

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 08/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee Member and Past President	Allstate Sugar Bowl
2. Director	Africk Family Foundation, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Brown Sims, PC - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Texas School of Law	March 5 - 7, 2015	Austin, TX	Law School Program	hotel, transportation
2. New Orleans Bar Association	April 17 - 19, 2015	Point Clear, AL	Bench Bar Conference	hotel
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 24

Name of Person Reporting
AFRICK, LANCE M.

Date of Report
08/11/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Africk Investments, Inc.(Y)									
2. Africk Family Investments, LP (Y)									
3. Louisiana Local Gov. Enviro (Municipal Bond)	A	Interest	J	T					
4. Krispy Kreme Donuts, Inc. (Y)									
5. RMA Tax Free Fund, Inc. (UBS)	A	Dividend	N	T	Buy	01/05/15	K		
6.					Sold (part)	01/07/15	J		
7.					Sold (part)	01/08/15	J		
8.					Sold (part)	01/12/15	J		
9.					Buy	01/16/15	J		
10.					Buy	01/20/15	J		
11.					Sold (part)	01/21/15	J		
12.					Sold (part)	01/22/15	J		
13.					Sold (part)	01/27/15	J		
14.					Sold (part)	01/30/15	J		
15.					Buy	02/03/15	J		
16.					Sold (part)	02/10/15	J		
17.					Sold (part)	02/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	02/18/15	J		
19.					Sold (part)	02/24/15	J		
20.					Buy	03/03/15	J		
21.					Buy	03/04/15	K		
22.					Sold (part)	03/05/15	J		
23.					Sold (part)	03/09/15	J		
24.					Sold (part)	03/16/15	J		
25.					Buy	03/17/15	J		
26.					Sold (part)	03/23/15	J		
27.					Sold (part)	03/24/15	J		
28.					Buy	04/02/15	K		
29.					Sold (part)	04/06/15	J		
30.					Buy	04/16/15	J		
31.					Sold (part)	04/23/15	J		
32.					Sold (part)	04/28/15	J		
33.					Buy	05/04/15	J		
34.					Buy	05/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	05/07/15	L		
36.					Buy	05/18/15	J		
37.					Sold (part)	05/22/15	J		
38.					Buy	06/02/15	L		
39.					Buy	06/03/15	J		
40.					Buy	06/08/15	L		
41.					Sold (part)	06/11/15	J		
42.					Sold (part)	06/12/15	J		
43.					Buy	06/16/15	J		
44.					Sold (part)	06/22/15	J		
45.					Sold (part)	06/23/15	J		
46.					Sold (part)	06/23/15	J		
47.					Sold (part)	06/29/15	J		
48.					Buy	07/02/15	M		
49.					Sold (part)	07/06/15	J		
50.					Buy	07/07/15	J		
51.					Sold (part)	07/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy	07/16/15	J		
53.					Sold (part)	07/28/15	J		
54.					Buy	08/04/15	J		
55.					Sold (part)	08/17/15	J		
56.					Buy	08/18/15	J		
57.					Sold (part)	08/19/15	J		
58.					Buy	08/21/15	J		
59.					Sold (part)	08/27/15	J		
60.					Sold (part)	08/27/15	M		
61.					Buy	09/02/15	J		
62.					Buy	09/03/15	J		
63.					Buy	09/16/15	J		
64.					Sold (part)	09/17/15	J		
65.					Sold (part)	09/18/15	J		
66.					Sold (part)	09/25/15	J		
67.					Buy	10/02/15	J		
68.					Buy	10/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	10/20/15	J		
70.					Sold (part)	10/21/15	J		
71.					Sold (part)	10/28/15	J		
72.					Buy	11/03/15	K		
73.					Buy	11/17/15	K		
