

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keesler, David C.	2. Court or Organization N Carolina - District Court	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 401 West Trade Street Room 168 Charlotte, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

K. Interiors-Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Trinity Episcopal School	Tuition	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	C	Dividend	M	T					
2. -AQR FDS ADAIX					Sold	09/11/12	J		
3. -ARTISAN PARTNERS FDS SMAL CAP FDS ARTSX					Buy	12/12/12	J		
4. -BLAIR WILLIAM FDS BIGIX									
5. -DELAWARE GROUP DEUIX					Sold (part)	09/11/12	J		
6. -HIGHMARK FDS GENEVA MID CAP GROWTH PNMFX					Buy	09/11/12	J		
7. -EATON VANCE EIGMX									
8. -GOLDMAN SACHS TR FTIXX					Buy (add'l)	09/11/12	J		
9. -HARBOR FUND HASCX					Sold	09/11/12	J		
10. -HARRIS ASSOC INVT TR OAKMARK INTL FD OAKIX									
11. -PYXIS LONG/SHORT EQUITY FUND CLASS Z HEOZX					Buy	09/11/12	J		
12. -HOTCHKIS & WILEY HWMIX					Sold (part)	09/11/12	J		
13. -JANUS INVT FD JFLEX									
14. -JOHN HANCOCK JCUIX									
15. -MORGAN STANLEY MPEGX					Sold	09/13/12	J		
16. -JP MORGAN TR 1 JLP SX									
17. -BLACKROCK FDS EMERGING MKT LONG/SHORT BLSIX					Buy	9/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -PUTNAM ABSOLUTE RETURN 700 CL Y PDMYX					Buy	09/11/12	J		
19.					Buy (add'l)	09/14/12	J		
20. -HEARTLAND VALUE PLUS FD CL INSTITUTIONAL HNVIX					Buy	09/11/12	J		
21. -OPPENHEIMER DEV ODVYX									
22. -JPMORGAN TR I JPMNX					Sold	09/11/12	J		
23. -PIMCO FDS PAC INVT PTRRX									
24. -PIMCO FDS FAC INVT PCRIX									
25. -DREYFUS EMERGING DRPEX									
26. -T ROWE PRICE TRREX									
27. -TCW FDS INC LRG CAP TGSCX					Sold	12/12/12	J		
28. -TCW DIVERSIFIED VALUE TGDIX					Sold (part)	09/11/12	J		
29. -TURNER FDS SPECTRUM FD INSTL CL TSPEX					Sold	09/11/12	J		
30. -NATIXIS FDS TR II ASFYX					Buy (add'l)	09/11/12	J		
31. -METLIFE INVS USA C SHAR VARIABLE ANNUITY									
32. IRA # 2	C	Dividend	M	T					
33. -JP MORGAN PRIME MONEY MARKET SWEEP-CAPITAL									
34. -CHEVRON CORPORATION CVX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -DISNEY WALT COMPANY DIS									
36. -EXXON MOBIL CORP XOM									
37. -GENERAL ELECTRIC COMPANY GE									
38. -JOHNSON & JOHNSON JNJ									
39. -MICROSOFT CORP MSFT									
40. -PROCTER & GAMBLE CO PG									
41. -SPDR GOLD TRUST GLD									
42. -UNITED STATES OIL FUND USO					Sold	07/26/12	J		
43. -DODGE & COX INTL STOCK FUND DODFX									
44. -GOLDMAN SACHS TR FINL SQUARE MMKT FSMXX					Sold	03/21/12	J		
45. -JANUS INVT FD JMVAX									
46. -PIMCO FDS PAC INVT PTRRX					Sold (part)	05/30/12	J		
47.					Sold (part)	06/27/12	J		
48.					Sold (part)	09/28/12	J		
49. -ROYCE PREMIER FUND RPRWX					Sold (part)	12/28/12	J		
50. -T ROWE PRICE MID CAP GROWTH FUND RPMGX									
51. -TEMPLETON FUNDS INCOME TGBAX					Sold (part)	06/27/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -THORNBURG INTL TGVIX									
53. -VANGUARD TOTAL BD MKT VBMFX					Sold (part)	11/20/12	J		
54. -VANGUARD/WINDSOR FUND VWNFX									
55. IRA #3	A	Dividend	J	T					
56. -COLUMBIA ACORN FUND CLASS C LIACX									
57. BROKERAGE ACCOUNT #1									
58. -WELLS FARGO BANK DEPOSIT SWEEP	A	Dividend	J	T					
59. THE GROWTH FUND OF AMERICA 529C CGFCX	A	Dividend	J	T					
60. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend	J	T					
61. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend	J	T					
62. THE INCOME FUND OF AMERICA 529 CL C CIMCX	A	Dividend	J	T					
63. INTERMEDIATE BOND FUND OF AMERICA 529 C CBOCX	A	Dividend	J	T					
64. THE GROWTH FUND OF AMERICA 529 C CGFCX	A	Dividend	J	T					
65. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend	J	T					
66. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend	J	T					
67. THE INCOME FUND OF AMERICA 529 C CIMCX	A	Dividend	J	T					
68. INTERMEDIATE BOND FUND OF AMERICA 529 C CBOCX	A	Dividend	J	T					

