

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dolinger, Michael H.	2. Court or Organization United States District Court, Southern District of New York	3. Date of Report 06/18/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse Room 1670 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2011

U.S. Government pension

2. 2011

Disability insurance benefits -- Unum

3. 2011

publishing royalties -- various university presses

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - Fidelity Capital Appreciation Fund	A	Dividend	K	T					
2. IRA - Fidelity Magellan Fund	A	Dividend	K	T					
3. Arvest securities account (see below)									
4. Royal Dutch Shell stock	C	Dividend	K	T					
5. Arvest Global Stars Fund	A	Dividend	M	T					
6. Arvest America Stars Fund	A	Dividend	L	T					
7. DBS Group Holdings stock	B	Dividend	J	T					
8. Akt N Novartis stock	A	Dividend	K	T					
9. Akt N Schweizerrische Ruckversicherungs stock	A	Dividend	K	T					
10. Rabobank Euro Med. Terms Notes	C	Interest	J	T					
11. Arvest Privatbank cash	A	Interest	J	T					
12. Nestle stock	A	Dividend	K	T					
13. 3 1/2% Rabobank Nederland NV	A	Interest	K	T					
14. Glaxo Smith Kline stock	A	Dividend			Sold	10/11/11	J	A	
15. 9 1/4 Kreditanstalt Fuer Weideraufbau	A	Interest	K	T					
16. 5.2% Rabobank Nederland Utrecht	B	Interest	L	T					
17. Obayashi Corp. stock	A	Dividend			Sold	09/22/11	K	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. People's Food Holding stock	A	Dividend	K	T					
19. GS Roche Holding stock	A	Dividend	J	T					
20. Toyota Motor Corp. stock	A	Dividend	K	T					
21. UBS AG stock	A	Dividend	J	T					
22. European Inv. Bank coupon	A	Interest			Redeemed	04/12/11	J	A	
23. Nokia Corp. stock	A	Dividend	J	T					
24. Sankyo Co. stock	A	Dividend			Sold	10/13/11	J	A	
25. Total Capital 5.5%	A	Interest			Sold	01/28/11	K	B	
26. Rabobank 4%	A	Interest	K	T					
27. Stora Enso stock	A	Dividend	J	T					
28. Home Depot stock	A	Dividend			Sold	02/16/11	J	A	
29. Zurich Fin. Services stock	A	Dividend	J	T					
30. 4 3/4% Nestle	B	Interest			Redeemed	01/21/11	K	A	
31. Huaneng Power Intl stock	A	Dividend	J	T			J		
32. Total SA stock	A	Dividend	K	T			K		
33. Hoya Corp. stock	A	Dividend	K	T			J		
34. Ricoh Co. stock	A	Dividend	J	T			J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Shiseido Co. stock	A	Dividend	J	T			J		
36. Coca Cola Co. stock	A	Dividend			Sold	11/18/11	J	A	
37. Walgreen Co. stock	A	Dividend	J	T			J		
38. Ratchaburi NVDR stock	A	Dividend	K	T			K		
39. Mindray Med. Intl stock	A	Dividend	J	T			J		
40. Yingli Green Energy Holding Co. stock	A	Dividend	J	T	Buy (add'l)	09/19/11	K		
41. 5 5/8% Siemens [REDACTED] coupons	B	Interest	K	T			K		
42. 6.7% LG Telecom Co. [REDACTED] Coupons	A	Interest	J	T			J		
43. 3% China Green Hldgs Ltd. [REDACTED]	A	Interest	J	T			J		
44. ING 401k (see below) [REDACTED]									
45. Oppenheimer Dev. Mkts. Fund	A	Dividend	J	T					
46. Ariel Appreciation Fund	A	Dividend	J	T					
47. Baron Growth Fund	A	Dividend	J	T					
48. ING T Rowe Price Growth Equity Portfolio	D	Dividend	K	T					
49. Fidelity VIP Contrafund Portfolio	A	Dividend	J	T					
50. Growth Fund of America (Capital Group Cos.)	A	Dividend	K	T					
51. ING Van Kampen Comstock Portfolio	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Washington Mut. Investors FundSM (sponsor American Funds)	A	Dividend	J	T					
53. Pax World Blanced Fund	A	Dividend	J	T					
54. American Balanced Fund (sponsor T Rowe Price)	A	Dividend	J	T					
55. ING VP Strategic Alloc. Growth Portfolio	A	Dividend	J	T					
56. ING VP Strategic Alloc. Inc. Portfolio	A	Dividend	J	T					
57. Pioneer High Yield Fund	A	Interest	J	T					
58. ING PIMCO Total Return Port.	A	Dividend	K	T					
59. ING Solution 2035 Port.	A	Dividend	K	T					
60. ING Solution Income Port.	A	Dividend	K	T					
61. ING Thornburg Val. Port.	D	Dividend	K	T					
62. ING UBS US Large Cap. Equities	D	Dividend	K	T					
63. ING T Rowe Price Equities Inv.	D	Dividend	K	T					
64. ING Baron Small Cap. Growth	C	Dividend	K	T					
65. ING FMR Diversified Mid. Cap.	C	Dividend	K	T					
66. ING Oppenheimer Global Port.	D	Dividend	K	T					
67. Wachovia (Wells Fargo) Invest. Account (see below)									
68. Chevron Corp. stock	B	Dividend	K	T					