74.					Sold (part)	11/18/15	J		
75.					Buy	11/23/15	K		
76.					Buy	12/02/15	K		
77.					Buy	12/04/15	M		
78.					Sold (part)	12/09/15	J		
79.					Sold (part)	12/15/15	J		
80.					Sold (part)	12/16/15	J		
81.					Sold (part)	12/21/15	J		
82.					Buy	12/31/15	J		
83. Facebook Inc.		None	K	T					
84. Allendale, MI Public Callable Bond	B	Interest	K	T					
85. American Municipal Power OH Callable Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Maryland St. Health & Higher Bond	B	Interest	K	T					
87. Louisville Jefferson KY Callable Bond	A	Interest			Redeemed	05/15/15	J		
88. Long Island Power Callable Bond	B	Interest	K	T					
89. Illinois State FGIC Bond	C	Interest	L	T					
90. Georgia Municipal Electric Bond	A	Interest	J	T	Redeemed (part)	01/02/15	J		
91. Clark CTNY Nevada School Callable Bond	B	Interest	K	T					
92. Columbus OH Swr Callable Bond SER A	B	Interest	K	T					
93. Columbus OH City Sch Callable Bond	B	Interest	K	T					
94. Florida St. BER Callable Bond (2)	C	Interest	L	T					
95. Connecticut St. Health & RV Callable Bond	B	Interest	K	T					
96. Connecticut St. Health & Ser Callable Bond	A	Interest	J	T					
97. Atlanta GA Water & Wastewater Rev Bond SER A	C	Interest	L	T					
98. District Columbia Rev Callable Bond	A	Interest	J	T					
99. Houston TX Util Sys Callable Bond	B	Interest	L	T					
100. Connecticut ST Gen Rev Callable Bond SRD	A	Interest	J	T					
101. Duval Co FL Sch Callable Bond	B	Interest			Redeemed	07/01/15	L		
102. Florida St Brd Ed Lottery SR A	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Nevada St FGIC Callable Bond	B	Interest	K	T					
104. Univ Houston TX Callable Bond	B	Interest	K	T					
105. Kendall & Kane Countys Pref (frm Kendall & Kane Countys Callable Bond)	A	Interest	J	T					
106. Kendall & Kane Countys (formerly Kendall & Kane Countys Callable Bond)	A	Interest	K	T					
107. Florida St. Dept Environmen. Callable Bond (3)	C	Interest	L	T					
108. University Ill Univ Callable Bond	A	Interest	J	T					
109. Kentucky Infrastruct Callable Bond	C	Interest	L	T					
110. Florida St Brd Ed. Lottery SrB	B	Interest	K	T					
111. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					
112. Metropolitan Trans Auth NY Bond SERIES F	B	Interest	K	T	Redeemed (part)	11/16/15	K		
113. Ohio St. Ser A Callable Bond	B	Interest	L	T					
114. Pennsylvania Higher Ed Callable Bond	B	Interest	K	T					
115. San Antonio TX Elec Callable Bond (2)(X)	C	Interest	L	T					
116. Texas State (formerly Texas Transport Comm Gen Callable Bond)	A	Interest	K	T					
117. Amarillo Tex CTFS Callable Bond	B	Interest	K	T					
118. Conneticut St Gen Ser A Callable Bond	B	Interest	K	T					
119. Florida St Brd Ed Pub Callable Bond SRD	B	Interest			Redeemed	06/01/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Mass St Port Au Rev Callable Bond	B	Interest			Redeemed	07/01/15	K		
121. Florida St Brd Educ Pub Callable Bond	B	Interest	L	T					
122. John Hancock Variable Annuity		None	N	T					
123. Blackrock MUN Target Term Trust	C	Dividend	L	T					
124. Nuveen Inter Duration	C	Dividend	L	T					
