

UNITED STATES DISTRICT COURT
UNITED STATES COURTHOUSE
FOLEY SQUARE
NEW YORK, NEW YORK 10007

CHAMBERS OF
HON. BARBARA S. JONES

RECEIVED

2007 AUG 13 A 10:48

FINANCIAL
DISCLOSURE OFFICE

August 7, 2007

Judge Ortrie D. Smith,
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Amended Financial Disclosure Report for 2006

Dear Judge Smith:

In response to your letter dated August 1, 2007, I have amended my financial disclosure report for 2006. The following two changes were made:

1. In Part IV, lines 1-4, I deleted the actual dollar amount on each reimbursement I received.
2. In Part VII, the letter "J" was added in Column D(3) for assets listed in lines 2 ("Lucent Technology Common Stock") and 3 ("NCR Common Stock") to reflect the consideration I received from each.

Please do not hesitate to contact my chambers at (212) 805-6186 should you need further information.

Thank you.



FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Barbara S	2. Court or Organization U.S.D.C. - S. Dist. of NY	3. Date of Report 05/09/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Courthouse 500 Pearl Street, Room 620 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Associate Professor of Law	Fordham Law School
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Oct. 2005	Agreed to teach a trial advocacy course at Fordham Law School for the Spring 2006 semester
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jones, Barbara S

Date of Report

05/09/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Fordham Law School - taught trial advocacy course	\$ 9,000.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Eighth District Judicial Conference (District of the State Court of Ohio)	4/27 - 4/28/06 Eighth District Judicial Conference, Cleveland, OH, Transportation
2. Federal Judicial Center	5/3 - 5/5/06 National Workshop for District Judges, Arlington, VA , Transportation/Meals/Hotel
3. Federal Judicial Center	6/19 - 6/22/06 IT Basics and Beyond Course, San Antonio, TX Transportation/Meals/Hotel
4. Federal Judicial Center	9/7 - 9/9/06 2nd Circuit Judicial Conference, New Paltz, NY Transportation/Meals/Hotel

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5.

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. HSBC	Credit Card	J
2. Chase Manhattan Bank	Credit Card	K
3. American Express	Credit Card	K
4. Bank of America	Credit Card	J
5. Discover	Credit Card	J

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Name of Person Reporting

Jones, Barbara S

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05/09/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan (IRA)		None	J	T					
2. Lucent Technology Common Stock		None			Sold	5/25	J		
3. NCR Common Stock		None			Sold	5/30	J		
4. *Homevue Health Systems, Inc. Common Stock		None	J	W	See Sec VIII				
5. *See Section VIII									
6. Comcast		None	J	T					
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Jones, Barbara S

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05/09/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Re: Homevue

The common stock in Homevue is not a registered security and currently has no market value. I have estimated its value at my cost.

FINANCIAL DISCLOSURE REPORT

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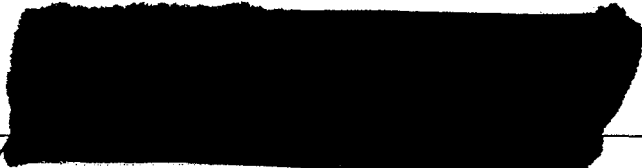
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

 August 7, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FD-10
Rev. 1/2007

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

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4. Title (Article III judges indicate active or senior status; magistrate judges indicate full or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Courthouse 500 Pearl Street, Room 620 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
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I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | | |
|----|------------------------------------|--------------------|
| 1. | Adjunct Associate Professor of Law | Fordham Law School |
| 2. | | |
| 3. | | |
| 4. | | |

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2007 MAY 18 A 11:24
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PARTIES AND TERMS

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|----|-----------|--|
| 1. | Oct. 2005 | Agreed to teach a trial advocacy course at Fordham Law School for the Spring 2006 semester |
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(Dollar amount not required except for honoraria.)

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(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

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3. Value Method Codes (See Column C2)	Q = Average R = Stock Value	S = Cost (Real Estate Only) T = Other	U = Assessment V = Estimated	W = Assessment X = Estimated	Y = Assessment Z = Estimated

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I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/10/07

NOTE:

AND CRIMINAL SANCTIONS

OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

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Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544