoft Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
53. -Mondelez Int'l	A	Dividend	J	T	Sold (part)	01/29/15	J	A	
54. -Nisource Services Inc. (previously Nsource)	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
55. -Pfizer Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
56. -Phillip Morris Int'l	A	Dividend	J	T					
57. -PPG Industries, Inc.	A	Dividend	J	T	Sold (part)	07/13/15	J	A	
58. -Proctor & Gamble Co.	A	Dividend	J	T	Sold (part)	02/13/15	J	A	
59. -Sempra Energy	A	Dividend	J	T	Sold	02/17/15	J	A	
60. -Snap On Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
61. -T. Rowe Price Group Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
62. -The Travelers Companies Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
63. -Time Warner Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
64. -Time Warner Cable Inc.	A	Dividend	J	T	Sold	02/17/15	J	A	
65. -Validus Holdings Ltd.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
66. -Verizon Communications	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
67. -Williams Sonoma Inc.	A	Dividend	J	T	Sold (part)	01/22/15	J	A	
68. -Wells Fargo & Co.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	

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69. -Texas Instruments	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
70. -Johnson & Johnson	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
71. -Abbvie, Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
72. -Fidelity Nat'l Information	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
73. -Occidental Petroleum	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
74. -Edison Int'l	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
75. -PNC Fin. Services Inc.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
76. -Bristol Meyers Squibb	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
77. -Illinois Tool Works	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
78. -DTE Energy Co.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
79. -Accenture PLC	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
80. -OmniCom Group Inc.	A	Dividend	J	T	Sold	02/17/15	J	A	
81. -Air Products & Chemicals, Inc.		None	J	T					
82. -Analog Devices	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
83. -BB&T Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
84. -Marathon Petroleum	A	Dividend	J	T	Sold (part)	02/17/15	J	A	
85. -Northern Trust Corp.	A	Dividend	J	T	Sold (part)	02/17/15	J	A	

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86. -Gap, Inc.	A	Dividend	J	T	Sold	05/08/15	J	A	
87. -US Bancorp Del.	A	Dividend	J	T	Sold (part)	07/13/15	J	A	
88. -Comcast Corporation	A	Dividend	J	T	Buy	06/09/15	J		
89. -Comcast Corporation					Sold (part)	07/13/15	J	A	
90. -Dr. Pepper Snapple Group	A	Dividend	J	T	Buy	02/18/15	J		
91. -Dr. Pepper Snapple Group					Sold (part)	11/12/15	J	A	
92. -Honeywell Int'l	A	Dividend	J	T	Buy	02/17/15	J		
93. -Honeywell Int'l					Sold (part)	07/13/15	J	A	
94. -Eli Lilly	A	Dividend	J	T	Buy	02/18/15	J		
95. -Eli Lilly					Sold (part)	07/13/15	J	A	
96. -Columbia Pipeline	A	Dividend	J	T	Buy	02/18/15	J		
97. -Columbia Pipeline					Sold (part)	07/13/15	J	A	
98. JP Morgan Chase Brokerage Account # 2 Header (See VIII) (TGA)									
99. -Conoco	A	Dividend	J	T	Buy	10/16/15	J		
100. -Lukoil	A	Dividend			Sold	01/23/15	J	A	
101. -United Overseas Bank Ltd	A	Dividend	J	T					
102. -Astrazeneca	A	Dividend	J	T	Buy	12/02/15	J		