125. Pimco Dynamic CR Income FD	C	Dividend	K	T					
126. Louisiana St Gas & Fuels Tax	A	Interest	M	T					
127. Louisiana St RFDG SER C	C	Interest	M	T					
128. Metro Atlanta Rapid GA SER B (formerly Metropolitan Allstat Rapid..)	B	Interest	L	T					
129. Pueblo Cnty Colo CTFS PARTN Cnty Judicial Complex	B	Interest	K	T					
130. Atlanta GA WTR & WASTE WATER FSA SR B	B	Interest	K	T					
131. Boynton Beach Fla Util Sys Rev	B	Interest	K	T					
132. Miami Dade Cnty Fla SCH BRD CTFS SER B	C	Interest	L	T					
133. Louisiana LOC GOVT Environmental Assur SR B	B	Interest	K	T					
134. Center Coast MLP & Infrastructure FD	C	Dividend	K	T					
135. New Jersey ST Trans TRFD SER AA (2)(X)	C	Interest	M	T					
136. Midland Cnty TEX Fresh WTR Supply DIST (2)(X)	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. University WIS Hosps & Clinics Auth Rev SER A	B	Interest	L	T					
138. Apple Inc	A	Dividend	K	T					
139. New Jersey Econ DevAuth Rev RFDG-SCH FACS CONSTR-SERNN		None	M	T					
140. Nuveen Ltd Municipal Bond Fund	A	Dividend	K	T	Buy (add'l)	01/15/15	J		
141.					Buy (add'l)	01/30/15	J		
142.					Sold (part)	06/24/15	J	A	
143.					Buy (add'l)	11/13/15	J		
144. AT&T Inc.	A	Dividend	J	T					
145. Johnson & Johnson	A	Dividend	J	T					
146. Proctor & Gamble	A	Dividend	J	T					
147. First Eagle Global Fund Class A	A	Dividend	J	T	Buy (add'l)	06/24/15	J		
148. Pfizer, Inc.	A	Dividend	J	T					
149. Templeton Global Bond Fund (formerly Franklin/Templeton...)	A	Dividend			Sold	11/13/15	K		
150. FPA Crescent Fund	B	Dividend	K	T	Buy (add'l)	01/15/15	J		
151. Altria Group, Inc.	A	Dividend	J	T					
152. Starbucks Corp	A	Dividend	J	T	Buy (add'l)	04/15/15	J		
153. Yacktman Focused Fund	B	Dividend	J	T	Buy (add'l)	12/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Lord Abbett Bond Debenture Fund	A	Dividend	J	T	Buy (add'l)	06/25/15	J		
155. Guggenheim Macro Opportunities Fund Class A	B	Dividend	K	T					
156. Berkshire Hathaway CL B		None	J	T					
157. Corning Inc DF	A	Dividend							
158. Fidelity Advisor Floating Rate High Income Fund	A	Dividend							
159. Neuberger Berman Long Short Fund Class	A	Dividend	K	T					
160. Gotham Absolute Return	A	Dividend			Sold (part)	06/24/15	J	A	
161.					Sold	06/25/15	J		
162. Lorillard Inc.	A	Dividend			Sold	06/12/15	J	B	
163. Goldman Sachs Strategic Income CLI	A	Dividend	K	T	Sold (part)	02/26/15	K	A	
164. PIMCO Income Fund Class P	A	Dividend			Sold	01/14/15	J	A	
165. Loomis Sayles Strategic Income Fund	A	Dividend			Sold (part)	06/24/15	J	A	
166.					Sold	11/13/15	J	A	
167. Apple Inc	A	Dividend	J	T	Buy (add'l)	06/26/15	J		
168. Qualcomm Inc DE	A	Dividend			Sold	11/17/15	J		
169. Avenue Credit Strategies Fund	A	Dividend			Sold	01/15/15	J	A	
170. Goldman Sachs, Inc Bdcr I	B	Dividend	K	T	Buy (add'l)	02/26/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Goldman Sachs Strategic Income CLI	A	Dividend	J	T					
172. First Eagle Gloval Income Builder	A	Dividend	J	T					
173. Prudential Short Duration High Yield Tax	A	Dividend	J	T					
174. Lord Abbott Short Duration Income	A	Dividend	J	T					