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69. BROKERAGE ACCOUNT #2									
70. -AMER BALANCED FD INC BALFX	A	Dividend	J	T					
71. IRA #4	A	Dividend	L	T					
72. -AIM GLOBAL REAL ESTATE ARGYX									
73. -MANAGERS AMG FDS TMDPX									
74. -BLAIR WILLIAM FDS INTL GROWTH BIGIX									
75. -CAMBIAR OPPORTUNITY FD CAMWX									
76. -DELAWARE GROUP ADVISOR FDS DEUX									
77. -DELAWARE GROUP EQUITY FD 11- VALUE FD DDVIX					Buy	08/29/12	J		
78. -DREYFUS APPRECIATION FD INC DGAGX									
79. -DWS DREMAN SMALL CAP KDSSX									
80. -FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CLI SGOIX					Buy	08/29/12	J		
81. -RS INVT TR EMERGING MKTS FD RSENX									
82. -GOLDMAN SACHS TR FTIXX									
83. -HANCOCK JOHN CAP SER CLASSIC VALUE CL I JCVIX					Sold (part)	08/29/12	J		
84. -HARBOR INTERNATIONAL INSTL CL HAINX									
85. -EAGLE SMALL GAP GROWTH FUND CLASSI HSIX					Buy	08/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. -HOTCHKIS & WILEY FDS MID CAP HWMIX									
87. -ARTIO INTERNATIONAL EQUITY FD CLASS I JIEIX					Sold	09/29/12	J		
88. -KEELEY FDS INC SMALL KSCIX									
89. -LEGG MASON VALUE TRUST LMNVX					Sold	01/23/12	J		
90. -MORGAN STANLEY INSTL MPEGX									
91. -OPPENHEIMER DEV MKTS ODVYX					Buy (add'l)	08/29/12	J		
92. -PIMCO FDS PAC INVT PCRIX									
93. -SECURITY EQUITY FD MID SEVAX									
94. -SENTINEL MUT FUNDS SMALL CO SIGWX					Sold	08/29/12	J		
95. -TOUCHSTONE LARGE CAP TIQIX									
96. -WELLS FARGO FDS TR SMALL WEMIX									
97. IRA # 5	A	Dividend	J	T					
98. -DAVIS NEW YORK VENTURE FUND INC CL C NYVCX									
99. BANK OF AMERICA (REGULAR SAVINGS, INTEREST CHKG)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 70 Amer Balanced FD INC BALCX change name to Mutual Fund changed to Balanced Fund Inc Class F1 BALFX

Line 96 Wells Fargo FDS WFGDX change name to Wells Fargo Funds Tr WEMIX

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David C. Keesler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544