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103. -Roche Holdings	A	Dividend	J	T	Buy	02/05/15	J		
104. -Smiths Group PLC	A	Dividend	J	T					
105. -Bayer A.G	A	Dividend	J	T					
106. -BHP Ltd	A	Dividend	J	T					
107. -Indivior PLC	A	Dividend			Sold	03/25/15	J	A	
108. -BNP Paribas	A	Dividend	J	T					
109. -BOCHong Kong Holdings	A	Dividend	J	T					
110. -British American Tobacco	A	Dividend	J	T	Sold (part)	04/24/15	J	A	
111. -Deutsche Telecom	A	Dividend	J	T	Sold (part)	05/05/15	J	A	
112. -Deutsche Post	A	Dividend	J	T	Sold (part)	12/10/15	J	A	
113. -GDF Suez (Named changed to Engie)	A	Dividend	J	T	Sold (part)	11/05/15	J	A	
114. -Glaxo Smith Kline	A	Dividend	J	T					
115. -Imperial Tobacco	A	Dividend	J	T	Sold (part)	11/05/15	J	A	
116. -Japan Tobacco	A	Dividend	J	T					
117. -JPM Tax Free	A	Dividend	J	T					
118. -Kirin Holdings	A	Dividend			Sold	02/27/15	J	A	
119. -MTN Group Ltd	A	Dividend	J	T	Sold (part)	01/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. -Muenchener Rueck	A	Dividend	J	T					
121. -Nestles SA	A	Dividend	J	T	Sold (part)	11/05/15	J	A	
122. -Nippon Tel.	A	Dividend	J	T	Sold (part)	07/27/15	J	A	
123. -Novartis	A	Dividend	J	T					
124. -Orkla ASA	A	Dividend	J	T	Sold (part)	05/08/15	J	A	
125. -Reckitt Benckiser Gr.		None			Sold	03/25/15	J	A	
126. -Rexam Holdings LP	A	Dividend	J	T					
127. -Royal Dutch Shell PLC	A	Dividend	J	T					
128. -Sanofi	A	Dividend	J	T					
129. -Siemens Ag.	A	Dividend	J	T					
130. -Singapore Telecom	A	Dividend	J	T	Sold (part)	04/20/15	J	A	
131. -SSE PLC	A	Dividend	J	T	Sold (part)	03/05/15	J	A	
132. -Statoil ASA	A	Dividend			Sold	02/03/15	J	A	
133. -PT Telekomunikasi Ind	A	Dividend	J	T	Sold (part)	01/15/15	J	A	
134. -Total SA	A	Dividend	J	T					
135. -Unilever NV	A	Dividend	J	T					
136. -Vodafone Group, PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Zurich Ins. Group	A	Dividend			Sold	02/02/15	J	A	
138. -Honda Motors	A	Dividend	J	T	Buy	03/02/15	J		
139. -UBS Group	A	Dividend	J	T	Buy	03/02/15	J		
140. -BCE Inc.	A	Dividend	J	T	Buy	04/22/15	J		
141. -BAE Systems	A	Dividend	J	T	Buy	04/24/15	J		
142. -ABB Ltd		None			Sold (part)	01/29/15	J	A	
143. -ABB Ltd	A	Dividend	J	T	Buy	11/04/15	J		
144. -Michelin	A	Dividend	J	T	Buy	04/28/15	J		
145. -Manulife Financial Corp.	A	Dividend	J	T	Buy	09/02/15	J		
146. -Allianz - SE		None	J	T	Buy	11/16/15	J		
147. JP Morgan Chase Brokerage Account # 3 Header (See VIII) (CBA)									
148. -Wisconsin Energy	A	Dividend	J	T					
149. -Int'l Paper	A	Dividend	J	T					
150. -E. I. DuPont	A	Dividend	J	T					
151. -Anheuser Busch		None	J	T	Sold (part)	01/20/15	J	A	
152. -Nestle SA (Sponsored ADR)		None	J	T	Buy	02/09/15	J		
153. -Weyerheuser (Sponsored ADR)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Schlumberger	A	Dividend	J	T					
155. -Walmart Stores	A	Dividend	J	T					
156. -Waste Management	A	Dividend	J	T					
157. -The Travelers Cos.	A	Dividend	J	T					
158. -Union Pacific	A	Dividend	J	T					
159. -United Tech.	A	Dividend			Sold	01/02/15	J	A	
160. -United Health	A	Dividend	J	T					
161. -Verizon Com.	A	Dividend	J	T					
162. -Spectra Energy	A	Dividend	J	T					
163. -Texas Instruments	A	Dividend	J	T					
164. -Time Warner Inc.	A	Dividend	J	T					
165. -PepsiCo, Inc.	A	Dividend	J	T	Buy	01/29/15	J		
166. -Praxair Inc.	A	Dividend	J	T					
167. -Proctor & Gamble	A	Dividend	J	T	Sold (part)	01/26/15	J	A	
168. -Qualcom	A	Dividend	J	T					
169. -Raytheon	A	Dividend	J	T	Sold (part)	01/29/15	J	A	
170. -MetLife (Whole Life)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Microsoft	A	Dividend	J	T	Buy	01/29/15	J		
172. -Nextera	A	Dividend	J	T					
173. -Oracle	A	Dividend	J	T					
174. -PPG Industries	A	Dividend	J	T					
175. -Home Depot	A	Dividend	J	T					
176. -Intel Co.	A	Dividend	J	T					
177. -Johnson & Johnson	A	Dividend	J	T	Buy	02/06/15	J		
178. -Kimberly Clark	A	Dividend	J	T	Sold (part)	01/27/15	J	A	
179. -Merck & Co.	A	Dividend	J	T					
180. -Comcast Corp.	A	Dividend	J	T					
181. -Costco	A	Dividend	J	T	Buy	02/23/15	J		
182. -Ecolab Inc.	A	Dividend	J	T					
183. -Exxon Mobil	A	Dividend	J	T					
184. -General Electric	A	Dividend	J	T					
185. -American Tower Corp.	A	Dividend	J	T					
186. -Amgen Inc.	A	Dividend	J	T	Sold (part)	01/08/15	J	A	
187. -Chevron	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -American Express Company	A	Dividend	J	T					
189. -Apple Inc.	A	Dividend	J	T	Buy	02/23/15	J		
190. -Automatic Data Processing Inc. (previously ADP)	A	Dividend	J	T					
191. -Bank New York Mellon Corp.	A	Dividend	J	T	Buy	04/22/15	J		
192. -C H Robinson Worldwide Inc.	A	Dividend	J	T	Buy	02/04/15	J		
193. -Coca Cola Company	A	Dividend	J	T	Buy	05/07/15	J		
194. -Walt Disney Co.	A	Dividend	J	T					
195. -Eaton Corporation PLC		None	J	T					
196. -Occidental Pet. Corp.	A	Dividend	J	T					
197. -3M Company	A	Dividend	J	T					
198. -United Parcel Service Inc.	A	Dividend	J	T					
199. -WEC Energy Group Inc.	A	Dividend	J	T					
200. -Chemours Company	A	Dividend	J	T					
201. JP Morgan Advisory Account # 4 Header (CBA)									
202. -Invesco Asia Pacific Growth	A	Dividend	J	T	Buy	01/29/15	J		
203. -Double Line FDS	A	Dividend	J	T	Buy	01/29/15	J		
204. -Ishares Select Div. ETF	A	Dividend	J	T	Buy	01/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