175. New York ST Dorm Auth REV Non State Supported Debt	A	Interest	L	T	Buy	06/08/15	L		
176. Pennsylvania ST TPK Commn TPK REV for Issued DTD	A	Interest	M	T	Buy	06/08/15	M		
177. Univ ALA At Birmingham HOSP REV SER A	C	Interest	M	T	Buy	06/09/15	M		
178. Boeing Company Symbol BA	A	Dividend	J	T	Buy	08/24/15	J		
179. British Amer Tobacco PLC GB SPON ADR			J	T	Buy	08/24/15	J		
180. Colgate Palmolive Co Symbol CL	A	Dividend	J	T	Buy	08/24/15	J		
181. Crane Co Symbol CR	A	Dividend	J	T	Buy	08/24/15	J		
182. Diago PLC New GB SPON ADR Symbol DEO			J	T	Buy	08/24/15	J		
183. Dominion Resources Inc	A	Dividend	J	T	Buy	08/24/15	J		
184. Home Depot Inc Symbol HD	A	Dividend	J	T	Buy	08/24/15	J		
185. Illinois Tool Works Inc Symbol ITW	A	Dividend	J	T	Buy	08/24/15	J		
186. Intel Corp Symbol INTC	A	Dividend	J	T	Buy	08/24/15	J		
187. Johnson Controls Inc. Symbol JCI	A	Dividend	J	T	Buy	08/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Microsoft Corp Symbol MSFT	A	Dividend	J	T	Buy	08/24/15	J		
189. Medtronic PLC Symbol MDT	A	Dividend	J	T	Buy	08/24/15	J		
190. Nordstrom Inc Symbol JWN	A	Dividend	J	T	Buy	08/24/15	J		
191. Novartis AG SPON ADR Symbol NVS			J	T	Buy	08/24/15	J		
192. Nextera Energy Inc COM Symbol NEE	A	Dividend	J	T	Buy	08/24/15	J		
193. Occidental Petroleum CRP Symbol OXY	A	Dividend	J	T	Buy	08/24/15	J		
194. Pepsico Inc Symbol PEP	A	Dividend	J	T	Buy	08/24/15	J		
195. Rockwell Automation Inc NEW Symbol ROK	A	Dividend	J	T	Buy	08/24/15	J		
196. Invesco LTD Symbol IVZ	A	Dividend	J	T	Buy	08/24/15	J		
197. Schlumberger LTD Netherland Antilles Symbol SLB	A	Dividend	J	T	Buy	08/24/15	J		
198. Toronto Dominion BK New Canada CAD Symbol TD	A	Dividend	J	T	Buy	08/24/15	J		
199. Texas Instruments Symbol TXN	A	Dividend	J	T	Buy	08/24/15	J		
200. Union Pacific Corp Symbol UNP	A	Dividend	J	T	Buy	08/24/15	J		
201. United Technologies Corp Symbol UTX	A	Dividend	J	T	Buy	08/24/15	J		
202. VF Corp Symbol VFC	A	Dividend	J	T	Buy	08/24/15	J		
203. Yum Brands Inc Symbol YUM	A	Dividend	J	T	Buy	08/24/15	J		
204. RJS Center Coast MLP & Exp (X)					Sold	04/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Lincoln NE SAN SWR RV (X)	A	Interest			Redeemed	06/15/15	K		
206. New Jersey St Hghr Ed SR2 (X)	A	Interest	K	T	Redeemed (part)	06/06/15	J		
207. Oakland CA USD Alameda (X)	A	Interest			Redeemed	08/20/15	J		
208. Rancho Calif Wtr Ser D (X)	A	Interest			Redeemed	09/02/15	J		
209. Oregon State AMT (X)	A	Interest			Redeemed	10/01/15	J		
210. Suffolk Cnty NY Rev (X)	A	Interest			Redeemed	11/20/15	K		
211. Cook County ILL TWP High (X)	A	Interest			Redeemed	12/01/15	K		
212. Avalon Hldgs Symbol AVOL (X)			J	T					
213. Ecolab Inc Symbol ECL (X)	A	Dividend	M	T					
214. Inovalan Hldgs Symbol INOV (X)			J	T					
215. Brevard Co Fla Sch Brd (x)	A	Interest	K	T					
216. Cedar Hill Tex CTFS Sync (X)	A	Interest	K	T					
217. Canadian Riv Mun Tex Rev (X)	A	Interest	K	T					
218. Rhode Island Providence Plant (X)	A	Interest	K	T					
219. Cape Coral FL WTR SWR (X)	A	Interest	J	T					
220. Bastrop Cnty Texas (X)	A	Interest	J	T					