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69. Coach Inc. stock	A	Dividend	J	T					
70. Huntington Exploration stock	A	Dividend	J	T					
71. BAC 5.875% Cap. TR IV due 5/3/33	A	Interest	J	T					
72. ING Group 7.05% Perp. Pfd	A	Interest	J	T					
73. MetLife 5.875% SR NTS due 11/21/33	A	Interest	J	T					
74. Morgan Stanley 6.25% TR Pfd	A	Interest	J	T					
75. Port Authority NY NJ 116th ser. bond due 10/1//17	A	Interest	K	T					
76. Nuveen NY Sel. Muni. Fund	A	Interest	J	T					
77. Nuveen NY Mun. Value Fund	A	Interest	K	T					
78. Wachovia (Wells Fargo) money market	A	Interest	J	T					
79. Highland Floating Rate Fund	A	Interest	K	T					
80. Wachovia (Wells Fargo) IRA Account (see below)									
81. Ameren Corp. stock	A	Dividend	J	T					
82. BP Plc stock	A	Dividend	J	T					
83. Coca Cola Co. stock	A	Dividend	J	T					
84. Walt Disney Co. stock	A	Dividend	J	T					
85. Exxon Mobil Corp. stock	A	Dividend	K	T					

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86. OGE Energy Corp. stovk	B	Dividend	K	T					
87. Piedmont Natural Gas stock	A	Dividend	K	T					
88. CBTC Goldman Sachs 6% due 2/15/34	A	Interest	J	T					
89. Citigroup Cap. 7.125% Pfd due 7/31/31	B	Interest	K	T					
90. Merrill Lynch Cap. 7.28% Trust V	A	Interest	J	T					
91. Morgan Stanley 6.25% Pfd. due 3/1/33	A	Interest	J	T					
92. Morgan Stanley 7.25% Tr. Pfd due 7/31/06	B	Interest	K	T					
93. Tel & Data Systems SR NOte Ser. A due 12/01/41	A	Interest	J	T					
94. Highland Floating Rate Fund	A	Interest	K	T					
95. Wachovia (Wells Fargo) IRA Account (s) (see below)									
96. Alliance Bernstein Port. Balanced Wealth Strategy	A	Dividend	K	T					
97. Goldman Sachs Equity Growth Fund	A	Dividend	J	T					
98. Lord Abbett Value Oppenheimer Fund	A	Dividend	J	T					
99. J.P. Morgan Intrepid Am. Fund	A	Dividend	J	T					
100. Additions to Arvest holdings									
101. Coca-Cola stock	A	Dividend			Buy	05/11/11	J		
102. Cocal-Cola stock (see item 101)					Sold	11/23/11	J	A	

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103. Nong Shim stock	A	Dividend			Buy	09/14/11	J		
104. Nong Shim stock (see item 103)					Sold	11/30/11	J	A	
105. Samsung stock (bought May 26, 2010)	A	Dividend			Sold	11/08/11	J	C	
106. Fanuc Corp. stock	A	Dividend	J	T	Buy	09/16/11	J		
107. Vale SA pf.	A	Dividend	J	T	Buy	08/10/11	J		
108. Addition to Wachovia (Wells Fargo) secs. acct.									
109. AT&T stock (bought July 21, 2010)	A	Dividend	J	T					
110.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, items 107 and 11:

Purchases of Samsung stock on May 26, 2010 (item 105) and of AT&T stock on July 21, 2010 (item 109) were inadvertently omitted from my 2010 FDR. The amounts purchased and the income received in 2010 were as follows:

Samsung stock	A	dividends	T	bought	May 26, 2010	J
AT&T stock	A	dividenda	T	bought	July 21, 2010	J

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael H. Dolinger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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