Date of Report

07/29/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Ishares Russell 2000 ETF	A	Dividend	J	T	Buy	01/29/15	J		
206. -Ishares NY AMT	A	Dividend	J	T	Buy	01/29/15	J		
207. -Ishares IBox	A	Dividend	J	T	Buy	01/29/15	J		
208. -Lord Abbett Short Dun Inc. Fund	A	Dividend	K	S	Buy	01/29/15	K		
209. -Power Shares QQQ Trust	A	Dividend	K	T	Buy	01/29/15	K		
210. -Guggenheim S&P 500 Equal ETF	A	Dividend	K	T	Buy	01/29/15	K		
211. -SPDR Barclays High Yield Bond ETF	A	Dividend	J	T	Buy	01/29/15	J		
212. -Vanguard Total Bond Market	B	Dividend	J	T	Buy	01/29/15	J		
213. -Vanguard S&P 500 ETF	B	Dividend	K	T	Buy	01/29/15	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Amon, Carol B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII

Item 15 - Market value of vested options less exercise on 12/31/15.

Item 16 - Rental property located in City of Newport, Chittendon County, Vermont, inherited in 1994. Value at date acquired - \$200,000.

Item 17. AXA Equitable Variable Life Insurance Policy - Incentive Legacy III.

Item 22 - Asset Management Company.

Item 25 - Managed Asset Account of Spoleto Corp.

Item 99 - Managed Asset Account. - (TGA)

Item 143 - Managed Asset Account - (CBA)

Item 201 - Advisory Account - (JPMorgan) (CBA)

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Name of Person Reporting

Amon, Carol B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carol B. Amon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544