221. Mass Hlth Ed FAC AU RVA (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Tampa-Hillsborough Cnty Assur A (X)	A	Interest	K	T					
223. Delaware VY PA Regl Auth (X)	A	Interest	K	T					
224. Jacksonville Elec Au FL SR C (X)	B	Interest	L	T					
225. Mass ST GO Consol Ln SR A (X)	A	Interest	K	T					
226. Miami Dade Cnty FL Edl SR B (X)	B	Interest	L	T					
227. Connecticut ST Health & Edl SR T (X)	A	Interest	K	T					
228. New York ST Dorm Auth SR C (X)	A	Interest	K	T					
229. Broward CO FL Arpt SR C (X)	B	Interest	L	T					
230. Jacksonville FL Sales T Assur (X)	A	Interest	J	T					
231. Deltona FL Util Sys (X)	B	Interest	K	T					
232. Tangipahoa Par LA Hsp (X)	A	Interest	K	T					
233. Hartford CT For Issues D SR A (X)	B	Interest	L	T					
234. Memphis Ctr City Fin (X)	B	Interest	K	T					
235. California ST Univ System SR A (X)	B	Interest	L	T					
236. Daytona Beach FL Util S (X)	B	Interest	L	T					
237. New Jersey Trans Trust Fund (X)	A	Interest	K	T					
238. Indianapolis Ind Loc Pub SER L (X)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Brazoria Cnty TX CTFS (X)	A	Interest	K	T					
240. NJ ECO DV Uth Sch SR NN (2)(X)	D	Interest	M	T					
241. Las Vegas NV GO LT (X)	A	Interest	K	T					
242. Southern ILL Univ Revs (X)	A	Interest	J	T					
243. North Carolina Infrastru (X)	B	Interest	L	T					
244. Tampa FL Hosp SR B (X)	A	Interest	K	T					
245. Vermont ST Pub Pwr Sply (X)	A	Interest	K	T					
246. Philadelphia PA Wstewtr SR C (X)	A	Interest	J	T					
247. Connecticut ST for Issue SR E (X)	A	Interest	L	T					
248. Univ IL Brd Trust EES CT (X)	A	Interest	J	T					
249. Daytona Beach FL Util Due 110127 (X)	B	Interest	L	T					
250. Miami FL Special Oblig (X)	A	Interest	K	T					
251. North TEX TWY Auth Rev SER A (X)	A	Interest	K	T					
252. Will Co IL Cmnty Hsd NO SR A (X)	A	Interest	K	T					
253. Maryland St Cmty Dev (X)	A	Interest	K	T					
254. Miami Dade Cnty Fla Sch Partn (X)	B	Interest	J	T					
255. Indiana Mun Pwr Agy Pwr SR A (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. NJ ECO DV UTH Due Due 030124	A	Interest	K	T					
257. Onondaga Cnty NY Indl (X)	A	Interest	K	T					
258. Kansas City Mo SPL OBLIG SR B (X)	B	Interest	M	T					
259. Texas St SR A (X)	B	Interest	L	T					
260. South Jersey ort Corp M RV (X)	A	Interest	K	T					
261. New York St Twy Auth St SR A (X)	B	Interest	L	T					
262. New Jersey Trans Trust SR AA (X)	B	Interest	K	T					
263. Atlanta GA Pub Safety & Judicial Rev (X)	A	Interest	K	T					
264. New Jersey St Tok Auth SER A (X)	A	Interest	K	T					
265. Victoria TX ISD (X)	A	Interest	K	T					
266. Louisiana St Gas & Fuels FSA SR A (X)	C	Interest	K	T					
267. Pennsylvania St Tpk SR A-I (X)	C	Interest	K	T					
268. Univ Pittsburgh SR B RV (X)	A	Interest	K	T					
269. Connecticut St Gen SER C (X)	B	Interest	L	T					
270. Spring Tex Indpt Sch Dist (X)	A	Interest	J	T					
271. Fort Bend Cnty TX LTD (X)	A	Interest	K	T					
272. Miami-Dade Cnty FL Edl (X)	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Connecticut ST Health & Rev NPFG (X)	A	Interest	K	T					
274. Palm Beach Cnty FL Sch Brd (X)	A	Interest	J	T					
275. Univ IL Brd Trust EES Pre-Ref (X)	A	Interest	J	T					
276. Pennsylvania St SR A (X)	B	Interest	L	T					
277. Alabama St Pub Sch & Clge (X)	A	Interest	K	T					
278. New Haven Connecticut GO SER 08A (X)	A	Interest	K	T					
279. Texas ST Univ Sys FING R (X)	A	Interest	K	T					
280. Oak Island NC Enterprise Asur (X)	A	Interest	J	T					
281. Mobile Ala Master Ambac (X)	A	Interest	K	T					
282. Oregon ST Elderly & Disabled (X)	A	Interest	J	T					
283. South Jersey Port Corp RV (X)	A	Interest	K	T					
284. Texas St SR A (X)	B	Interest	K	T					
285. Univ IL UNV NATIO RV (X)	A	Interest	J	T					
286. New Jersey ST CTFS Partn SER A (X)	A	Interest	K	T					
287. Torrance Calif HP RV TOR (X)	A	Interest	K	T					
288. Connecticut St Health & Edl SER J (X)	A	Interest	K	T					
289. Gulfport MS Hosp REV (X)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Maine Health & Higher ED (X)	A	Interest	J	T					
291. NYS Dorm AU REVS Non St (X)	A	Interest	K	T					
292. Cucamonga VY CA Wtr SER A (X)	B	Interest	L	T					
293. Palm Beach Co Fla Hsg SR A (X)	A	Interest	J	T					
294. Miami-Dade Cnty Fla Spl SR A (X)	A	Interest	L	T					
295. Orlando Orange Cnty FL (X)	A	Interest	K	T					
296. Orange Cnty FL Transit (X)	A	Interest	J	T					
297. Greenwood SC 50 Sch FAC (X)	A	Interest	J	T					
298. Hialeah Fla Hsg Auth REV (X)	A	Interest	J	T					
299. Mass St Wtr Res Au SR B (X)	A	Interest	J	T					
300. Blackrock Municipal 2020 Term (X)	A	Dividend	K	T					
301. Blackrock Munivest Fund II (X)	A	Dividend	K	T					
302. Blackrock Municipal Income (X)	B	Dividend	K	T					
303. Invesco High Yield Municipal Fund (X)	C	Dividend	M	T					
304. Aegon N V PRP CP SEC (X)	A	Dividend	K	T					
305. Polen Growth Fund Class I	A	Dividend	J	T	Buy	11/13/15	J		
306. Technology Sector Spdr Trust	A	Dividend	J	T	Buy	11/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. UBS Bank USA DEP (X)	A	Interest	K	T					
308. Nuveen Real Asset Income Fund Class C			J	T	Buy	01/20/15	J		
309. First Eagle Global Fund Class C	A	Dividend	J	T	Buy	01/20/15	J		
310. American Century Equity Income			J	T	Buy	01/20/15	J		
311. Pimco Income Fund Class C (X)	A	Dividend	J	T					
312. Alliance Bernstein High Income Muni	A	Dividend	K	T	Buy	01/15/15	J		
313.					Buy (add'l)	11/13/15	J		
314. Vodafone Group PLC	A	Dividend	J	T	Buy	01/20/15	J		
315.				T	Buy (add'l)	06/26/15	J		
316. American bn Lndn Inc	A	Dividend	J	T	Buy	01/30/15	J		
317. Energy Sector Spdr Trust	A	Dividend	J	T	Buy	03/06/15	J		
318. First Eagle Overseas Fund Class I	A	Dividend	J	T	Buy	04/13/15	J		
319. Financial Sector Spdr Trust ETE	A	Dividend	J	T	Buy	06/26/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Multiple previously unreported assets were distributed to the reporter from the no-longer reportable assets: Africk Investments, Inc and Africk Family Investments, LP.

FINANCIAL DISCLOSURE REPORT

Page 24 of 24

Name of Person Reporting

AFRICK, LANCE M.

Date of Report

08/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LANCE M. AFRICK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544